

# Many-body localization and nonlinear transport in disordered conductors

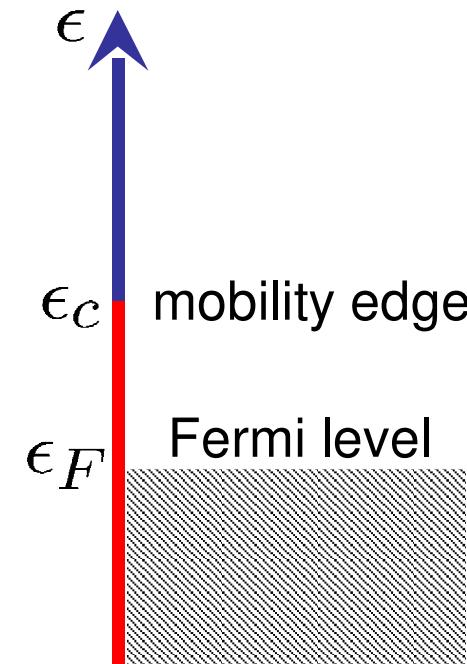
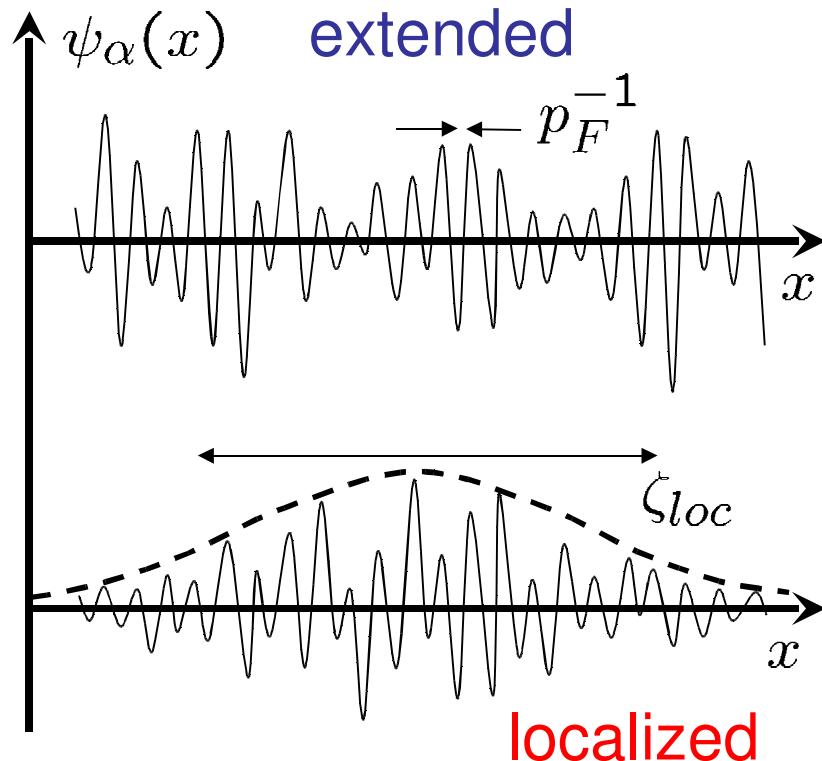
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I. L. Aleiner  
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# Outline

- Introduction: dc conduction in Anderson insulators at low temperatures
- Effect of electron-electron interaction:  
finite- $T$  metal-insulator transition in the absence of electron-phonon coupling
- Effect of electron-phonon coupling:  
nonlinear  $I$ - $V$  curve

# Free electrons in a random potential



**dc conductivity:**

$$\epsilon_c < \infty \Rightarrow \sigma(T) \propto \exp\left(-\frac{\epsilon_c - \epsilon_F}{T}\right)$$

$$\epsilon_c = \infty \Rightarrow \boxed{\sigma(T) = 0}$$

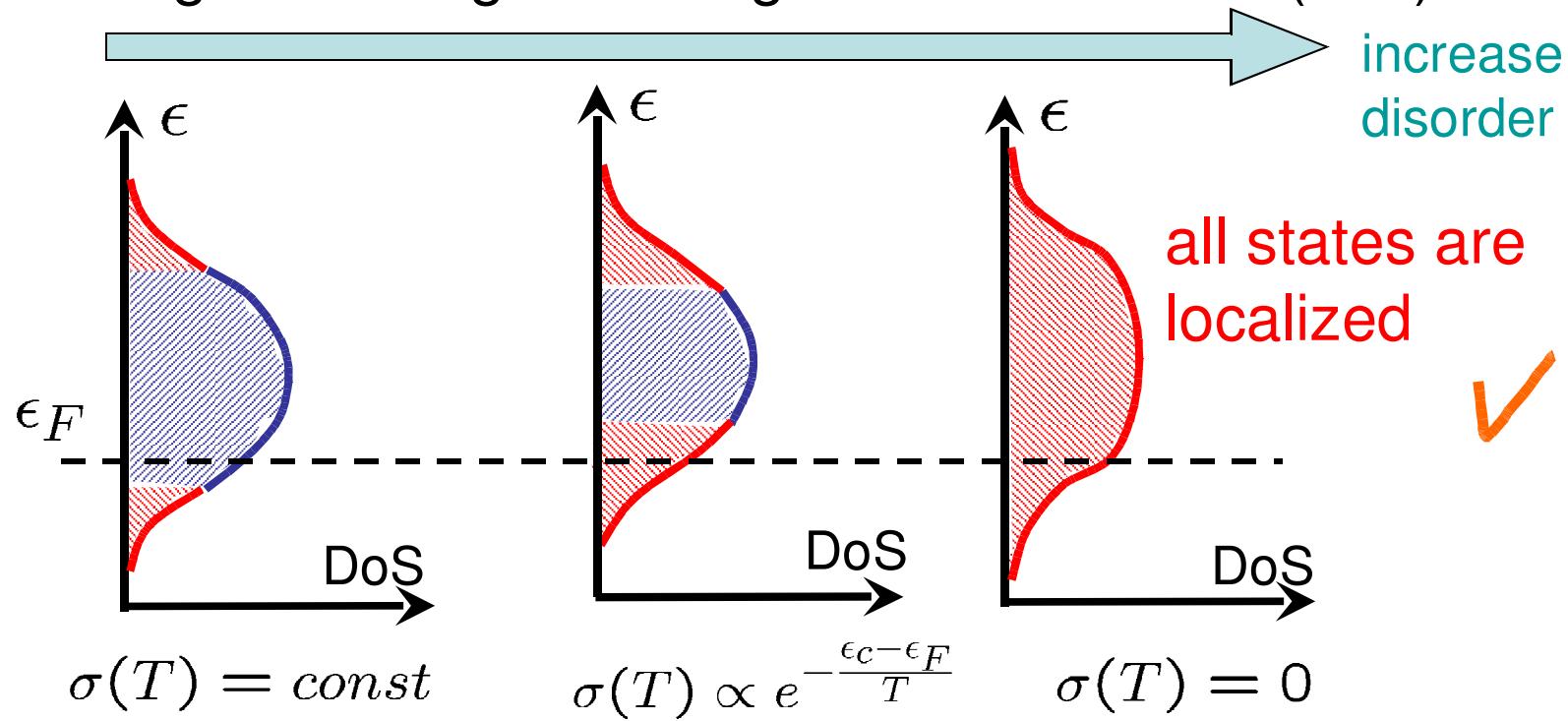
thermal population of  
extended eigenstates

all eigenstates are localized

Free electrons +  
random potential:

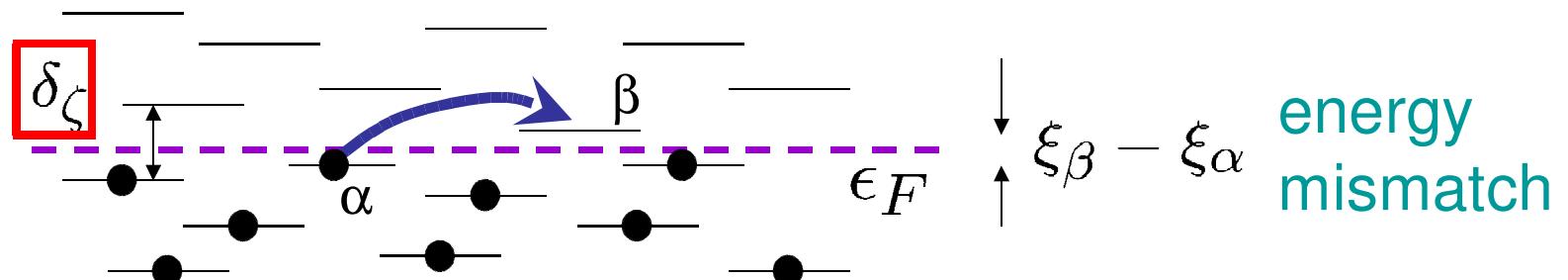
- $d=1$ : all states are localized ✓
- $d=2$ : the same (?) ✓
- $d>2$ : mobility edge

Single-band tight-binding Anderson model ( $d>2$ ):



Our main assumption: all states are localized

## Inelastic processes $\Rightarrow$ transitions between localized states



Level spacing in the localization volume:  $\delta\zeta = \frac{1}{\nu \zeta_{loc}^d}$

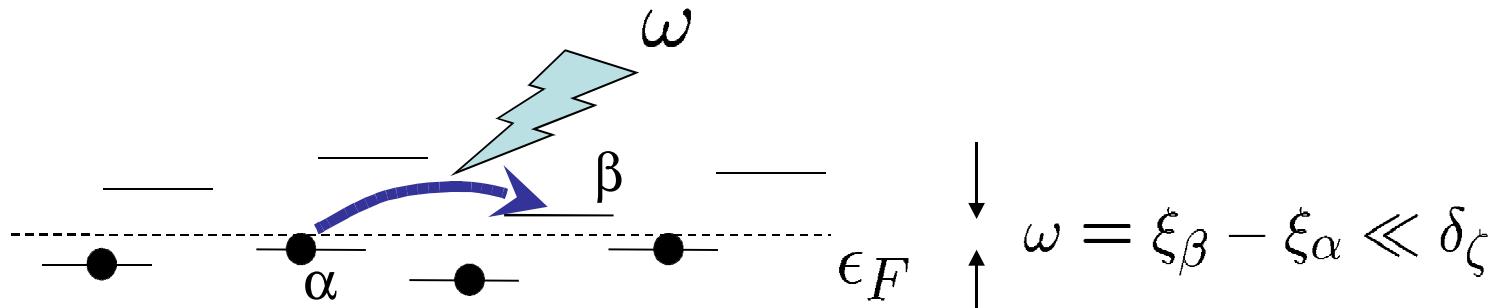
DoS per unit volume      localization volume

$$\sigma(T) \propto \Gamma_\alpha \text{ (inelastic lifetime)}^{-1}$$

$T = 0 \Rightarrow \sigma = 0$  (any mechanism)

