

Tunneling of Composite Objects

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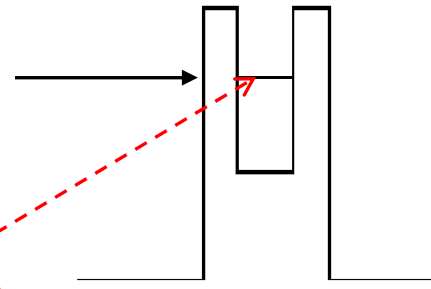
D. de Paula (Rio)

V. Zelevinsky (Michigan)

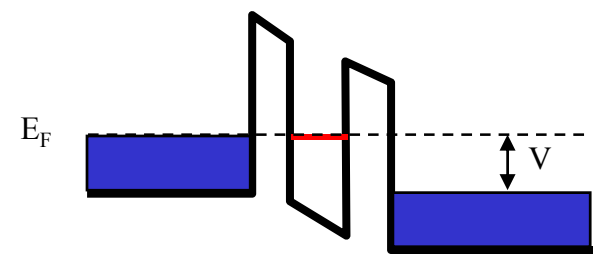
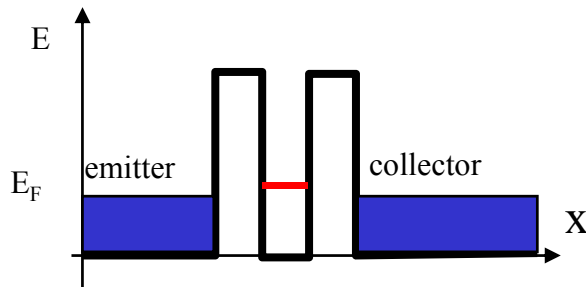
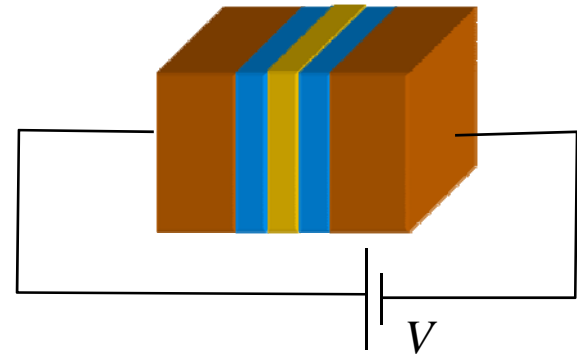
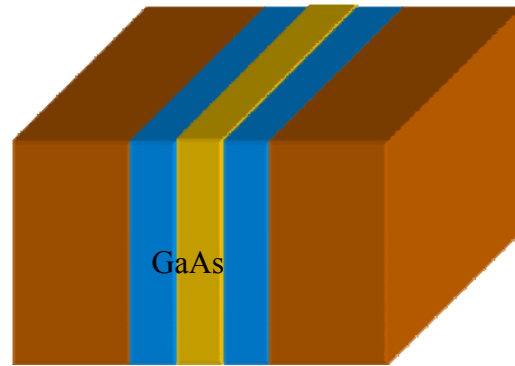
Resonant tunneling

(point particle + double-hump barrier)

quasi-bound state

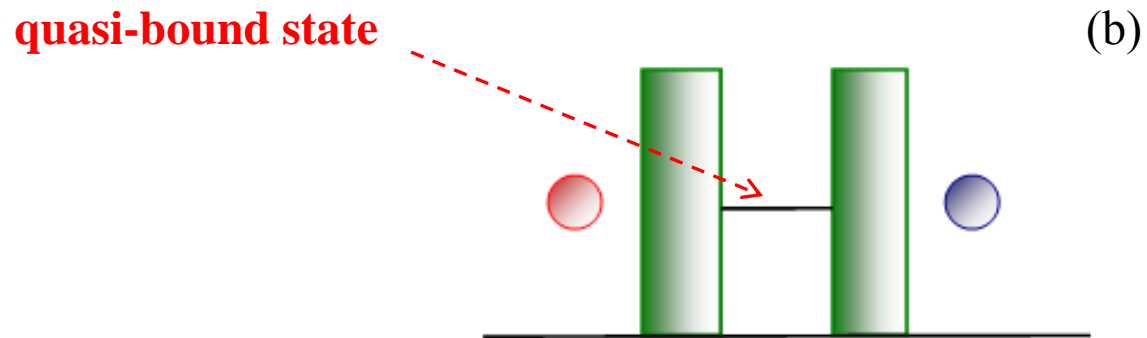
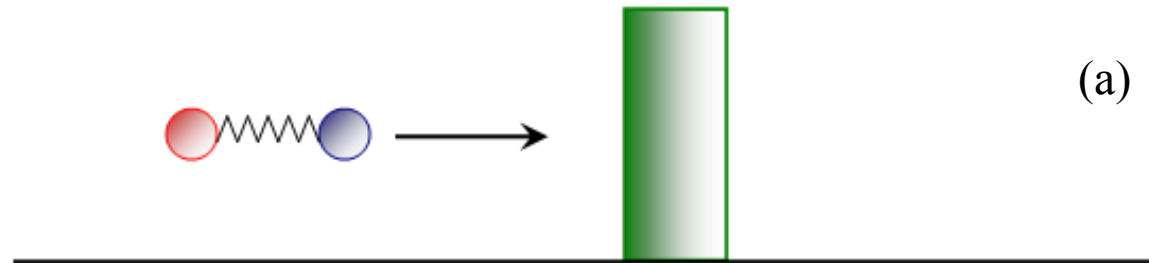


Well-known. Used in device technology.
E.g. Resonant Diode Tunneling device.



Resonant tunneling

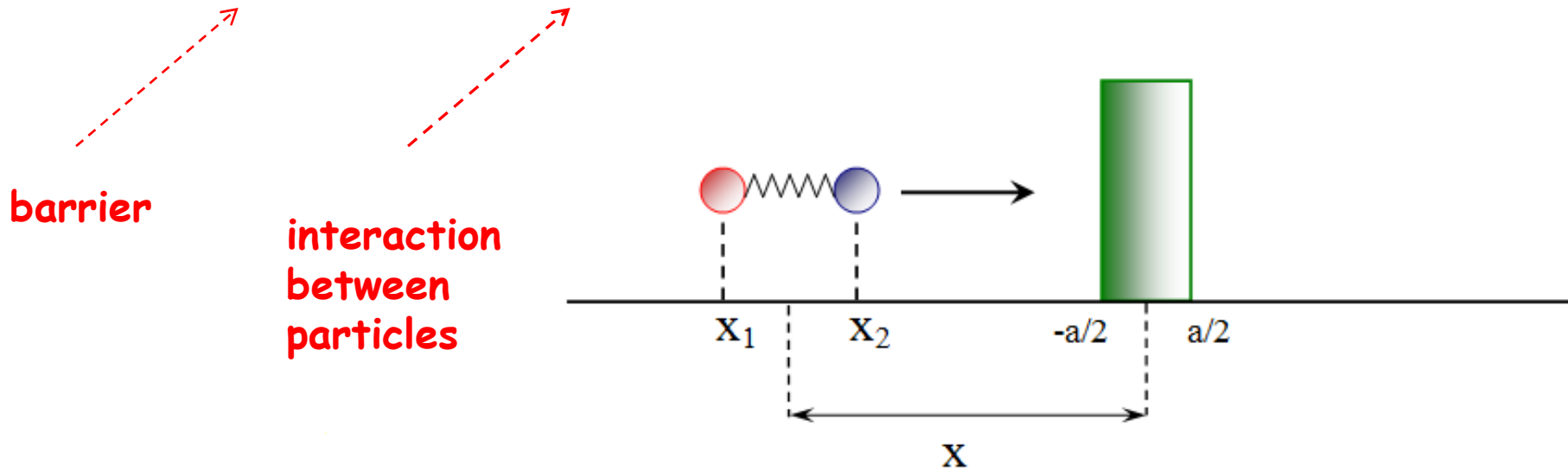
(composite particle + single-step barrier)



Poorly known. Occurs in atomic and nuclear systems.

E.g. fusion of loosely-bound nuclei.

Step-barrier V + square-well U



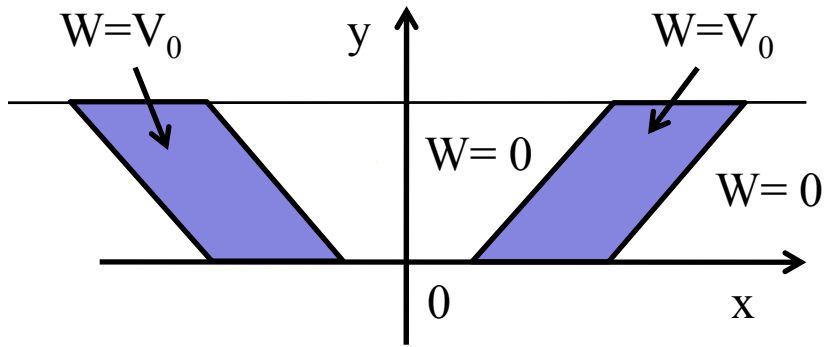
$$H = -\frac{\hbar^2}{2m} \left(\frac{\partial^2}{\partial x_1^2} + \frac{\partial^2}{\partial x_2^2} \right) + V(x_1) + V(x_2) + U(|x_1 - x_2|)$$

$$V(x) = \begin{cases} V_0, & -a/2 \leq x \leq a/2 \\ 0, & \text{otherwise} \end{cases}$$

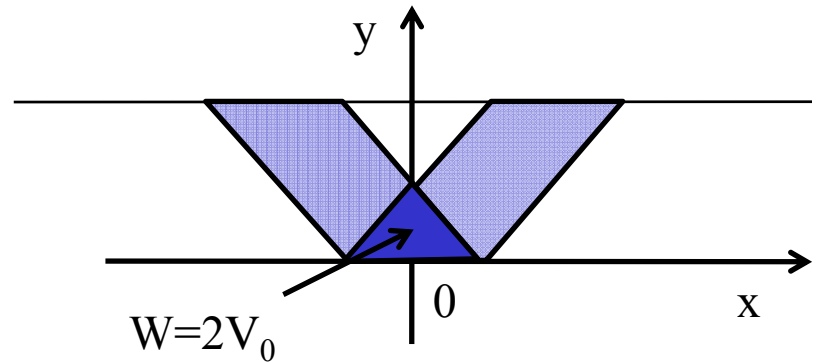
$$U(x) = \begin{cases} 0, & -d/2 \leq x \leq d/2 \\ \infty, & \text{otherwise} \end{cases}$$

$$x = \left(\frac{x_1 + x_2}{2} \right), \quad y = x_1 - x_2 + \frac{d}{2}$$

$$\left[-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} - \frac{\hbar^2}{2m} \frac{\partial^2}{\partial y^2} + U\left(y - \frac{d}{2}\right) + W(x, y) \right] \Psi(x, y) = E \Psi(x, y)$$



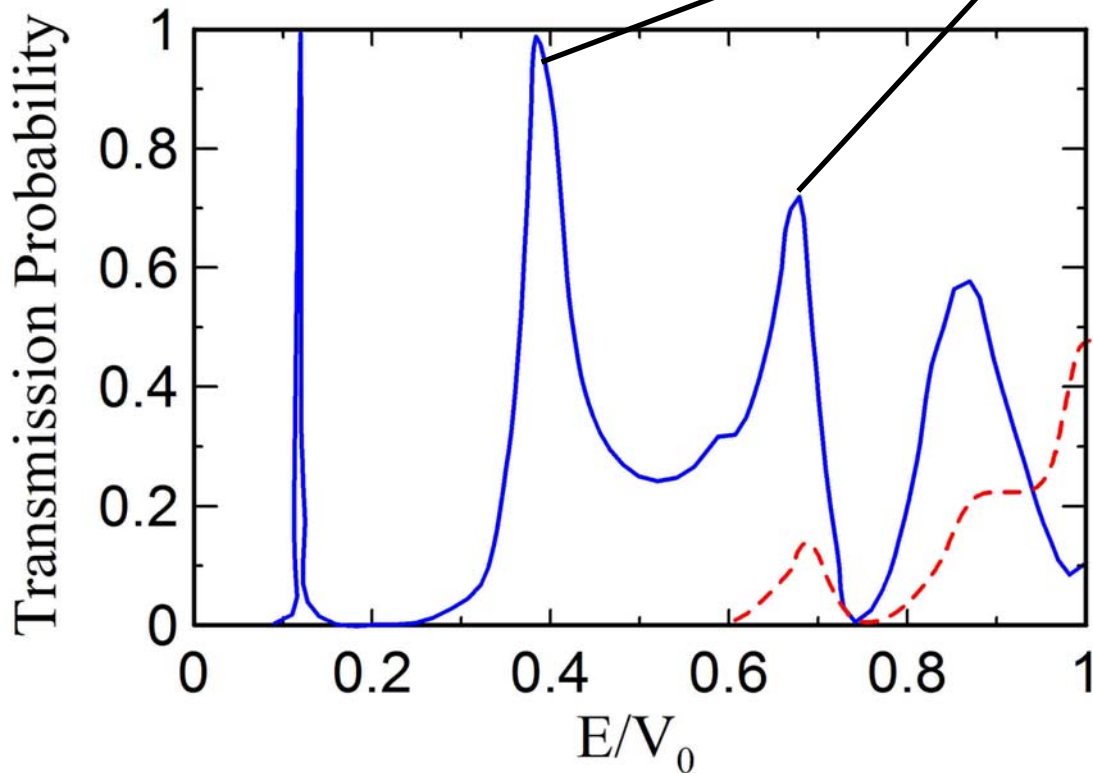
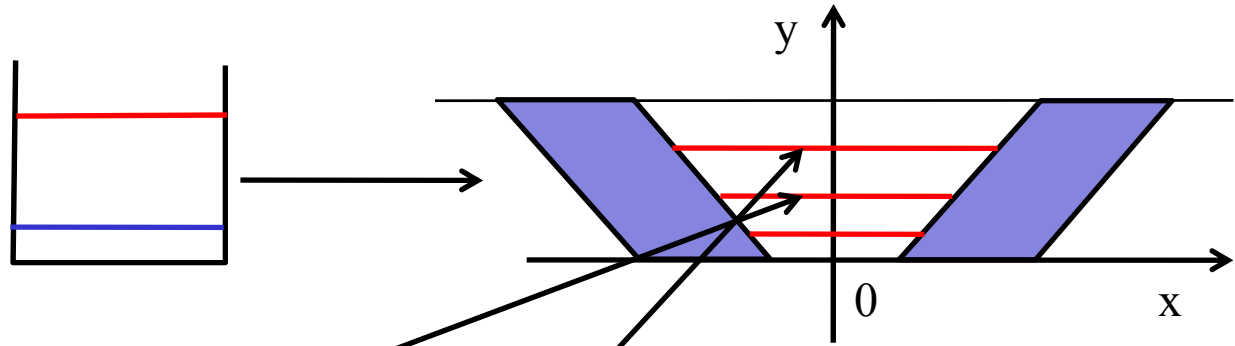
(a) $d/2 > a$



(b) $d/2 < a$



Problem equivalent to a single particle (c.m. coordinate x) tunneling through 2 barriers.

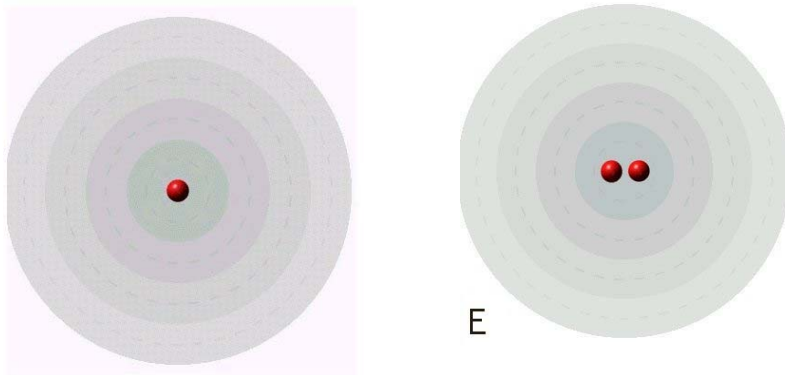


Zakhariev, Sokolov, Ann. d. Phys. 14, 229 (1964)

Saito, Kayanuma, J. Phys. Condens. Matter 6 (1994) 3759

Example 1

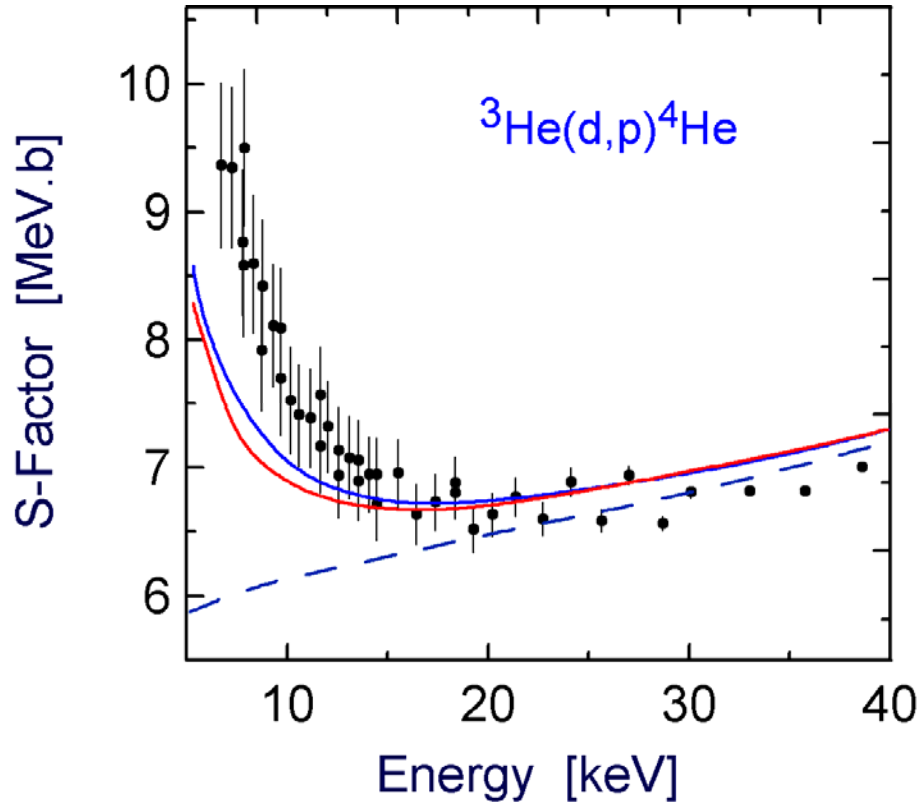
Nuclear fusion with electron screening (in the laboratory)



Adiabatic model: $\Delta E = E' - E$

$$\sigma_{lab}^{fusion} \sim \sigma_{bare}(E + \Delta E)$$

$$\sim \exp\left[\pi \eta(E) \frac{\Delta E}{E}\right] \sigma_{bare}(E)$$



----- S_{bare}

————— Dynamic

————— Adiabatic

Rolfs, 1995 Reaction	ΔE [eV] experiment	ΔE [eV] adiabatic limit
$d({}^3\text{He}, p){}^4\text{He}$	180 ± 30	119
${}^6\text{Li}(p, \alpha){}^3\text{He}$	470 ± 150	186
${}^6\text{Li}(d, \alpha){}^4\text{He}$	380 ± 250	186
${}^7\text{Li}(p, \alpha){}^4\text{He}$	300 ± 280	186
${}^{11}\text{B}(p, \alpha){}^2{}^4\text{He}$	620 ± 65	348

Amplification of small effects

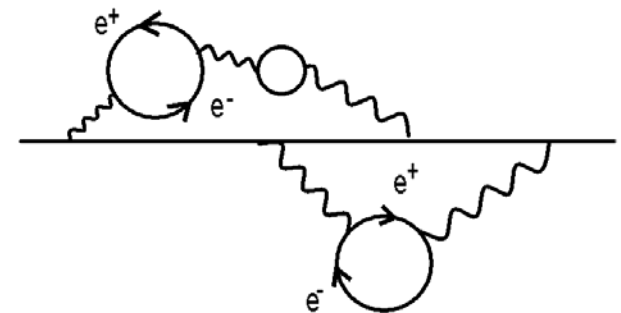
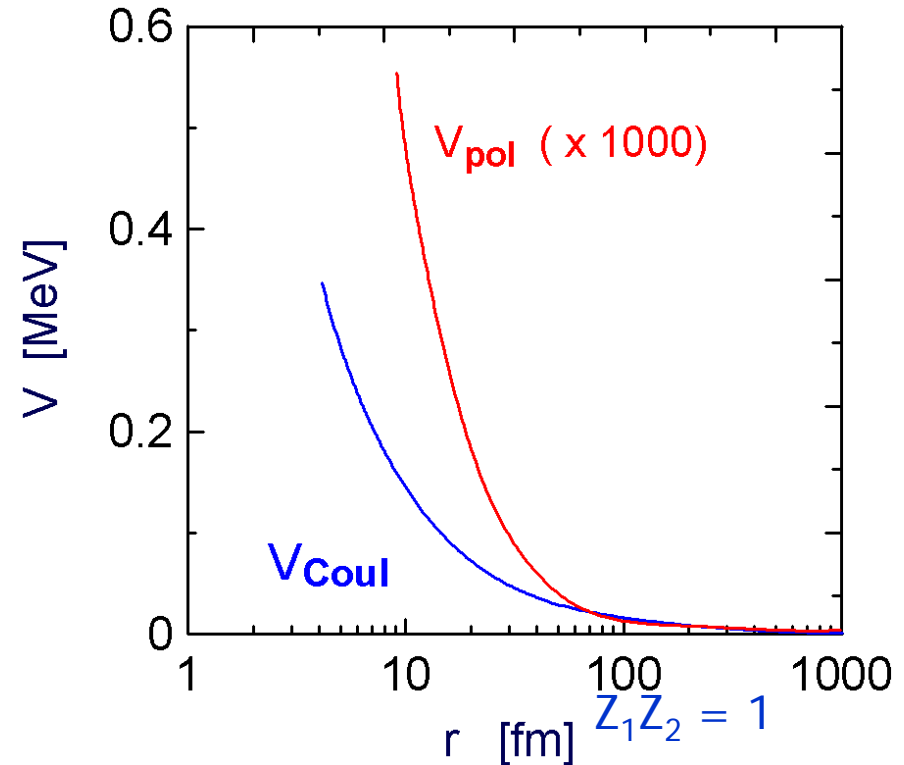
- Thermal motion, lattice vibrations, beam energy spread
- Nuclear breakup channels (in weakly-bound nuclei)
- Dynamics of tunneling