$T \rightarrow 0 \Rightarrow \sigma = ?$

# Phonon-assisted hopping



energy difference can always be matched by a phonon

Mott formula:  $\sigma(T) \propto T^\gamma \exp\left[-\left(\frac{\delta_\zeta}{T}\right)^{1/(d+1)}\right]$

without  
Coulomb  
gap

mechanism-  
dependent  
prefactor

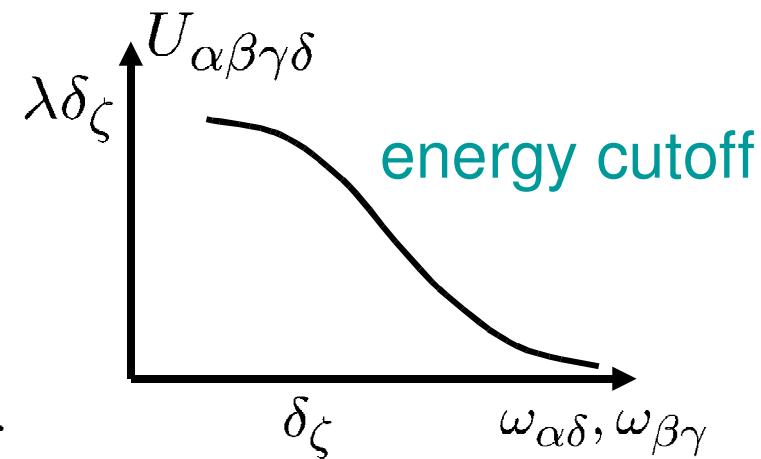
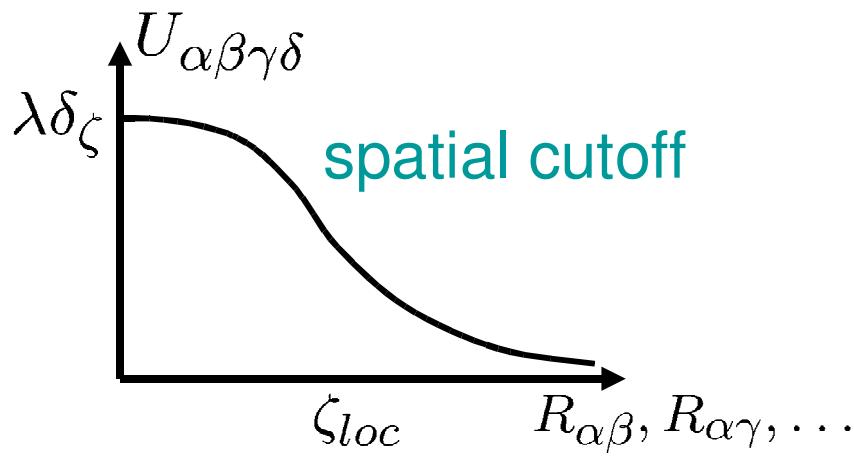
any bath with a continuous  
spectrum of delocalized  
excitations down to  $E=0$

No phonons, **e-e** interaction {weak  
short-range}

$$U(\vec{r} - \vec{r}') = \frac{\lambda}{\nu} \delta(\vec{r} - \vec{r}'), \quad \frac{1}{\nu} \equiv \delta_\zeta \zeta_{loc}^d$$

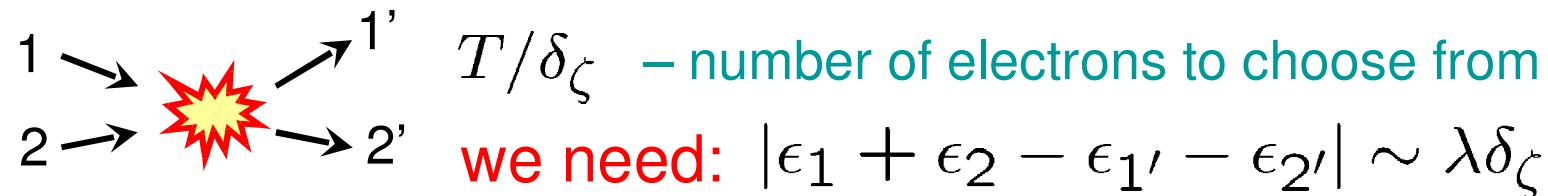
DoS per unit volume      dimensionless interaction strength ( $\ll 1$ )      level spacing      localization volume

Matrix elements between localized wave functions:



# Energy conservation problem

emission of electron-hole pair  $\leftrightarrow$  pair “collision”:



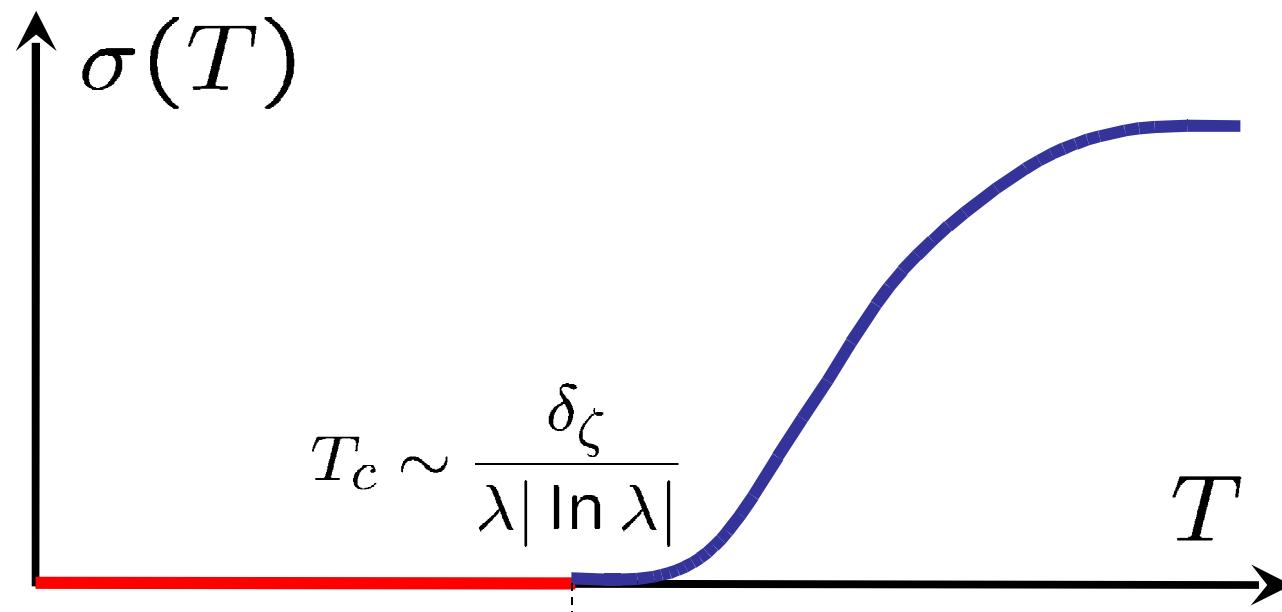
problems start at  $T \ll \delta_\zeta/\lambda$  (Fleishman & Anderson, 1980)

triple “collision”:

$$|\epsilon_1 + \epsilon_2 + \epsilon_3 - \epsilon_{1'} - \epsilon_{2'} - \epsilon_{3'}| \sim \frac{(\lambda\delta_\zeta)^2}{\delta_\zeta}$$

1. Lower temperatures  $\rightarrow$  harder to conserve energy
2. Need to consider many-electron processes to ALL orders of perturbation theory

The answer is  $\sigma(T) = 0, T < T_c$



the system is softened,  
but not sufficiently

e-e interaction is sufficient  
to cause real transitions

finite-temperature metal-insulator transition

# Anderson localization in the many-body Fock space

$$\begin{aligned}\xi_\alpha &\rightarrow \xi_\gamma + \xi_\delta - \xi_\beta \rightarrow && (\text{A., G., K., \& L., 1997}) \\ &\rightarrow \xi_1 + \xi_2 + \xi_3 - \xi_4 - \xi_5 \rightarrow \dots\end{aligned}$$

- many-body Fock states → sites with random energies
- e-e interaction → coupling between sites
- metal-insulator transition → Anderson transition
- temperature → coordination number

Systematic treatment of many-electron transitions:

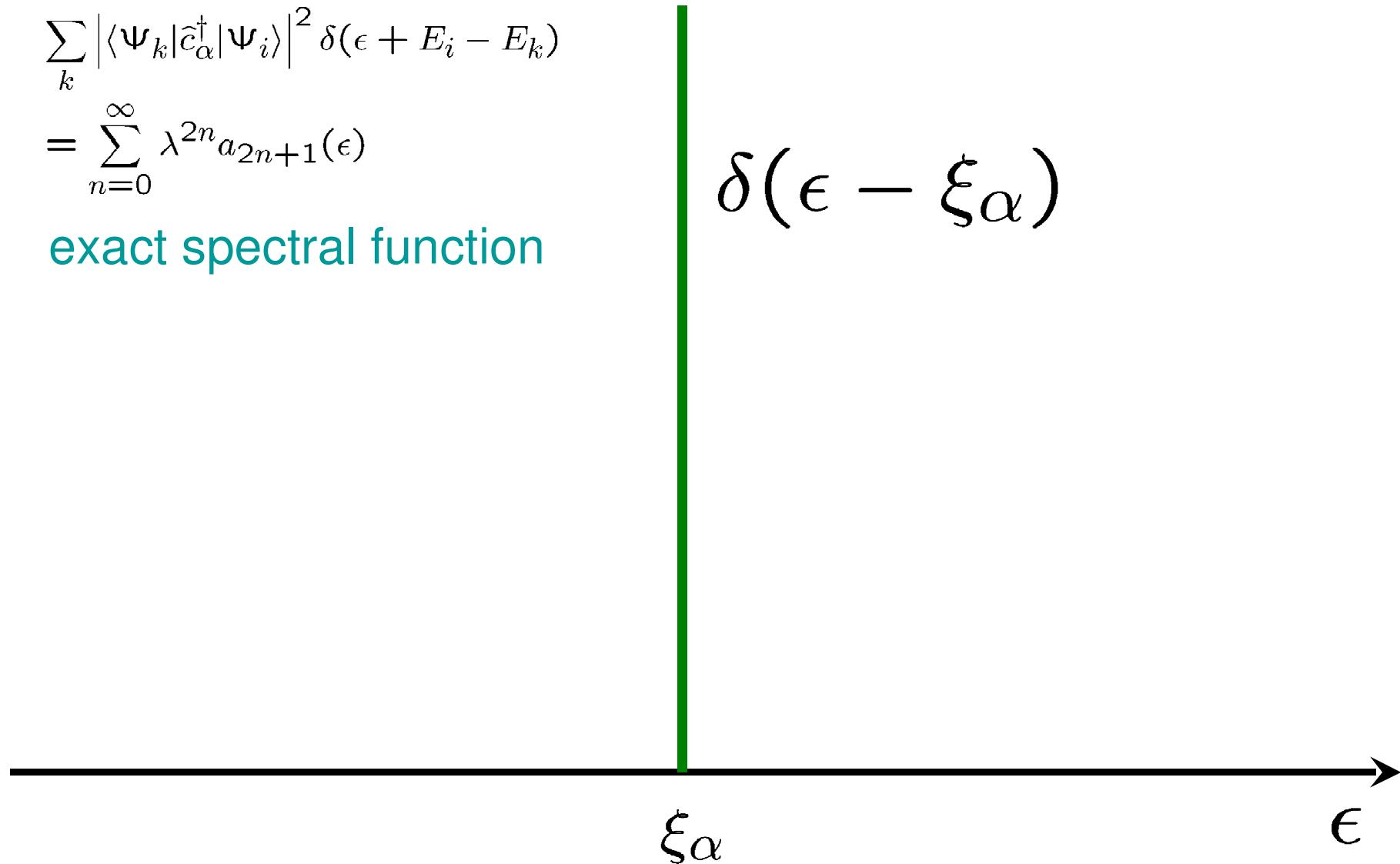
D. B., I. Aleiner, and B. Altshuler, Ann. Phys. 321, 1126–1205 (2006)

## one-particle spectral weight ( $\lambda^0$ )

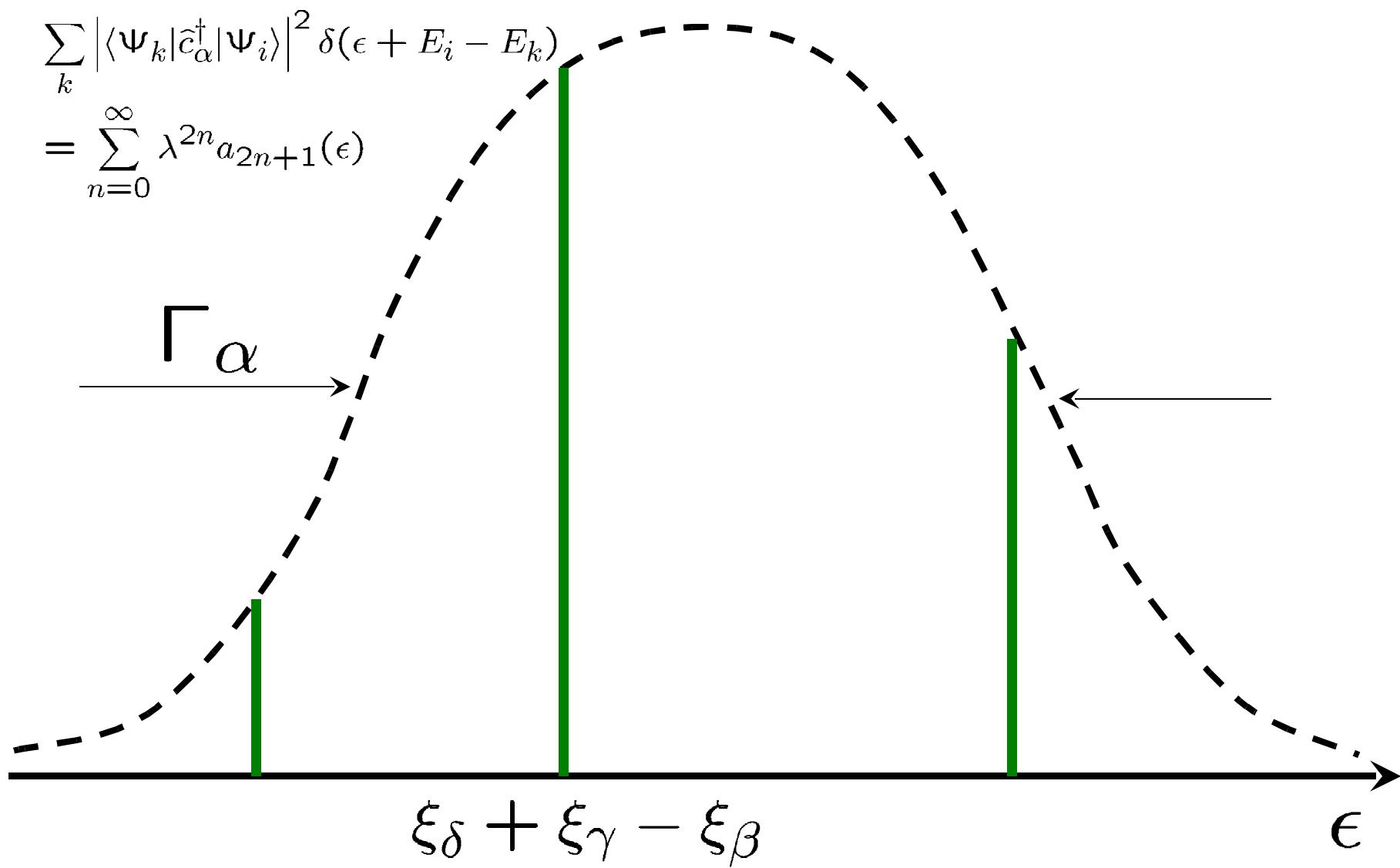
$$\sum_k \left| \langle \Psi_k | \hat{c}_\alpha^\dagger | \Psi_i \rangle \right|^2 \delta(\epsilon + E_i - E_k)$$

$$= \sum_{n=0}^{\infty} \lambda^{2n} a_{2n+1}(\epsilon)$$

exact spectral function



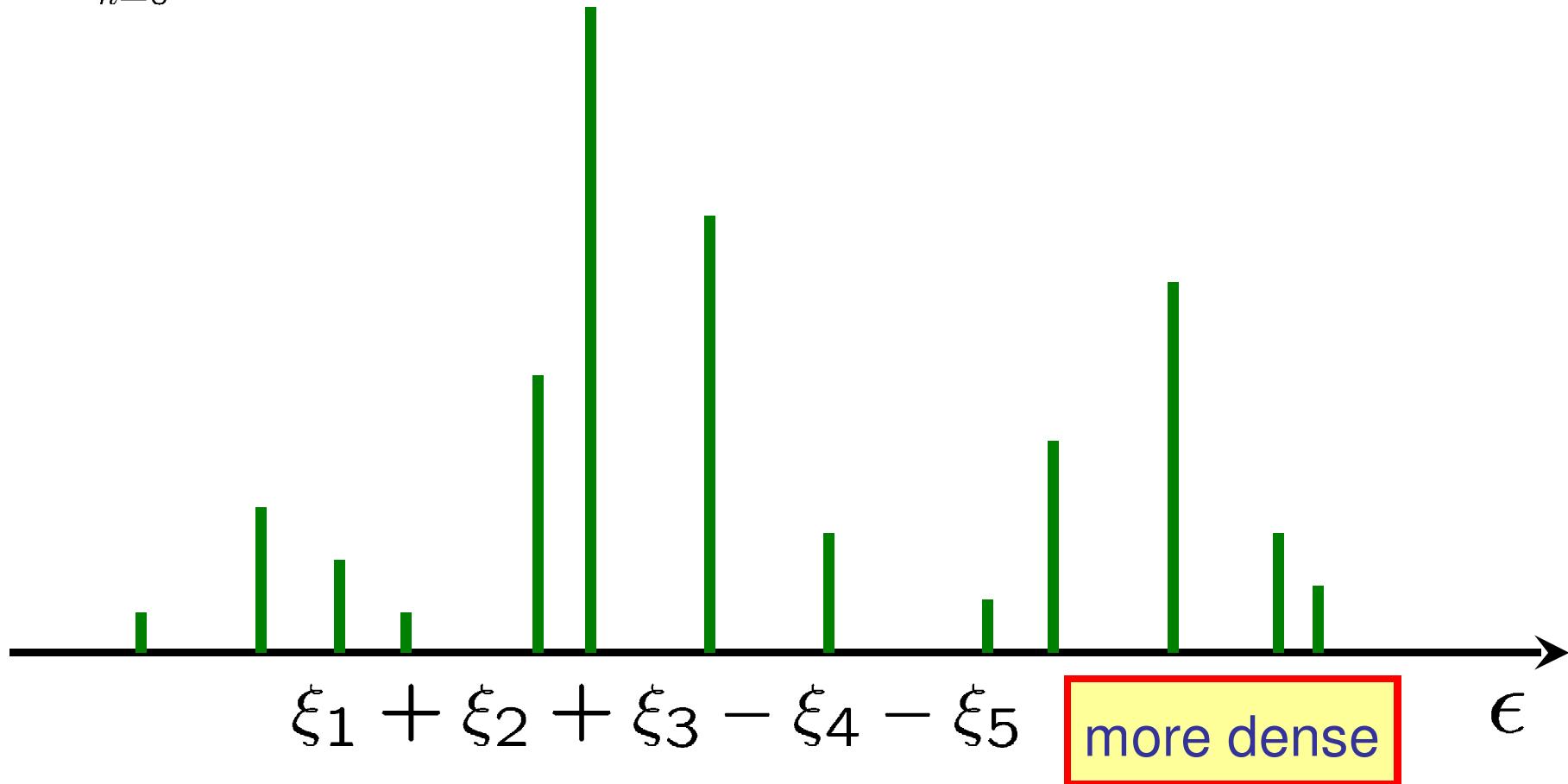
## three-particle spectral weight ( $\lambda^2$ )