Balantekin, Hussein, CB, NPA 1997

<i>Corrections</i>	
Vacuum Polarization	$\sim 1\%$
Relativity	10^{-3}
Bremsstrahlung	10^{-3}
Atomic polarization	10^{-5}
Nuclear polarization	$< 10^{-10}$

all $\leq 1\%$

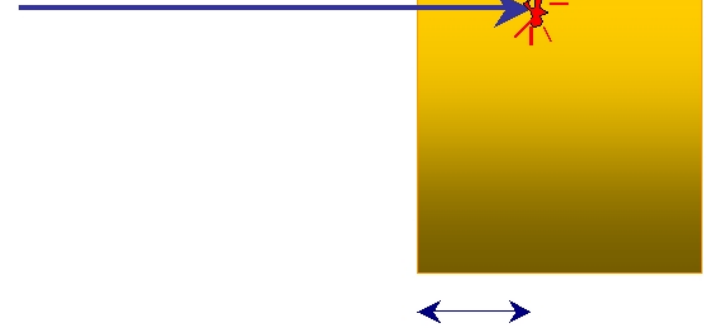
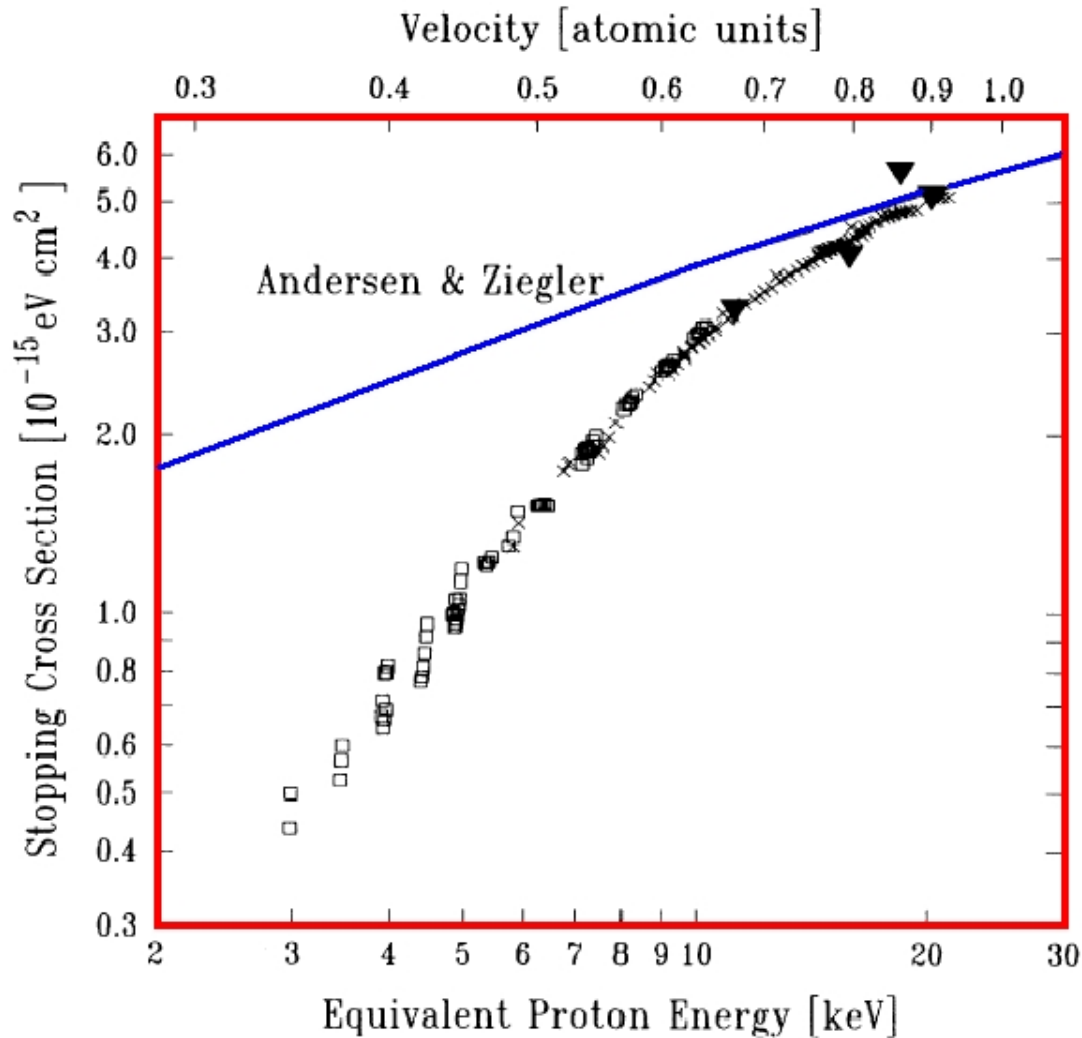
Not a solution! (we need $\sim 100\%$)



Vacuum polarization

Wrong extrapolation of stopping power?

Bang, PRC 1996; Langanke, PLB 1996



$$S_p = -\frac{dE}{dx}$$

$$E' = E - S_p \cdot \Delta x$$

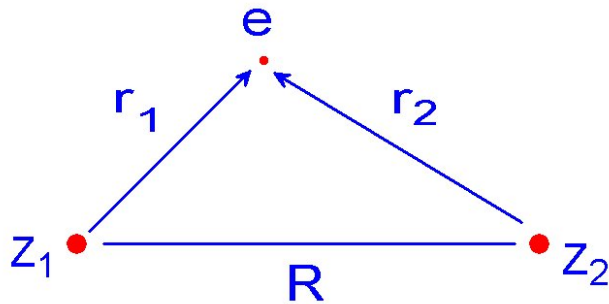
H + He

Golser and Semrad, PRL 1991

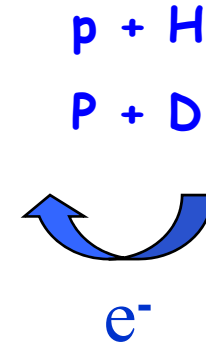
Mainly charge-exchange

Test with the simplest system

CB, de Paula, PRC 2000, PLB 2004



Elliptic coordinates



Charge exchange (pickup)

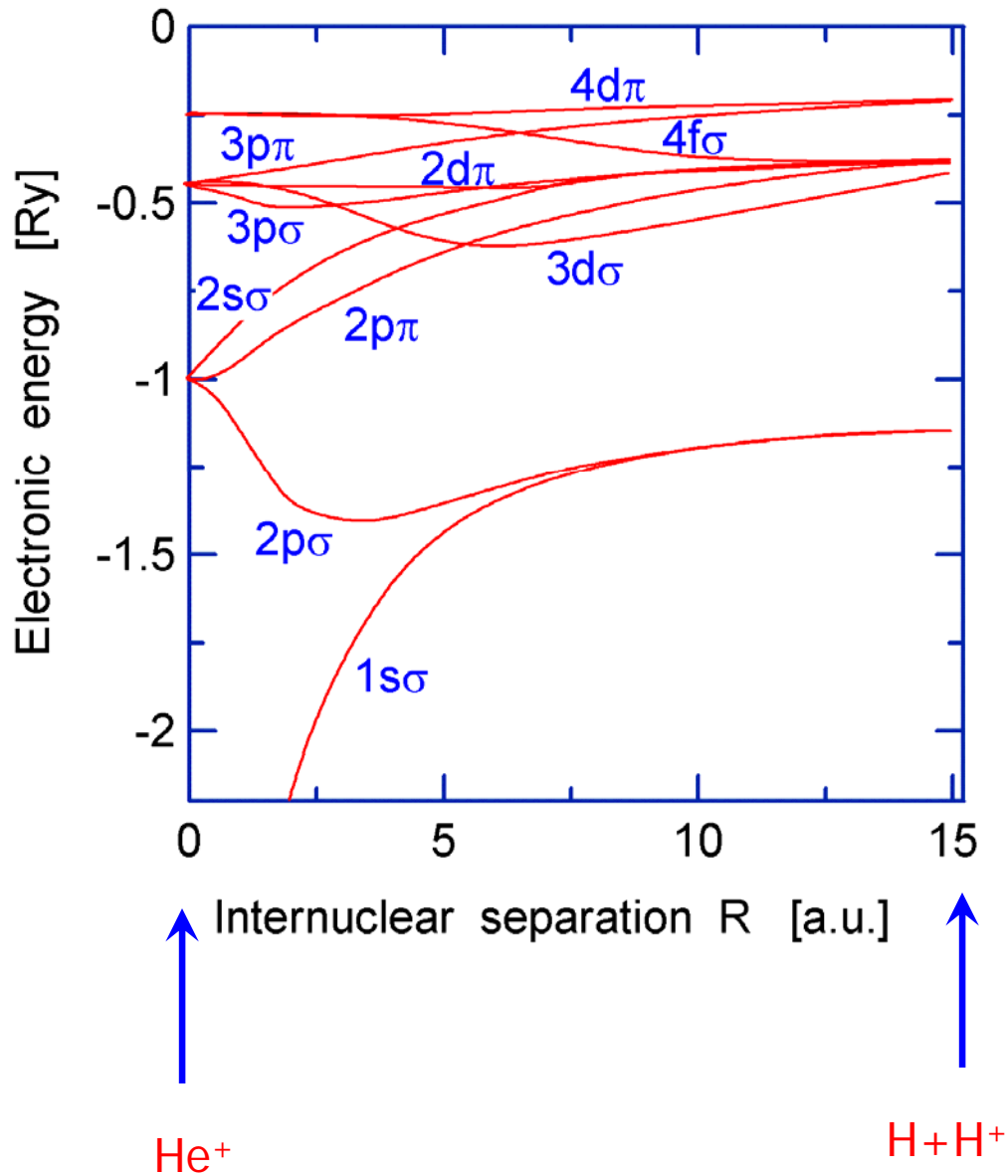
$$\xi = \frac{r_1 + r_2}{R}; \quad \eta = \frac{r_1 - r_2}{R}; \quad \phi$$

$$\Psi = F(\xi)G(\eta)e^{im\phi}$$

$$\frac{d}{d\xi} \left[(\xi^2 - 1) \frac{dF}{d\xi} \right] + \left[\frac{R^2 \xi^2}{2} E + 2R\xi - \frac{m^2}{\xi^2 - 1} \right] F(\xi) = 0$$

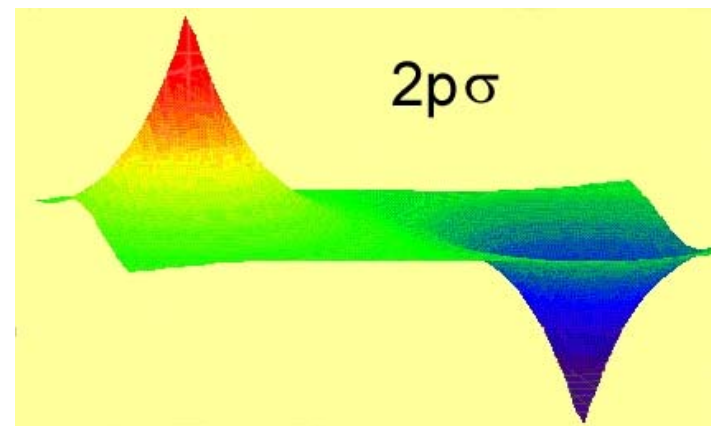
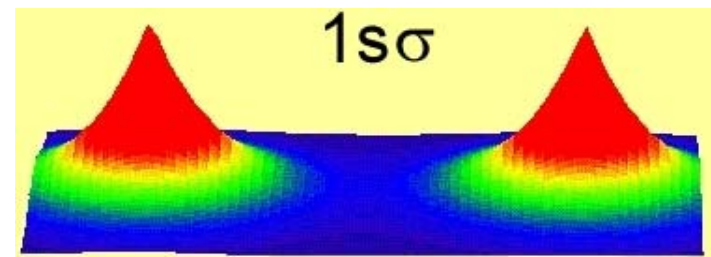
$$\frac{d}{d\eta} \left[(1 - \eta^2) \frac{dG}{d\eta} \right] - \left[\frac{R^2 \xi^2}{2} E + 2R\xi + \frac{m^2}{\eta^2 - 1} \right] G(\eta) = 0$$

Expansion basis: molecular orbitals for p+H



$$l_z \Phi_s = \pm \lambda \Phi_s$$

Value of λ	0	1	2	3
Code letter	σ	π	δ	ϕ, \dots

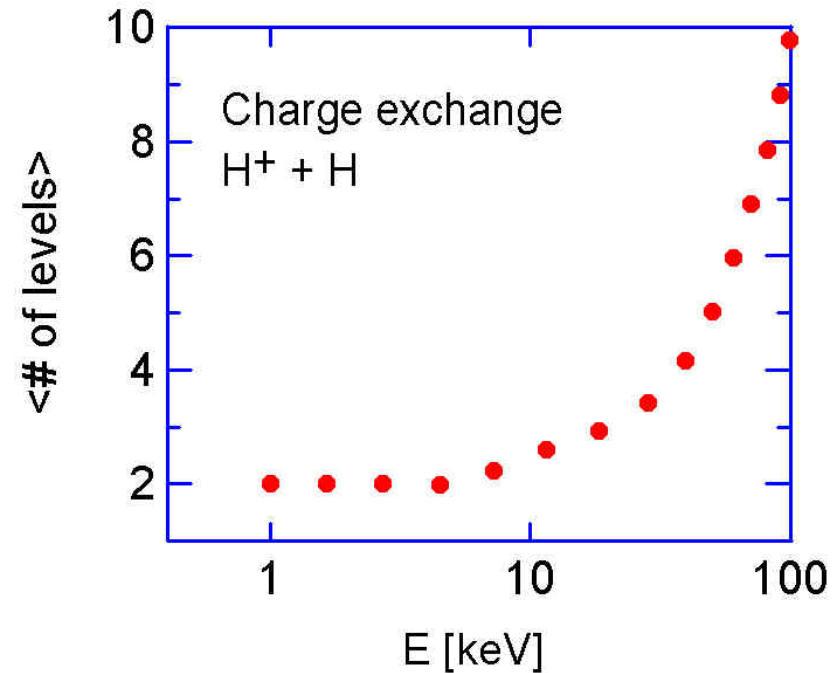
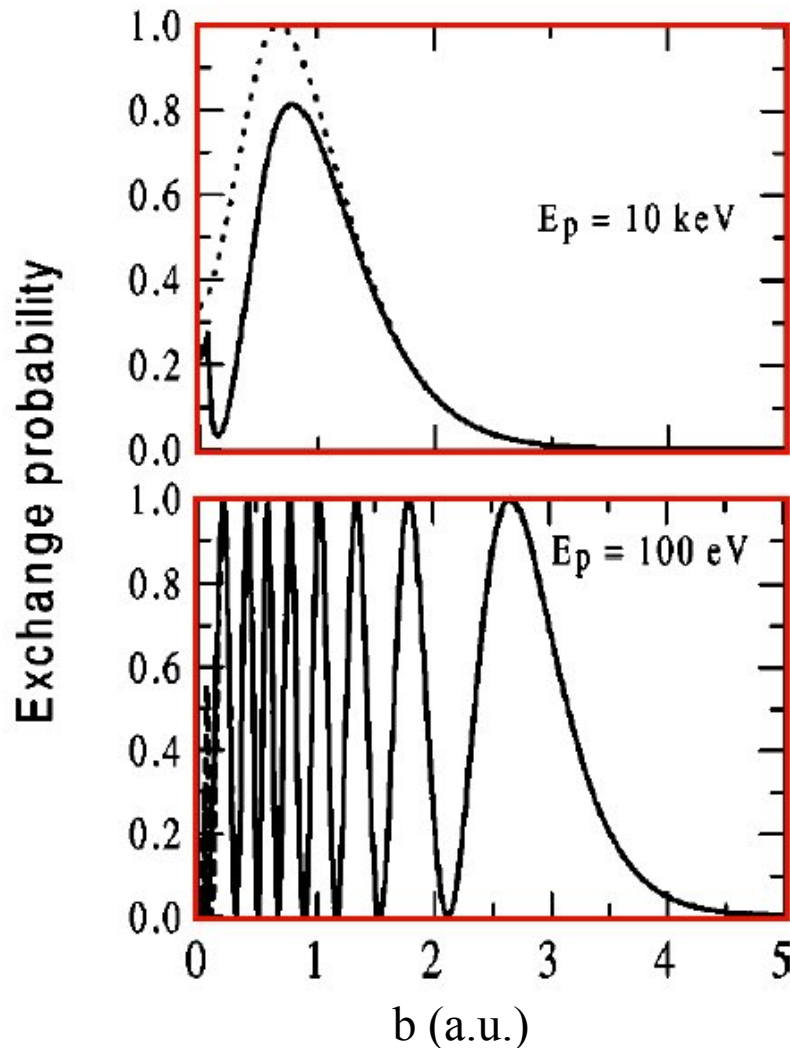


t.d. calculations

$$i\hbar \frac{d}{dt} a_m(t) = E_m(t) a_m(t) - i\hbar \sum_n a_n(t) \left\langle m \left| \frac{d}{dt} \right| n \right\rangle$$

$$\left\langle m \left| \frac{d}{dt} \right| n \right\rangle = \frac{\left\langle m \left| dV_p / dt \right| n \right\rangle}{E_n(t) - E_m(t)},$$

(Hellman, Feynmann relation)



For $E_p < 10 \text{ keV}$, only $1s\sigma$ and $2p\sigma$
2-level problem - resonant exchange

$$P_{exch} \approx \frac{1}{2} + \frac{1}{2} \cos \left\{ \frac{1}{\hbar} \int_{-\infty}^{\infty} [E_{2p}(t) - E_{1s}(t)] dt \right\}$$

Stopping in $H^+ + He$ collisions

Slater-type orbitals $\phi = N r^{n-1} e^{-\xi r} Y_{lm}(\theta, \phi)$

Two-center basis for two-electrons
Hartree-Fock equations

$$\Phi_i = \sum_{i=1}^n [c_{ji}^A \phi_i^A + c_{ji}^B \phi_i^B]$$



F.C=S.C.E

$$F_{\mu\nu} = H_{\mu\nu} + \sum_{\lambda\rho} P_{\lambda\rho} \left[(\mu\nu | \lambda\rho) - \frac{1}{2} (\mu\rho | \lambda\nu) \right]$$

$$H_{\mu\nu} = \iint \phi_\mu^*(1) \left[-\frac{1}{2} \nabla_1^2 - \sum_A \frac{1}{r_{1A}} \right] \phi_\nu^*(1) d\tau_1, \quad P_{\lambda\rho} = 2 \sum_{i=1}^{occ} c_{\lambda i} c_{\rho i}$$

$$(\mu\nu | \lambda\rho) = \iint \phi_\mu(1) \phi_\nu(1) \frac{1}{r_{12}} \phi_\lambda(2) \phi_\rho(2) d\tau_1 d\tau_2, \quad S_{\mu\nu} = \int \phi_\mu(1) \phi_\nu(1) d\tau_1$$

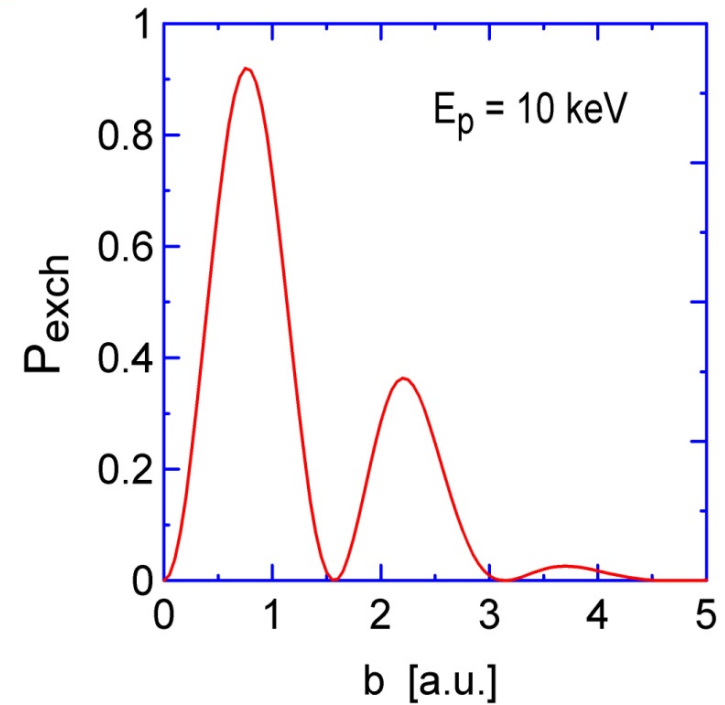
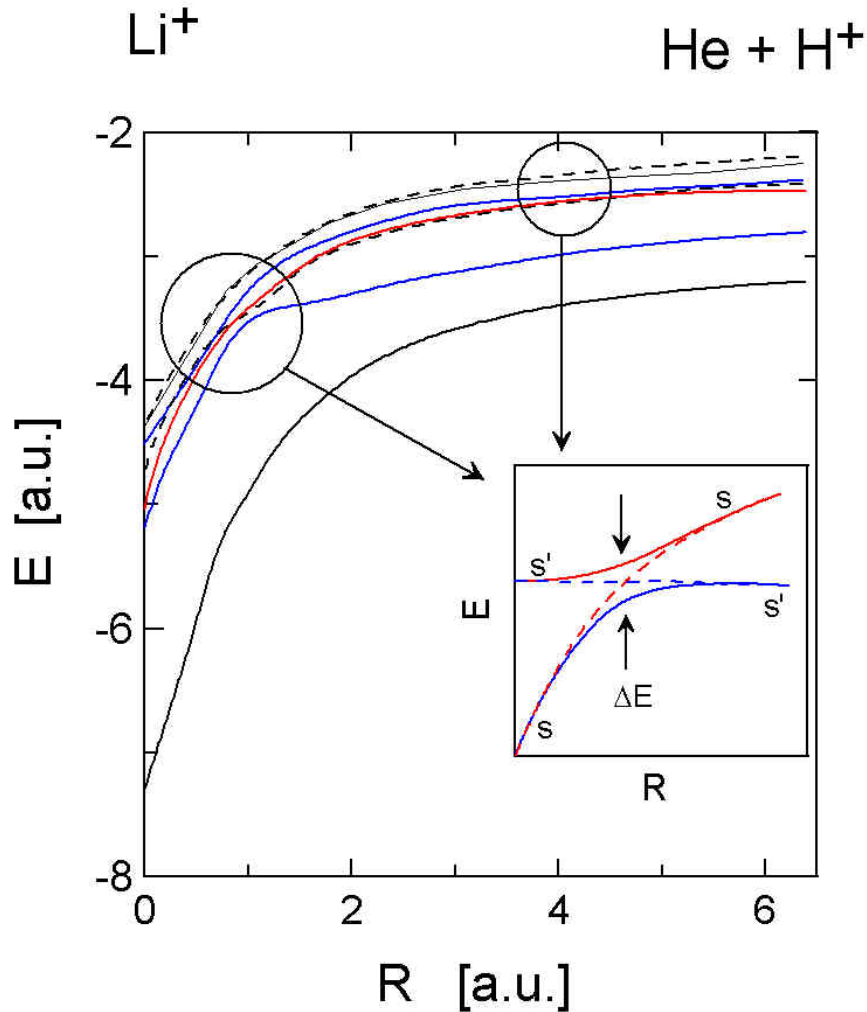