# five-particle spectral weight ( $\lambda^4$ )

$$\sum_k \left| \langle \Psi_k | \hat{c}_\alpha^\dagger | \Psi_i \rangle \right|^2 \delta(\epsilon + E_i - E_k)$$

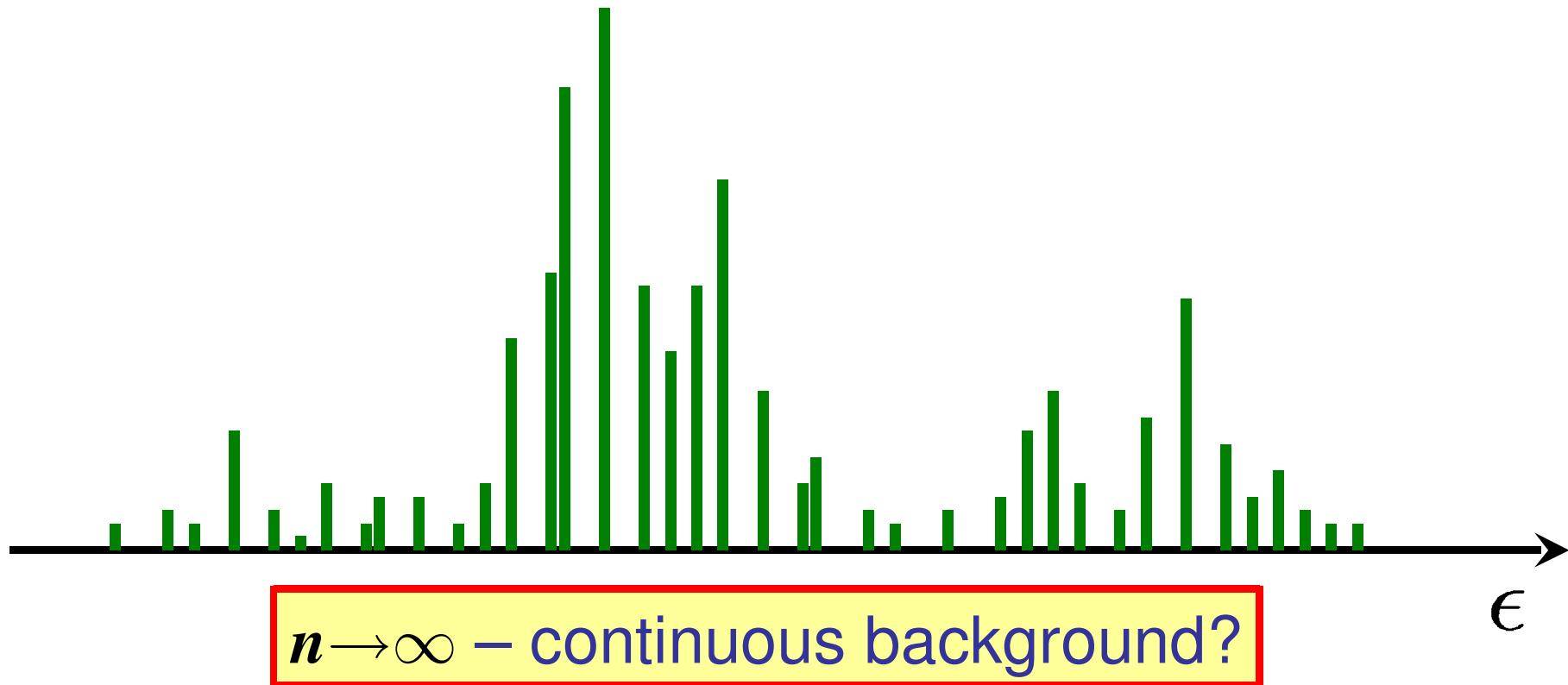
$$= \sum_{n=0}^{\infty} \lambda^{2n} a_{2n+1}(\epsilon)$$



# seven-particle spectral weight ( $\lambda^6$ )

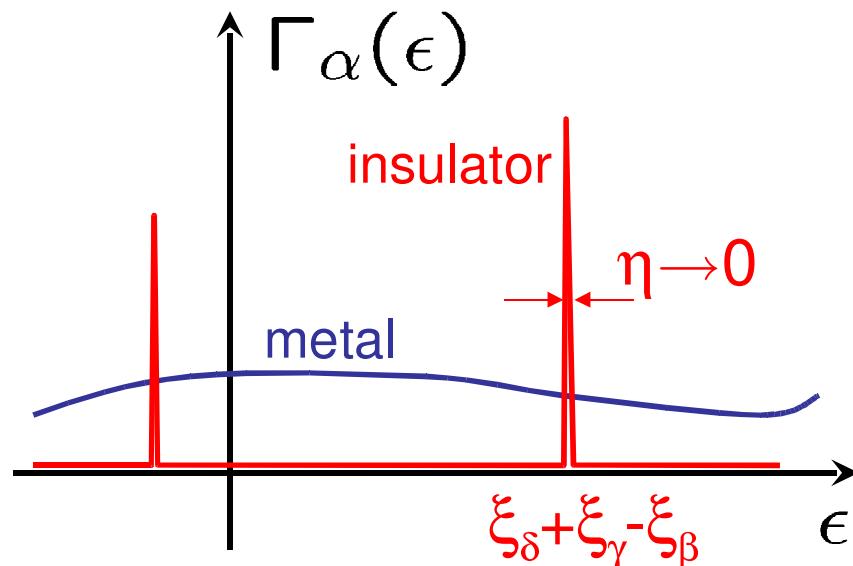
$$\sum_k \left| \langle \Psi_k | \hat{c}_\alpha^\dagger | \Psi_i \rangle \right|^2 \delta(\epsilon + E_i - E_k)$$

$$= \sum_{n=0}^{\infty} \lambda^{2n} a_{2n+1}(\epsilon)$$



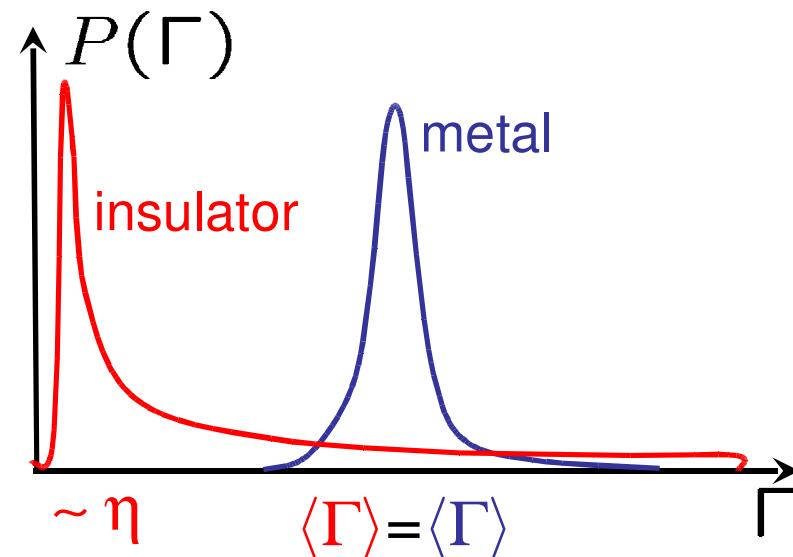
# What to calculate? (Anderson, 1958)

$$\Gamma_\alpha(\epsilon) = \text{Im} \sum_\alpha (\epsilon + i\eta) - \text{random quantity}$$



behavior for a  
given realization

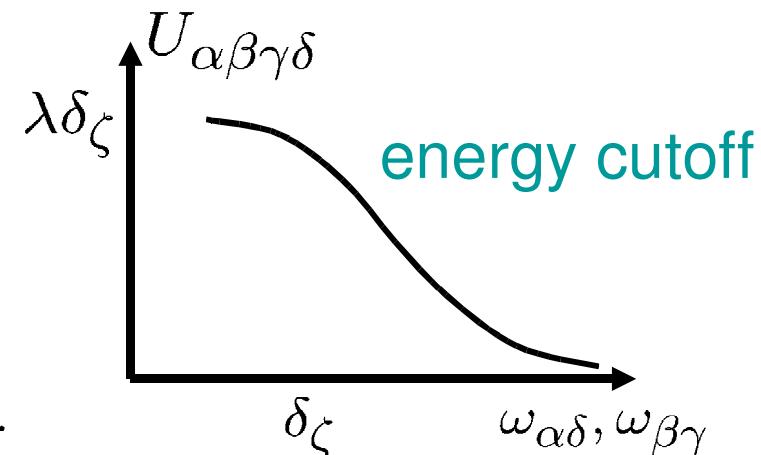
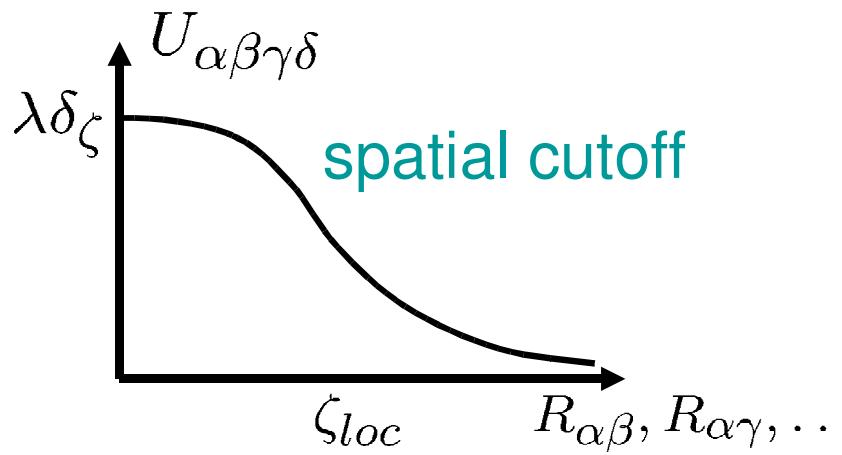
working criterion:  $\lim_{\eta \rightarrow 0} \lim_{V \rightarrow \infty} P(\Gamma) \begin{cases} > 0 & \text{metal} \\ = 0 & \text{insulator} \end{cases}$



probability distribution  
for a fixed energy

$$\hat{H} = \sum_{\alpha} \xi_{\alpha} \hat{c}_{\alpha}^{\dagger} \hat{c}_{\alpha} + \sum_{\alpha\beta\gamma\delta} U_{\alpha\beta\gamma\delta} \hat{c}_{\alpha}^{\dagger} \hat{c}_{\beta}^{\dagger} \hat{c}_{\gamma} \hat{c}_{\delta}$$