t. d. coupled-channels equations

Damping of resonant exchange



Separated atom	United atom
$\text{H}^+ + \text{He}(1s^2)$	0Σ
$\text{H}(1s) + \text{He}^+(1s)$	1Σ
$\text{H}^+(1s) + \text{He}(1s2s)$	2Σ
$\text{H}(n=2) + \text{He}^+(1s)$	1Π
$\text{H}(n=2) + \text{He}^+(1s)$	3Σ
$\text{H}(n=2) + \text{He}^+(1s)$	4Σ
$\text{H}^+ + \text{He}(1s1p)$	5Σ
$\text{H}^+ + \text{He}(1s1p)$	2Π



Landau-Zener

$$P = e^{-\Gamma \Delta t_{\text{coll}}} \cos^2 \left[\frac{H_{12} a}{2v} \right]$$

Stopping power at very low energies

p + H

P + D



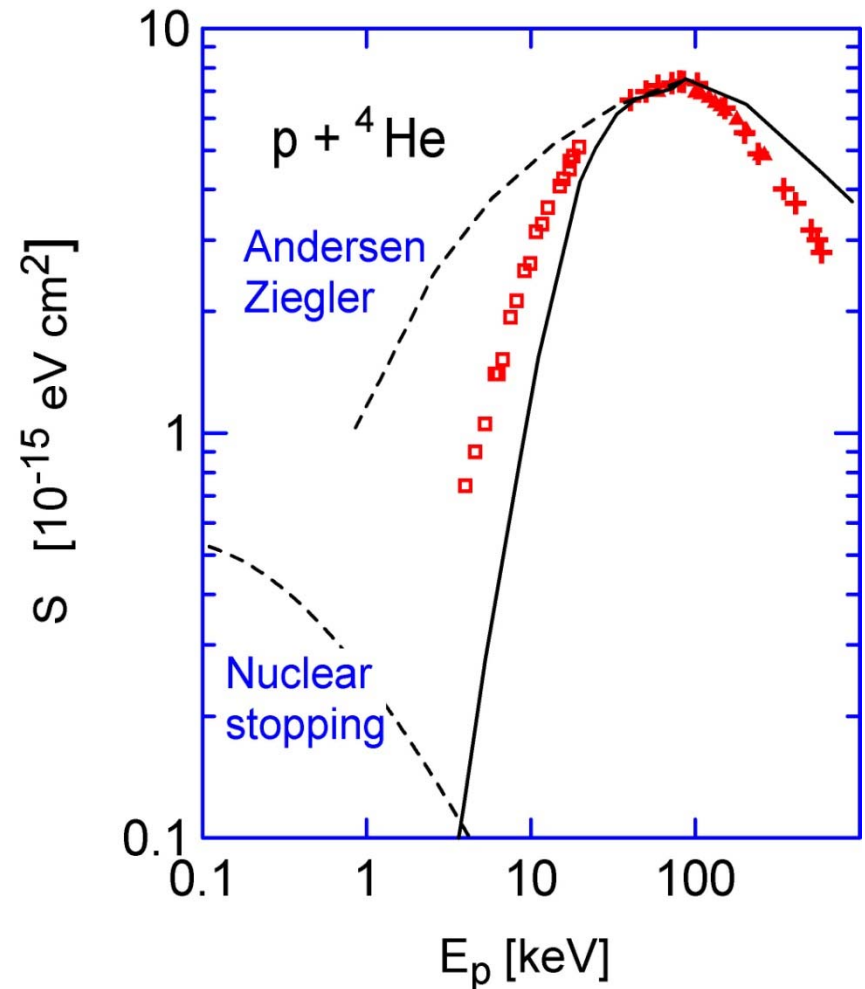
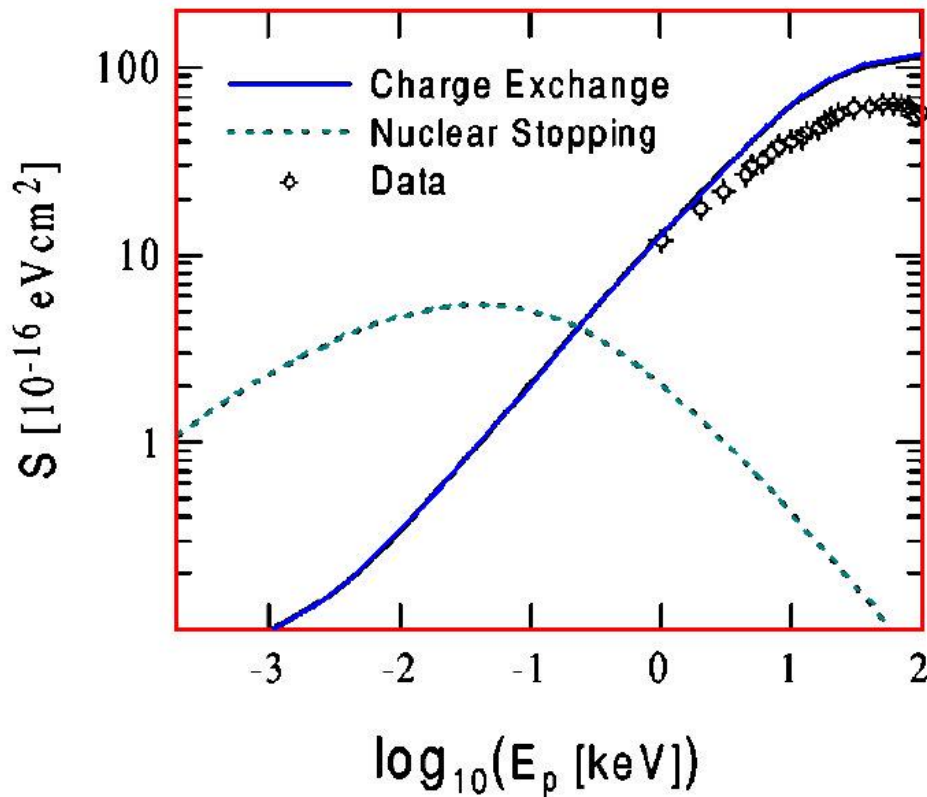
CB, PLB 2004