## Two essential facts:



These facts can be modeled in different ways

# Self-consistent Born approximation

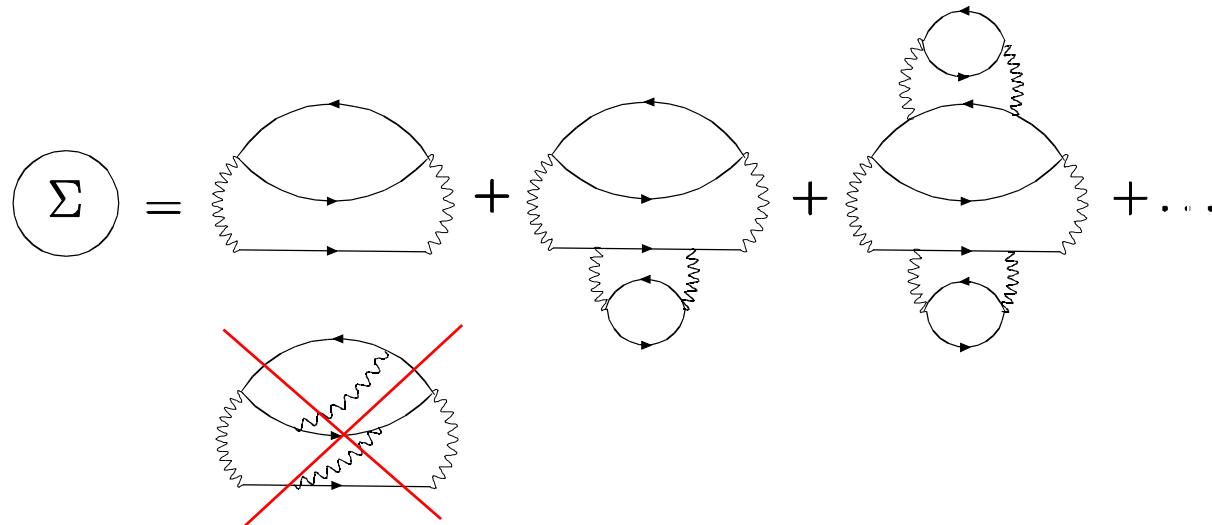
$$\rightarrow = \rightarrow + \rightarrow \circlearrowright \Sigma \rightarrow$$

$$\Sigma = \text{loop diagram} + \text{diagram with two external lines}$$

$$G_\alpha(\epsilon) = [\epsilon - \xi_\alpha - \Sigma_\alpha(\epsilon)]^{-1}$$

$$\Sigma_\alpha(\epsilon) = \dots G_\beta G_\gamma G_\delta \dots$$

iterations of SCBA: max number of particles in the final state



## Stability of the metallic phase: finite broadening is self-consistent

- $P(\Gamma) = \frac{1}{\sqrt{2\pi\langle\delta\Gamma^2\rangle}} \exp\left[-\frac{(\Gamma - \langle\Gamma\rangle)^2}{2\langle\delta\Gamma^2\rangle}\right]$   
 $\sqrt{\langle\delta\Gamma^2\rangle} \ll \langle\Gamma\rangle$  as long as  $T \gg \frac{\delta\zeta}{\lambda}$
- $\langle\Gamma\rangle \ll \delta\zeta$  (levels well resolved)
- quantum kinetic equation for transitions between localized states  
 $\sigma(T) \propto \lambda^2 T^\alpha$  (model-dependent)

## Stability of the insulating phase: NO spontaneous generation of broadening

- $\Gamma_\alpha(\epsilon) \equiv 0$  is always a solution
- $\epsilon \rightarrow \epsilon + i\eta$  – linear stability analysis:

$$\frac{\Gamma}{(\epsilon - \xi_\alpha)^2 + \Gamma^2} \rightarrow \pi\delta(\epsilon - \xi_\alpha) + \frac{\Gamma}{(\epsilon - \xi_\alpha)^2}$$

- after  $n$  iterations of SCBA equations:

$$P_n(\Gamma) \propto \frac{\eta}{\Gamma^{3/2}} \left( \text{const} \cdot \frac{\lambda T}{\delta_\zeta} \ln \frac{1}{\lambda} \right)^n$$

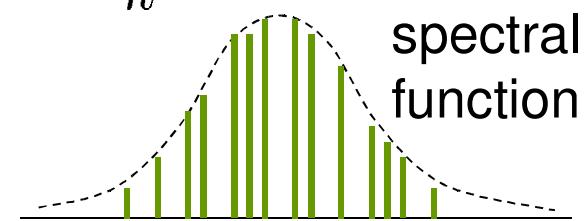
first  $n \rightarrow \infty$ , then  $\eta \rightarrow 0$

# Many-body (de)localization

eigenstates  $\begin{cases} \text{one-body } |\alpha\rangle & - \text{localized in space} \\ \text{many-body } |\Psi_k\rangle & - \text{whole volume: } E_k \propto V \end{cases}$

Electron-hole excitation:  $\hat{a}_\alpha^\dagger \hat{a}_\beta |\Psi_k\rangle = \sum_{k'} C_{kk'}^{\alpha\beta} |\Psi_{k'}\rangle$

IPR:  $\lim_{V \rightarrow \infty} \sum_{k'} |C_{kk'}^{\alpha\beta}|^4 \begin{cases} = 0 & \text{extended} \\ > 0 & \text{localized} \end{cases}$

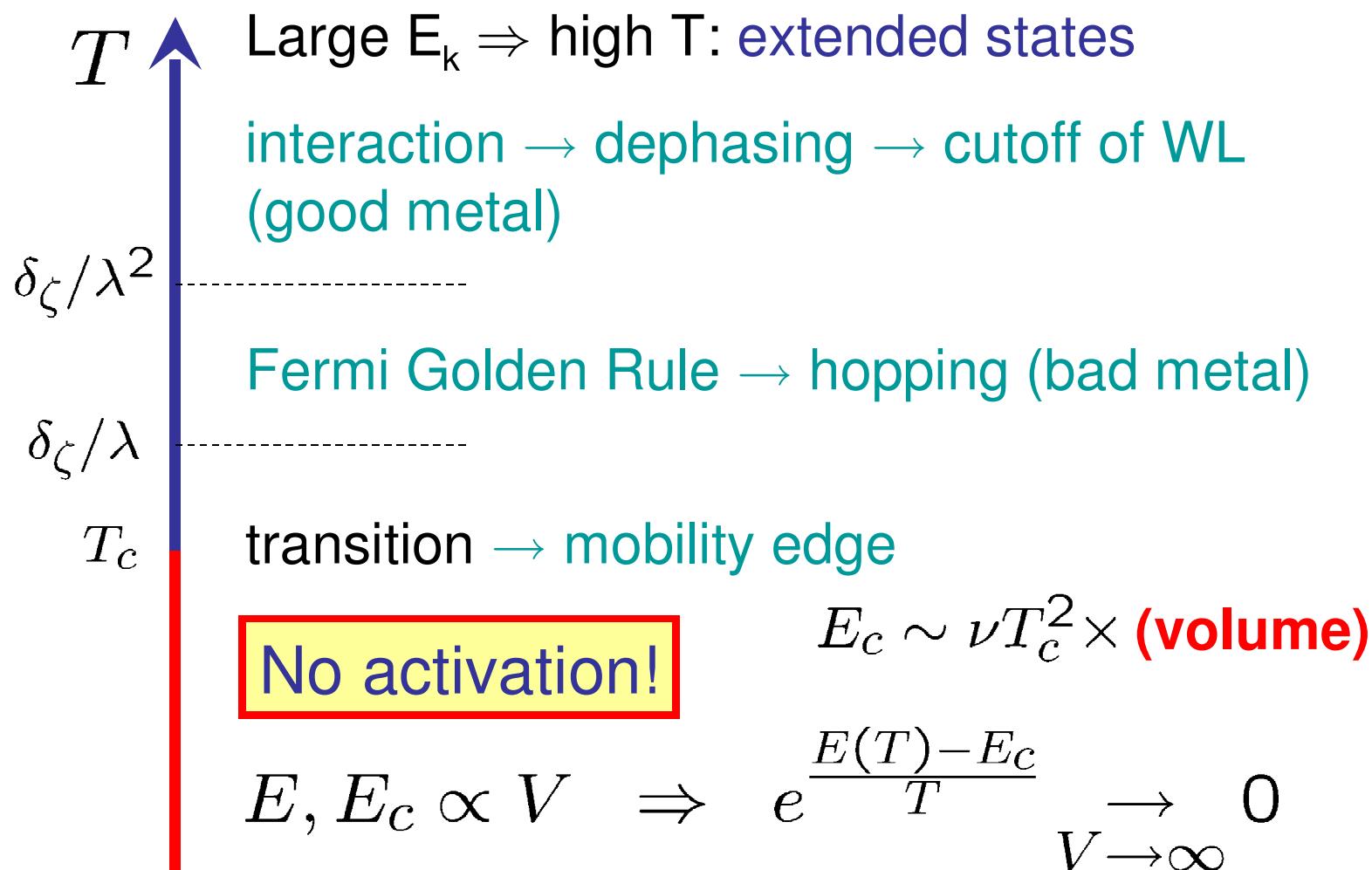


Developed metallic phase:  $|C_{kk'}|^2 \propto \delta(E_{k'} - E_k - \omega_{\alpha\beta})$