Threshold effect

$$E_p \geq \frac{\mu^2}{4M_p m_e} \Delta E \geq 8 \text{ keV}$$

He: $1s^2 \rightarrow 1s2s$: 19.8 eV

CB, de Paula, PRC 2000

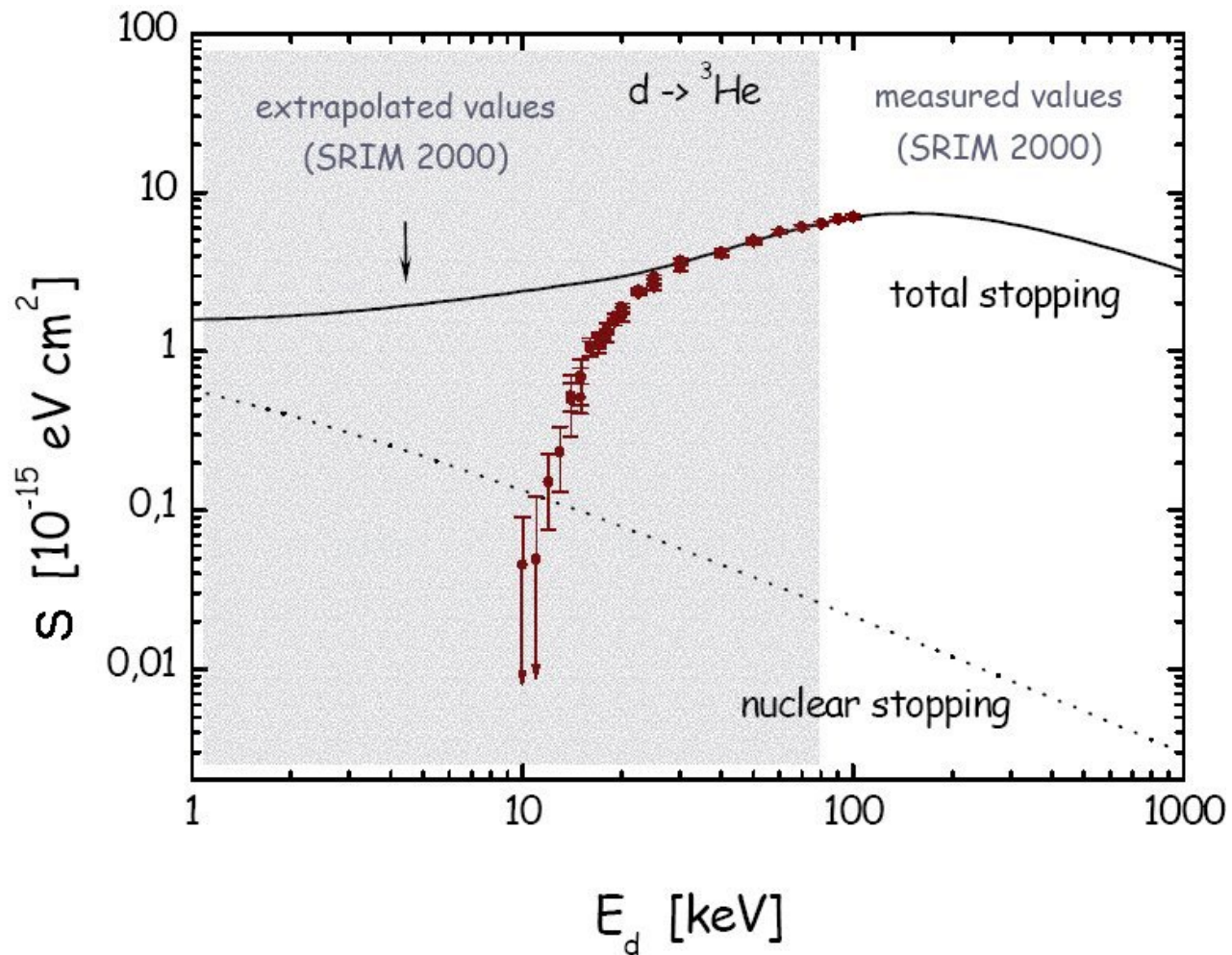


Experimental data

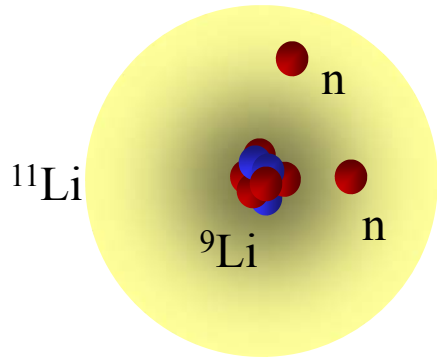
Formicola et al,
Eur. Phys. J. A 2000

Raiola et al, EPJ 2002

Rolfs, PTP 2004



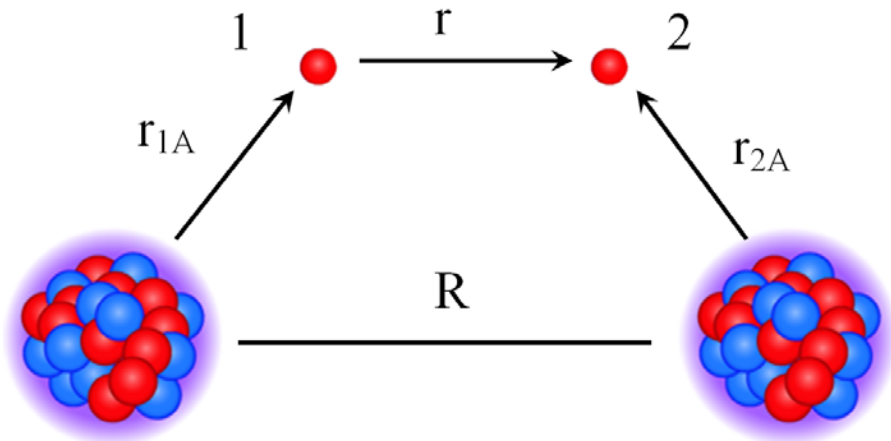
Example 2 Fusion of halo nuclei



$$\mathcal{H} = -\frac{\hbar^2}{2m} \nabla_1^2 - \frac{\hbar^2}{2m} \nabla_2^2 + V_A(r_{1A}) + V_B(r_{1B}) + V_A(r_{2A}) + V_B(r_{2B})$$

$$\Psi_{\pm} \cong \Psi_A(r_{1A}) \pm \Psi_B(r_{1B})$$

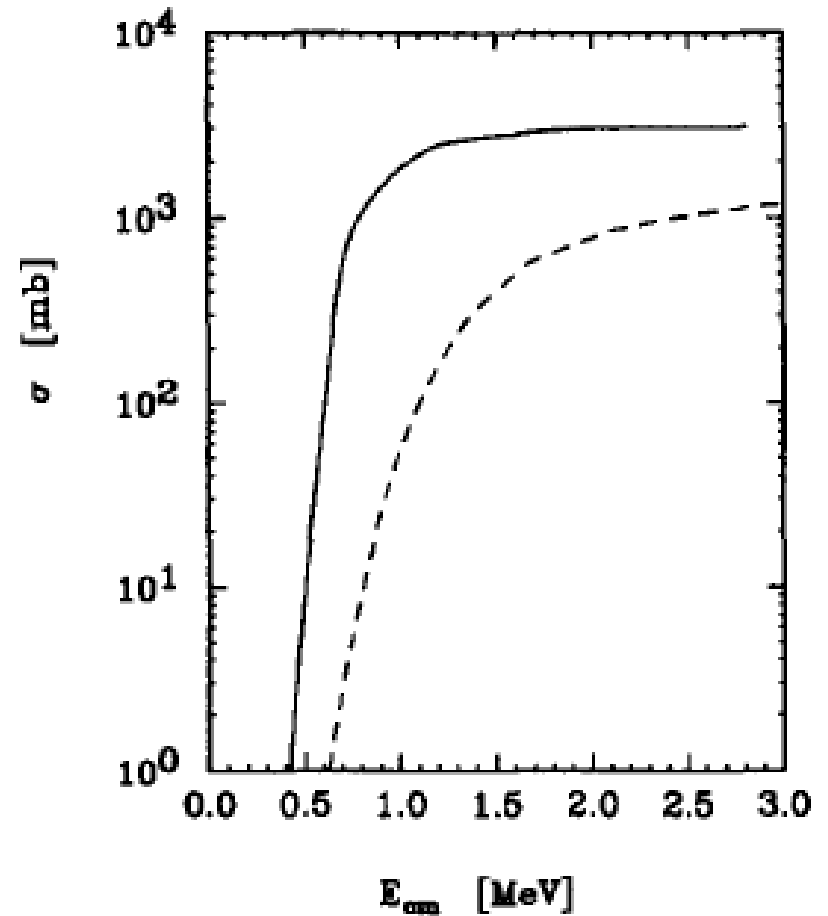
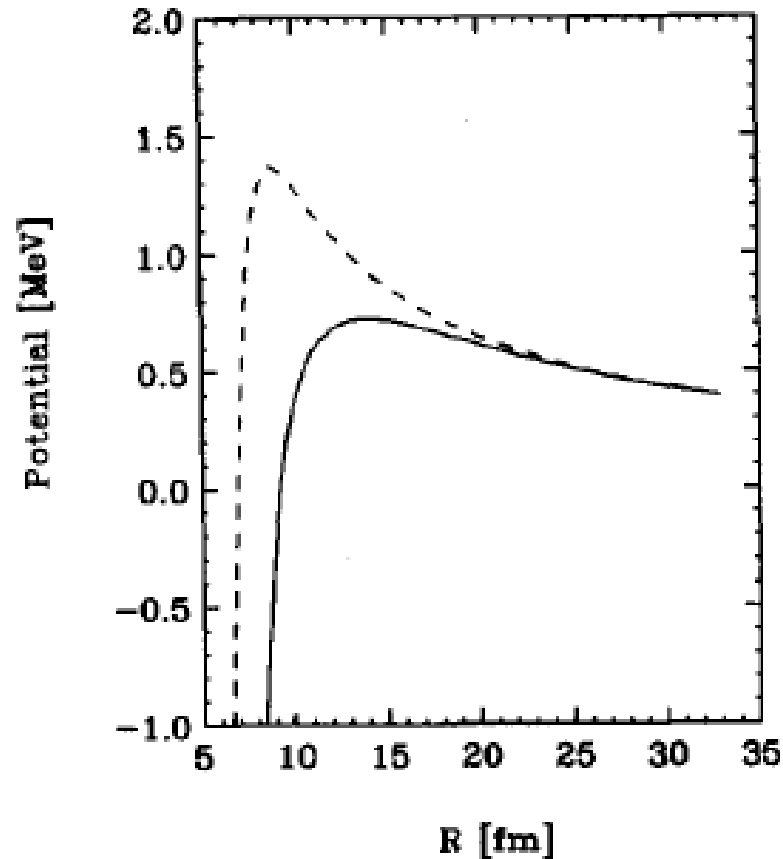
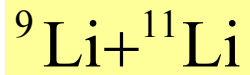
$$E(R) = \frac{\langle \Psi | \mathcal{H} | \Psi \rangle}{\langle \Psi | \Psi \rangle} = \frac{S_{2n}(1 + \mathcal{O}^2) + 2\mathcal{O}\mathcal{J} + 2\mathcal{I}}{1 + \mathcal{O}^2}$$



$$\mathcal{J} = \langle \Psi_A \| V_B(r_{1B}) \| \Psi_A \rangle$$

$$\mathcal{L} = \langle \Psi_A \| V_B(r_{1B}) \| \Psi_B \rangle$$

$$\mathcal{O} = \langle \Psi_A | \Psi_B \rangle$$

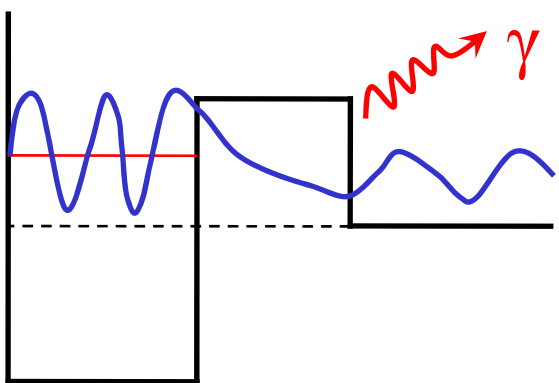


$$V(R) = E(R) - S_{2n}$$

$$\sigma(E_{cm}) = \frac{\pi}{k^2} \sum_l (2l+1) T_l(E_{cm})$$

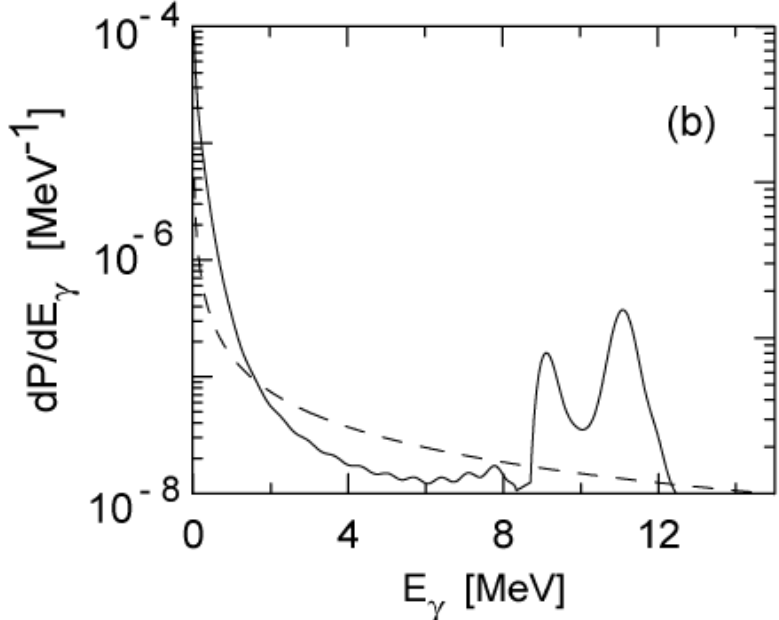
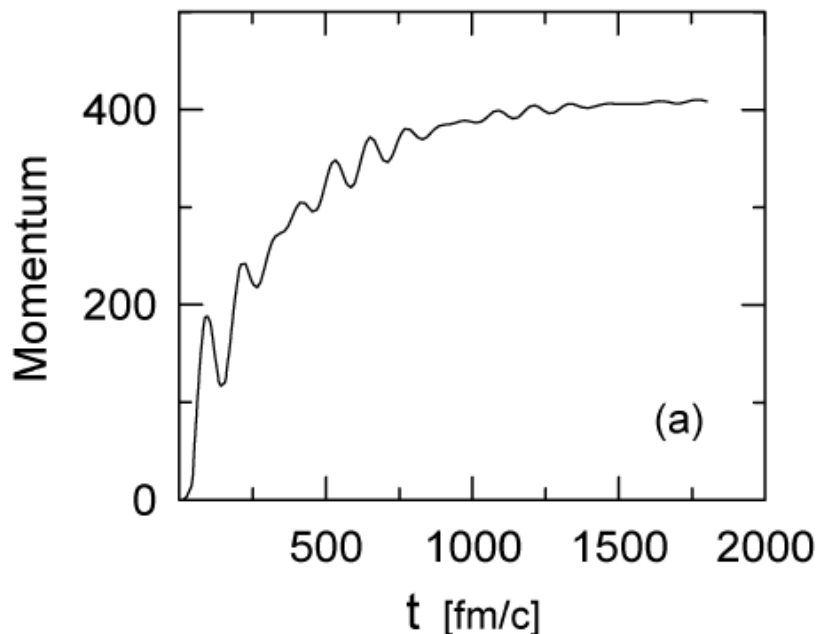
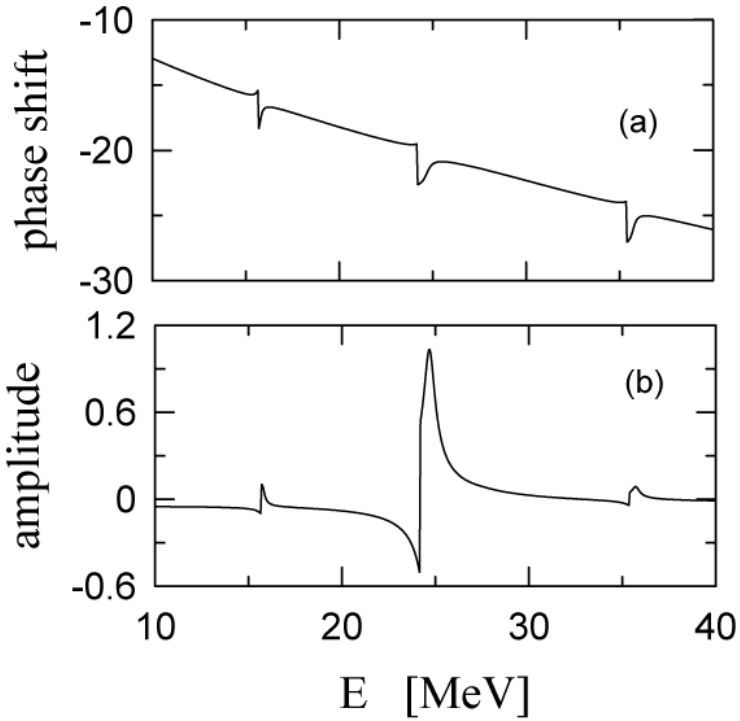
CB, Balantekin, PLB 314, 275 (1993)

Example 3 Bremsstrahlung in α -decay



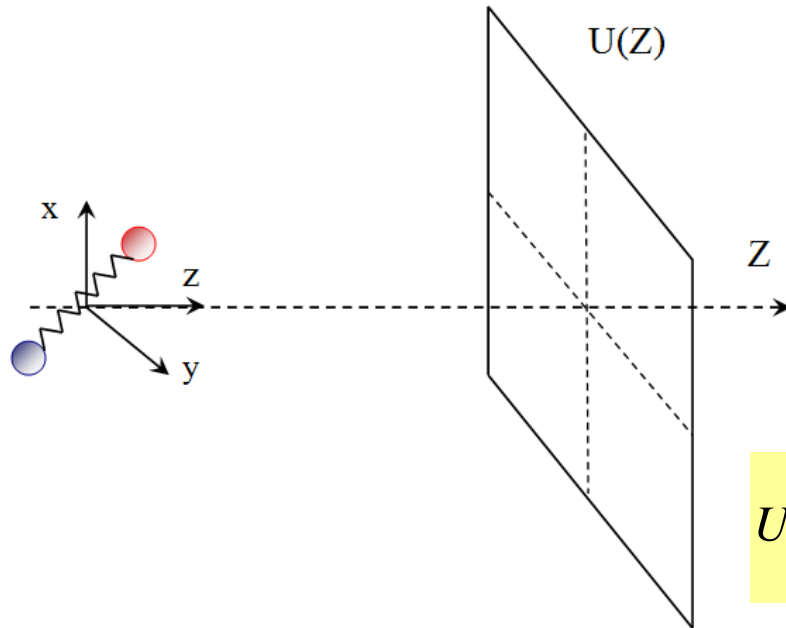
$$\frac{dP}{dE_\gamma} = \frac{1}{E_\gamma} \frac{dE(\omega)}{dE_\gamma}$$

$$dE(\omega) = \frac{8\pi\omega^2}{3m^2c^3} Z^2 e^2 \left| \langle \Psi | \hat{\mathbf{p}} | \Psi \rangle \right|^2$$



CB, de Paula, Zelevinsky,
PRC 60, 031602(R) (1999)

Composite particle tunneling through a barrier



$$\mathcal{H} = \frac{\mathbf{P}^2}{4m} + \frac{\mathbf{p}^2}{m} + U\left(Z - \frac{z}{2}\right) + U\left(Z + \frac{z}{2}\right) + V(r)$$

$$\left[\frac{\mathbf{p}^2}{m} + V(r) \right] \phi_n(\mathbf{r}) = \varepsilon_n \phi_n(\mathbf{r}) \quad \Psi(Z, \mathbf{r}) = \sum_{n=0}^{\infty} \psi(Z) \phi_n(\mathbf{r})$$

$$U_{nm}(Z) = \frac{4m}{\hbar^2} \int \left[U\left(Z + \frac{z}{2}\right) + U\left(Z - \frac{z}{2}\right) \right] \phi_n^*(\mathbf{r}) \phi_m(\mathbf{r}) d\mathbf{r}$$

$$\left(\frac{d^2}{dZ^2} + k_n^2 \right) \psi_n(Z) - \sum_m U_{nm}(Z) \psi_m(Z) = 0$$

$$k_n^2 = \frac{4m}{\hbar^2} (E - \varepsilon_n)$$

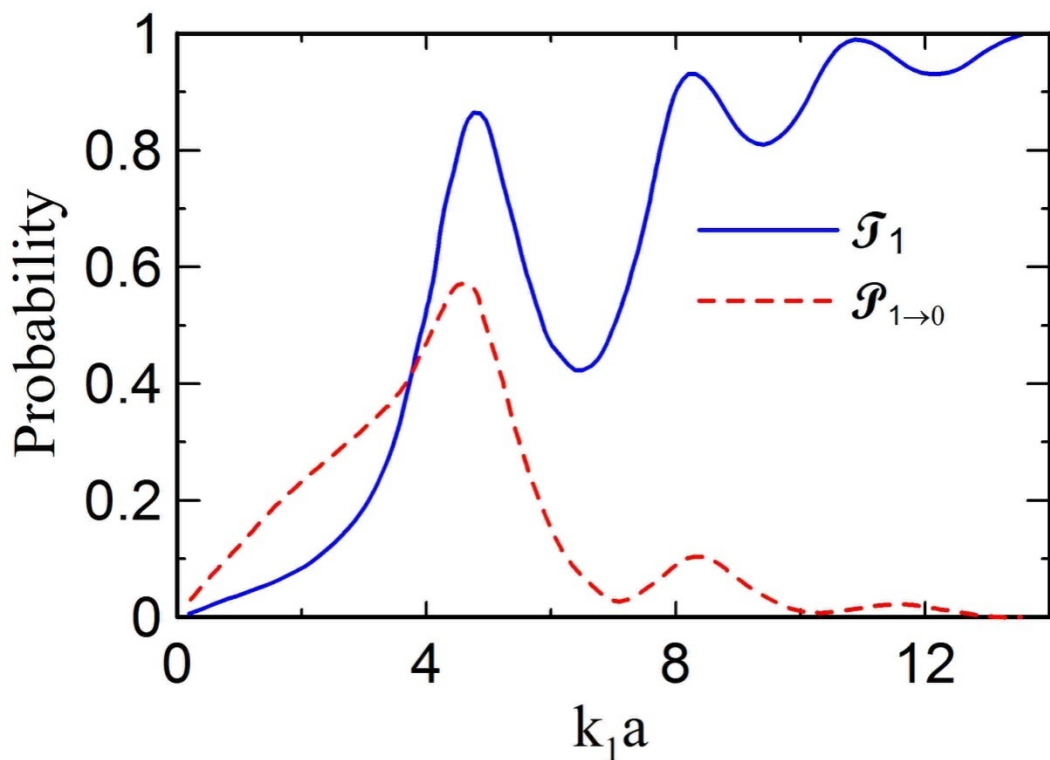
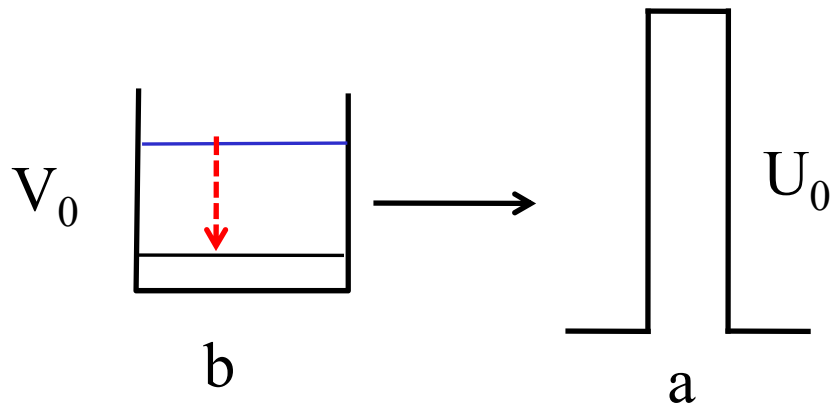
$$\psi_{nl}(Z) = e^{ik_n Z} \delta_{nl} + \frac{1}{2ik_n} \sum_{m=0}^{\infty} \int_{-\infty}^{\infty} e^{ik_n(Z-Z')} U_{nm}(Z') \psi_{ml}(Z') dZ'$$

$$R_{nl}(Z) = \frac{1}{2ik_n} \sum_{m=0}^{\infty} \int_{-\infty}^{\infty} e^{ik_n Z'} U_{nm}(Z') \psi_{ml}(Z') dZ'$$

$$T_{nl}(Z) = \delta_{nl} + \frac{1}{2ik_n} \sum_{m=0}^{\infty} \int_{-\infty}^{\infty} e^{-ik_n Z'} U_{nm}(Z') \psi_{ml}(Z') dZ'$$

$$\mathcal{R}_l = \sum_{n=0}^{\infty} \frac{k_n}{k_l} |R_{nl}|^2, \quad \mathcal{I}_l = \sum_{n=0}^{\infty} \frac{k_n}{k_l} |T_{nl}|^2$$