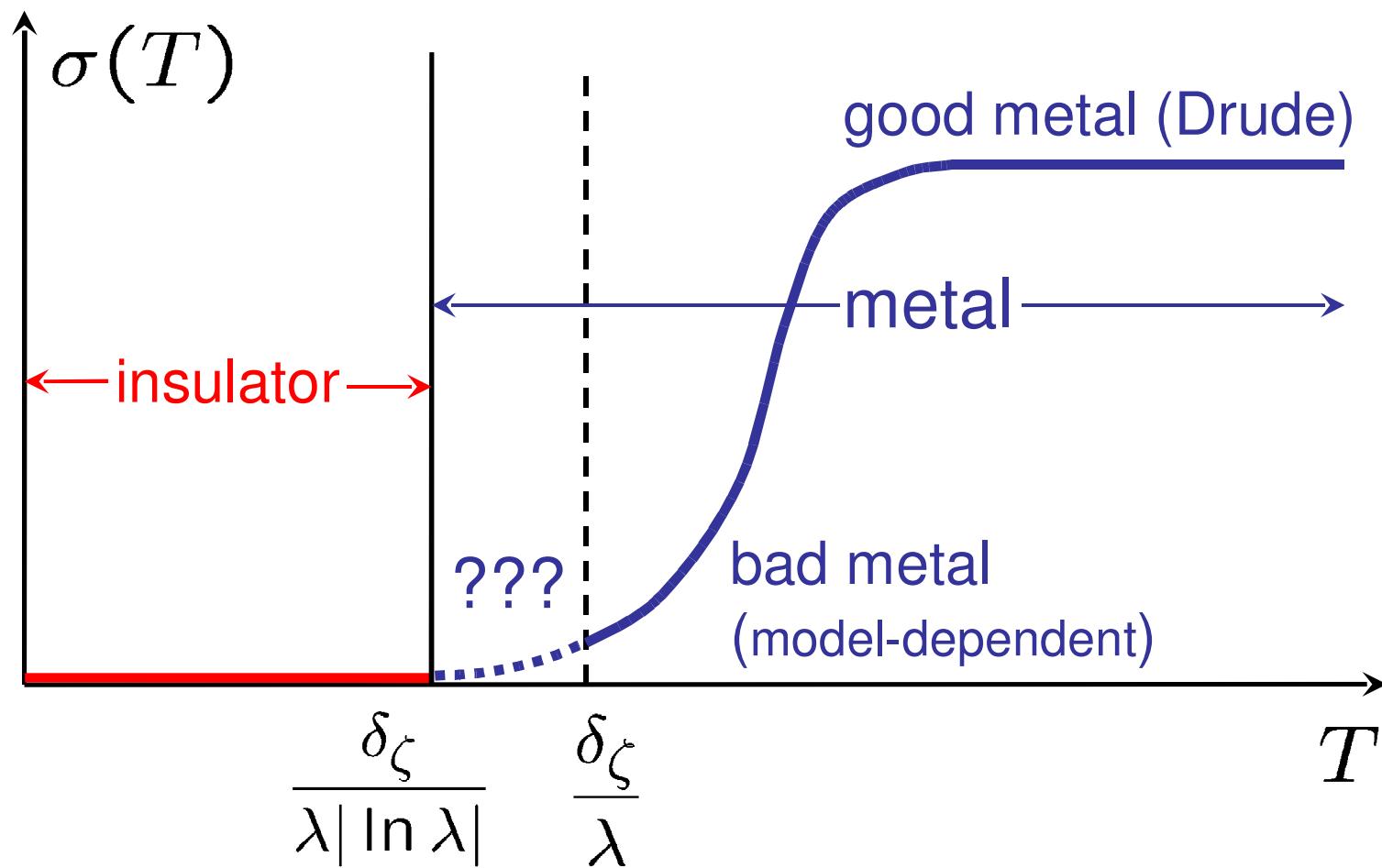
microcanonical  $\Leftrightarrow$  canonical  $\Rightarrow$  temperature

Insulating phase: total energy  $E_k$

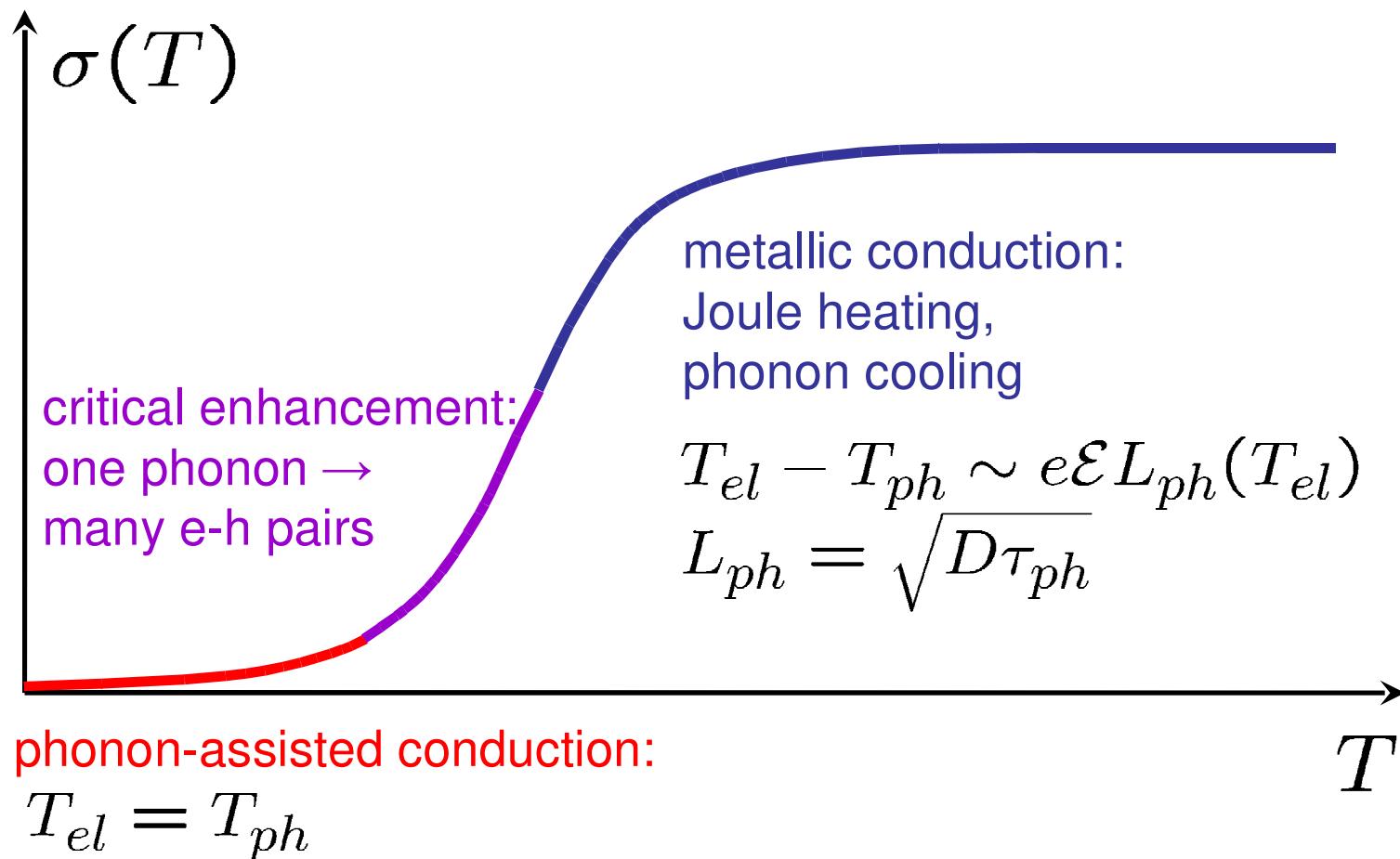
# Many-body mobility edge



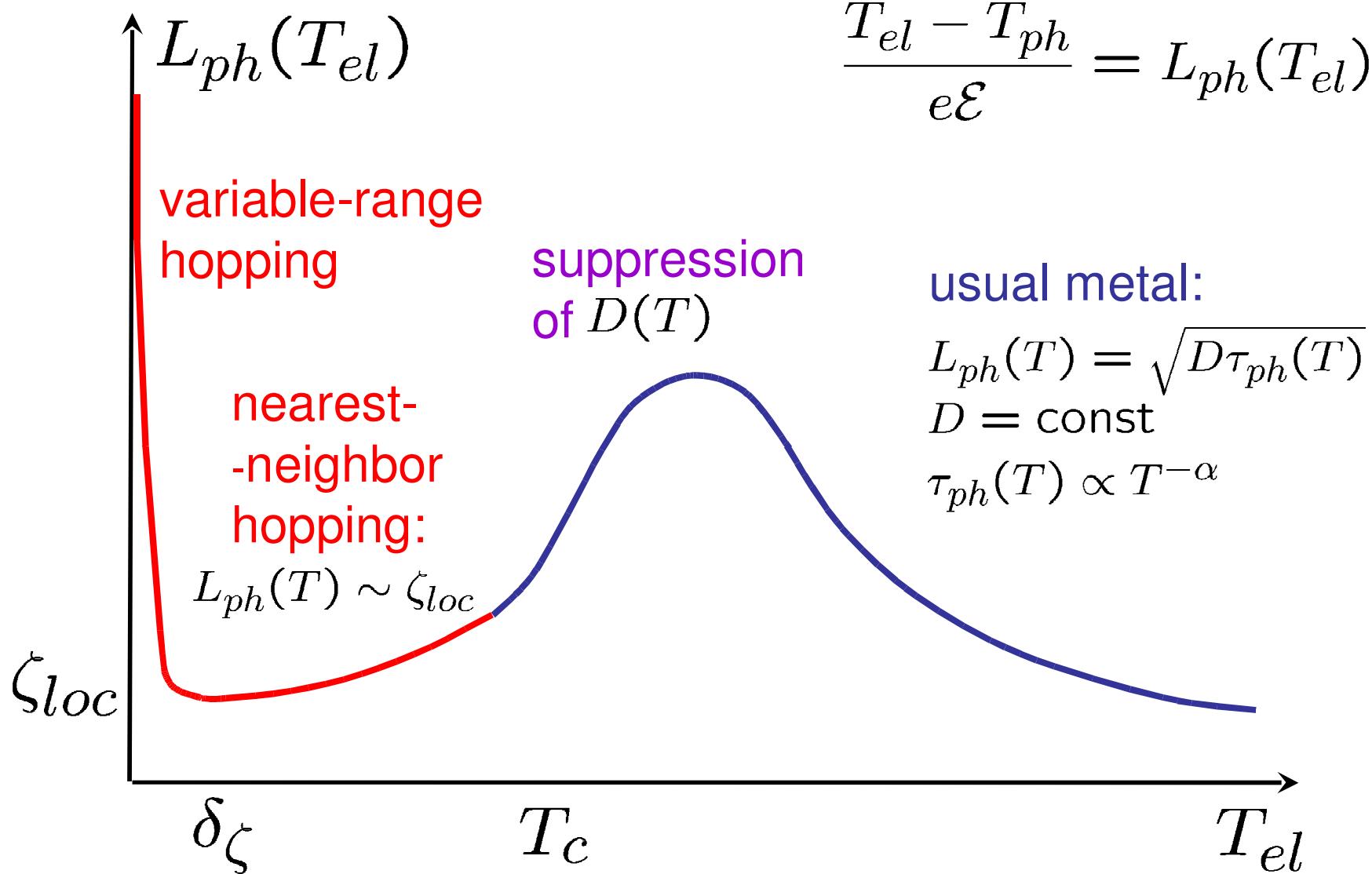
# Summary (no phonons)