$$\mathcal{P}_{n \rightarrow l} = \frac{k_n}{k_l} (|R_{nl}|^2 + |T_{nl}|^2)$$



Step barrier

$$\frac{U_0 a}{\hbar c} = 10, \quad \sqrt{\frac{m U_0}{\hbar^2}} a = 6$$

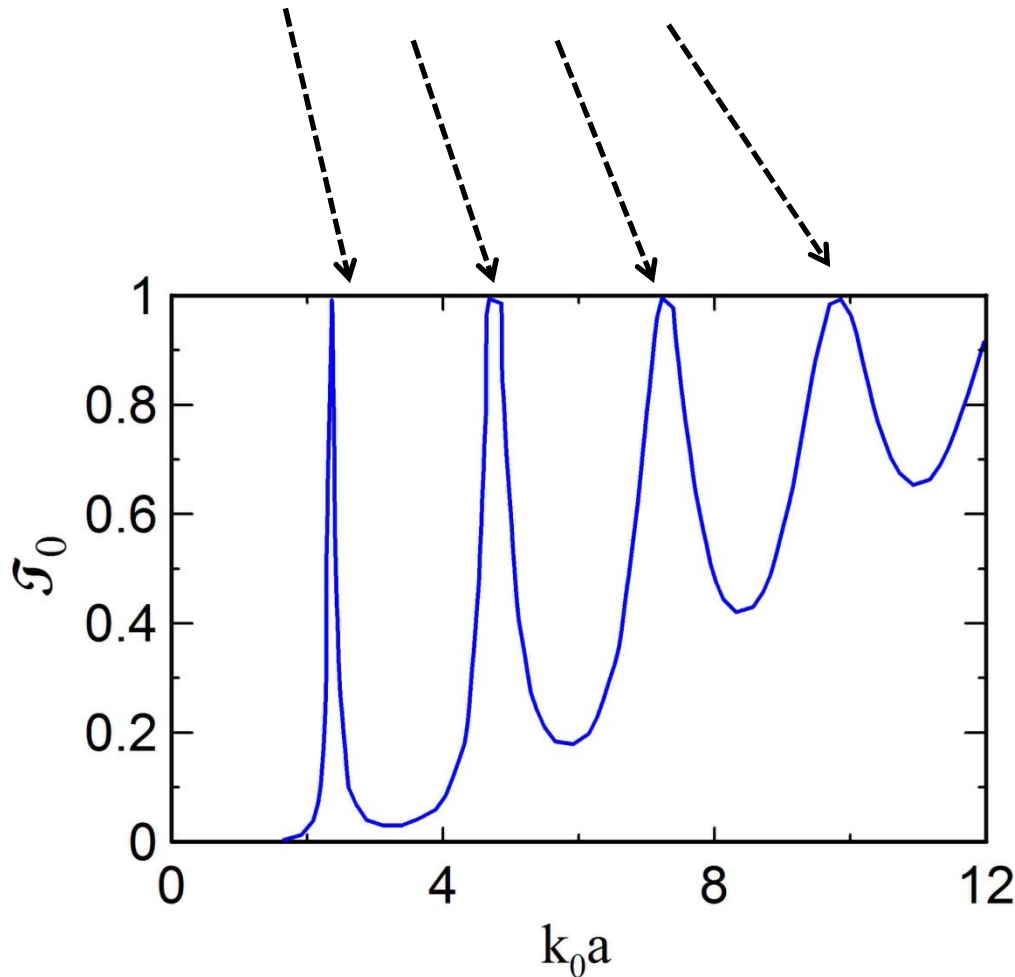
Square-well particle-particle interaction

$$\frac{V_0 b}{\hbar c} = 2, \quad \sqrt{\frac{m V_0}{\hbar^2}} b = 4$$

Deuteron tunneling through a barrier

$$k_0 + \frac{2mU_0a}{\hbar^2} \tan\left(k_0\sqrt{\langle r^2 \rangle}\right) = 0$$

valid for $U_0a \rightarrow \delta(Z)$



For $d + d \rightarrow {}^4\text{He}$

With a and U_0 simulating Coulomb barrier

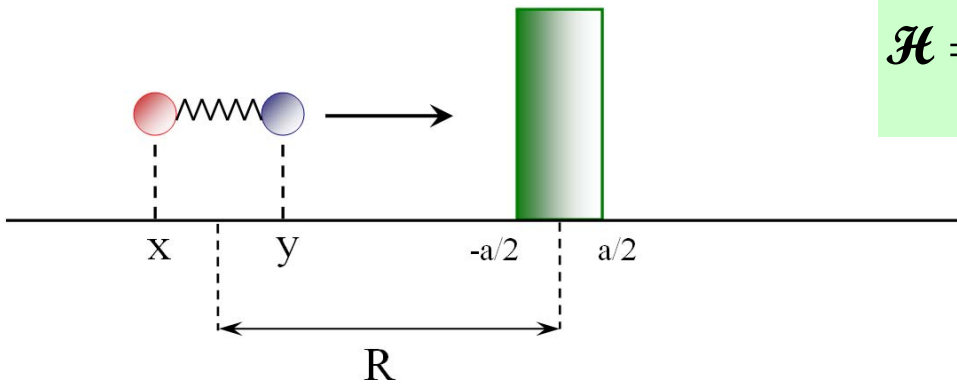
→ No tunneling resonance

For large Z 's, A 's

→ Many resonances possible, if $\langle r^2 \rangle$ large (loosely-bound)

Effective potential and fusion enhancement

CB, Flambaum, Zelevinsky,
JPG 34,1 (2007)



$$\mathcal{H} = -\frac{p_x^2}{2m_x} + \frac{p_y^2}{2m_y} + V(x-y) + U(x) + U(y)$$

$$x, y \rightarrow r, R$$

$$\Psi(r, R) = \psi(R)\phi(r, R)$$

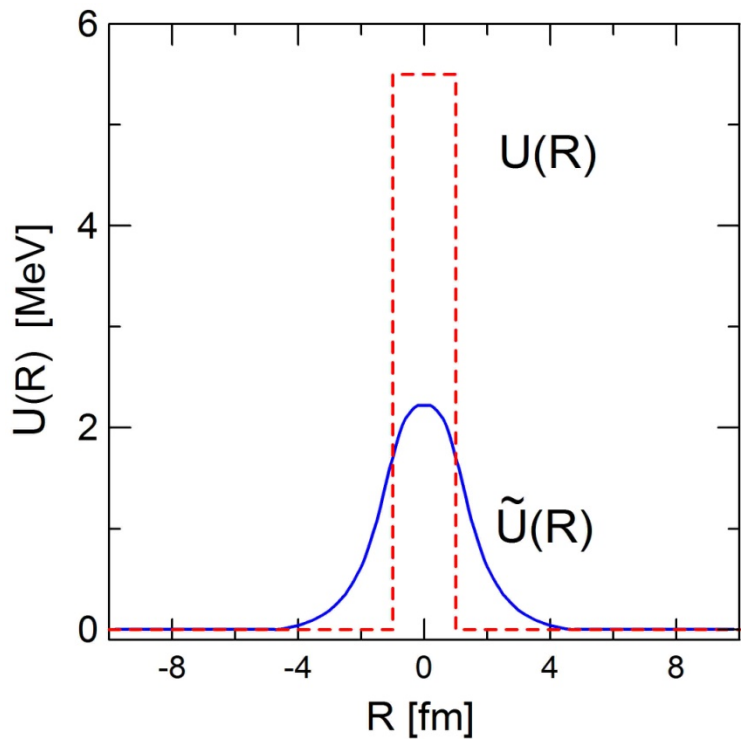
$$\alpha(R) = \left\langle \phi \left| \frac{\partial \phi}{\partial R} \right. \right\rangle \quad \phi \text{ normalized}$$

$$\psi(R) = u(R) \exp \left[- \int^R \alpha(R') dR' \right]$$

$$u''(R) + \frac{2M}{\hbar^2} [E - \tilde{U}(R)] u(R) = 0$$

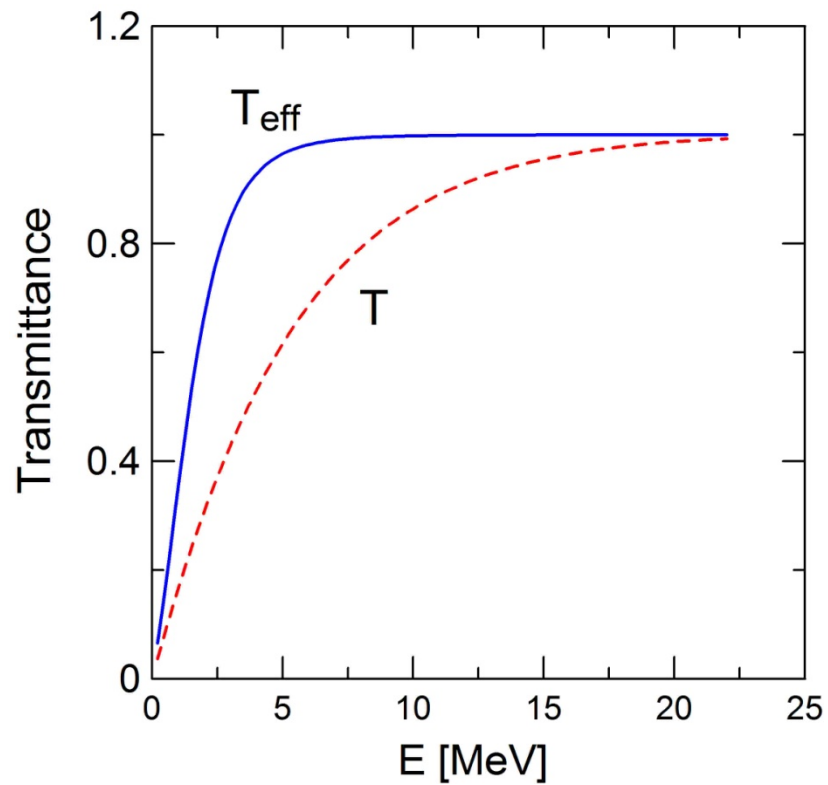
$$\tilde{U}(R) = \varepsilon(R) - E_0 + \frac{\hbar^2}{2M} [\alpha^2(R) + \alpha'(R) + \beta(R)]$$

$$\beta(R) = \left\langle \phi \left| \frac{\partial^2 \phi}{\partial R^2} \right. \right\rangle$$



$E_0 = -2.225$ MeV
 $r_0 = 2$ fm

CB, Flambaum, Zelevinsky,
 JPG 34, 1 (2007).



Conclusions & Perspectives:

- Tunneling of molecules and atoms
Lauhon, Ho, PRL 85, 4566 (2000) (H-atom tunnels a copper surface)
Yazdani, Nature 409, 471 (2001)
- Tunneling of Cooper pairs
Zelevinsky, Flambaum, JPG 34, 355 (2005)
- Tunneling of excitons
Saito, Kayanuma, Phys. Rev. B 51, 5453 (1995)
Jin et al., Acta Phys. Sin. 53 3211 (2004)
- Fusion of loosely-bound nuclear systems
C.B., Zelevinsky, in progress