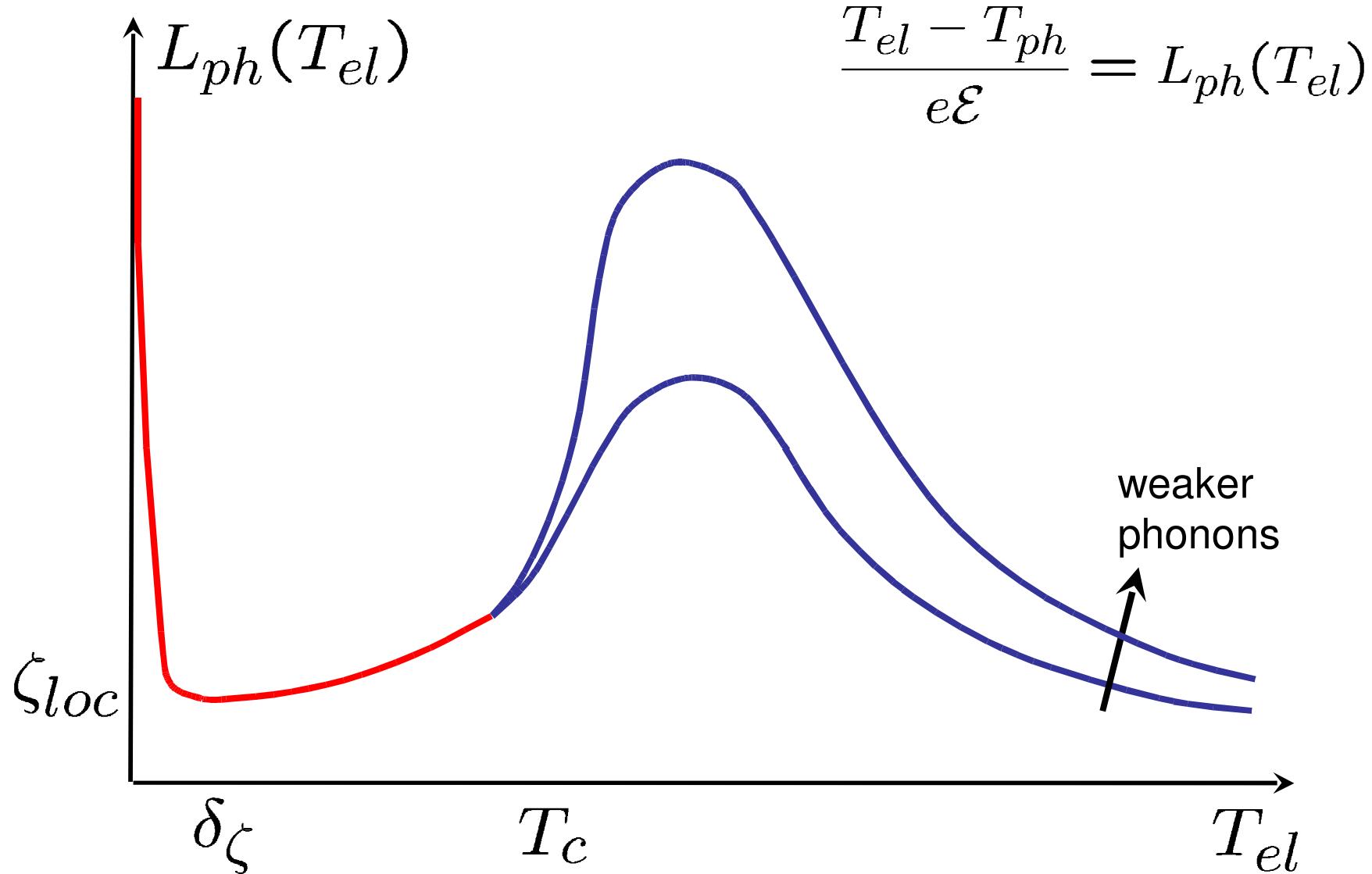
# Phonons included



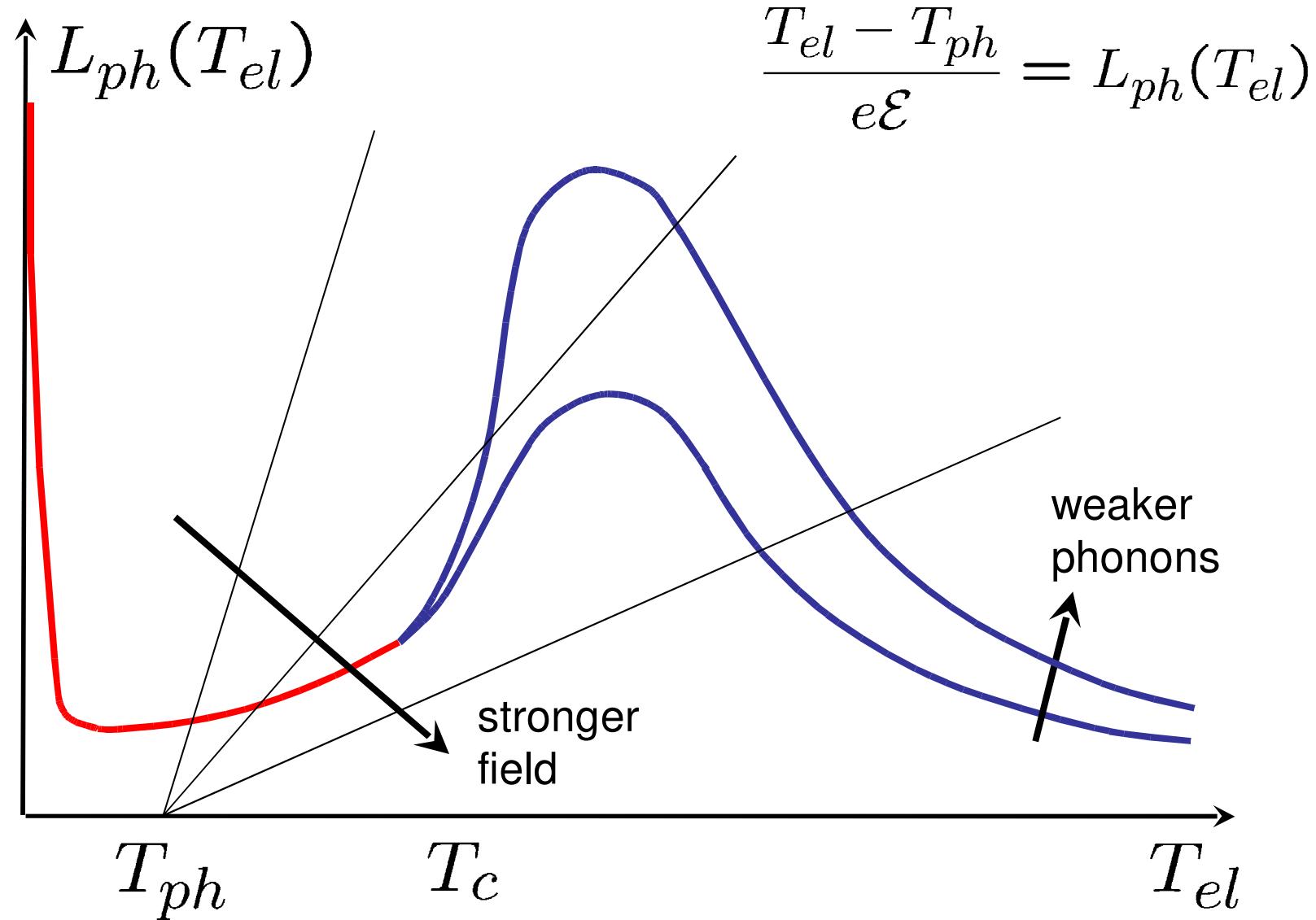
## Electronic temperature from thermal balance:



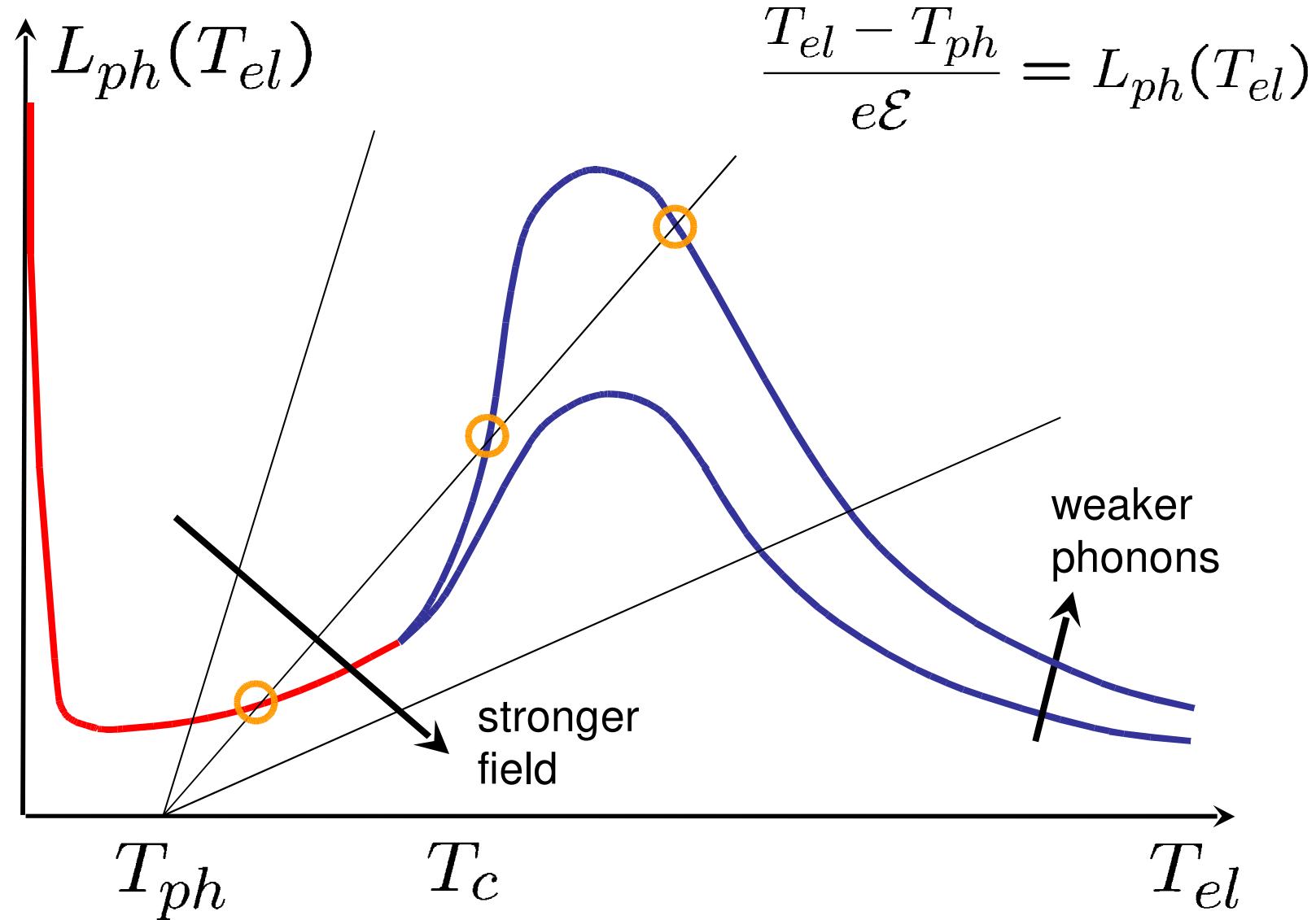
## Electronic temperature from thermal balance:



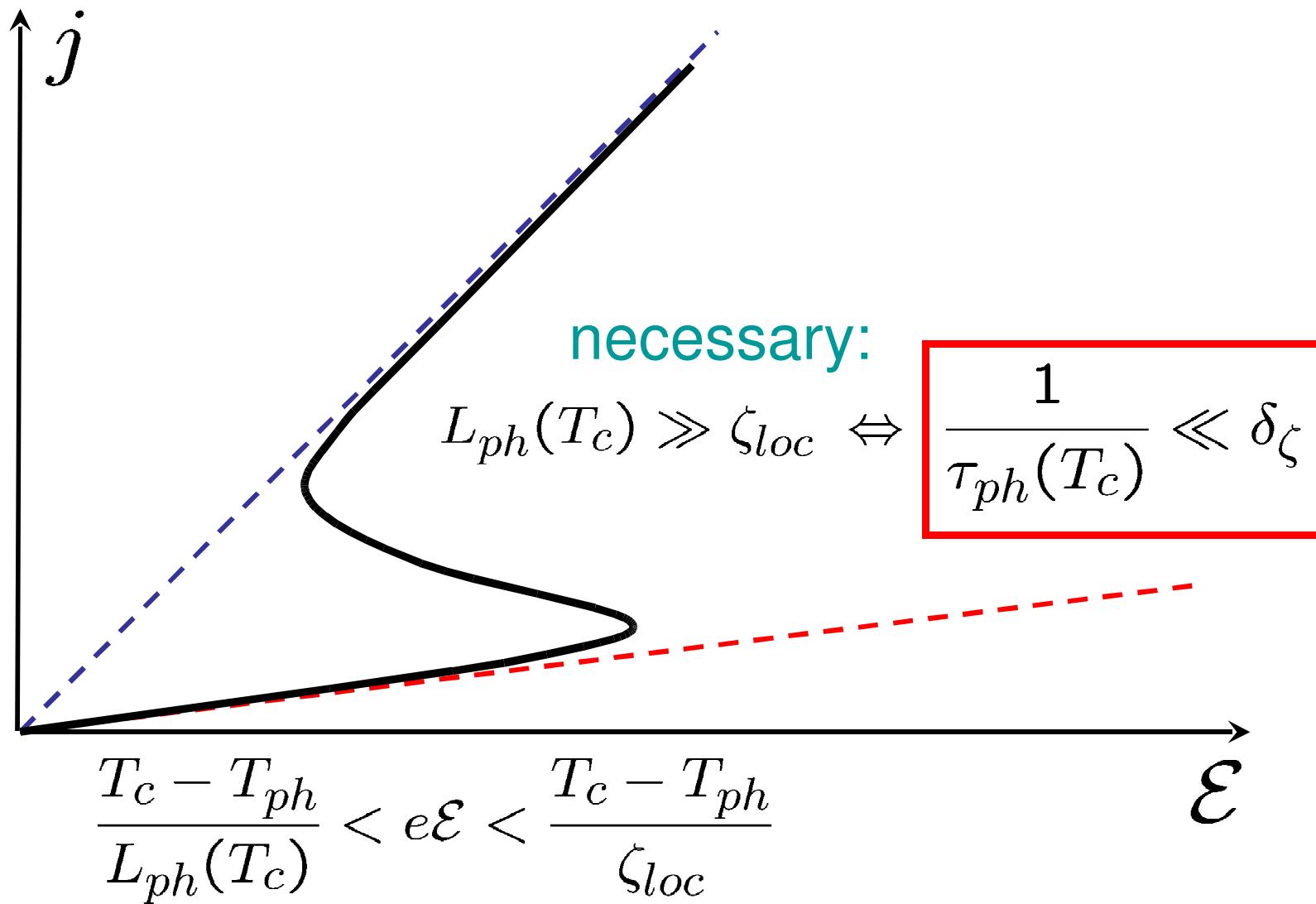
## Electronic temperature from thermal balance:



## Electronic temperature from thermal balance:



## Bistable $I$ - $V$ curve:



## Instead of conclusion: FAQ

Q: What if one takes Coulomb interaction?

A:  $\lambda \propto \int V(\vec{r}) d^d \vec{r}$

$V_{\text{Coulomb}}(r) \propto 1/r^3$  (dipole transitions!)

$d = 1, 2$  – nothing changes,

$d = 3$  – ask Levitov

Q: Can we have  $\lambda \gg 1$ ,  $T_c \ll \delta_\zeta$ ?

A: Yes, if the range of  $V(r)$  is large.

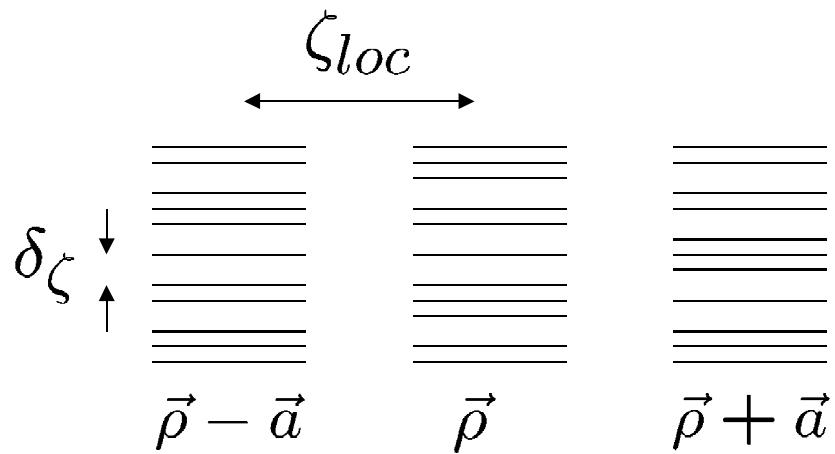
$T_c \ll T \ll \delta_\zeta$  – e-e VRH

# Our model: localization volumes → discrete grains

$$\hat{H}_0 = \sum_{\rho, l} \hat{c}_l^\dagger(\rho) \vec{\xi}_l(\rho) \hat{c}_l(\rho) + I \delta_\zeta \sum_{a, m} \hat{c}_m^\dagger(\rho + \vec{a})$$

$$\hat{V}_{\text{int}} = \frac{1}{2} \sum_{\rho; l_1, l_2; j_1, j_2} V_{l_1, l_2}^{j_1, j_2}(\rho) \hat{c}_{l_1}^\dagger(\rho) \hat{c}_{l_2}^\dagger(\rho) \hat{c}_{j_1}(\rho) \hat{c}_{j_2}(\rho)$$

$$V_{l_1, l_2}^{j_1, j_2} = \lambda \delta_\zeta \frac{\sigma_{l_1}^{j_1} \sigma_{l_2}^{j_2}}{2} \quad \frac{\xi_{j_1} - \xi_{l_1}}{\delta_\zeta} \quad \frac{\xi_{j_2} - \xi_{l_2}}{\delta_\zeta} - (l_1 \quad l_2)$$



$$\Upsilon(x) = \theta\left(\frac{M}{2} - |x|\right)$$

$$1 \ll \frac{1}{M^2} \ll \lambda \leq I$$