Quantum chemistry approaches for electronic structure II: single- and many-electron bound-continuum transitions

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Single-electron transition: Photoionization

$$\sigma_{E} \propto E \left| \left\langle \Phi \right| \sum_{i} \tilde{r}_{i} \right| X_{E} \right\rangle \right|^{2}$$

A bound (typically the ground) state $\langle \Phi | \Phi \rangle = 1$

A continuum state $\langle X_{E} | X_{E'} \rangle = \delta(E' - E)$

✓ Standard QC applies

VUV X.ray



photo-electrons

Ab initio approach: ADC

<u>The purpose</u>: *ab initio* calculation of Φ , X wave functions in $\sigma_E \propto E |\langle \Phi | \Sigma \vec{r}_i | X_E \rangle|^2$

<u>The method:</u> Algebraic Diagrammatic Construction (ADC) in the Intermediate State Representation (ISR)



$$\Psi_{i}^{a} = c_{a}^{\dagger} c_{i} \Psi_{0}, \quad \Psi_{ij}^{ab} = c_{a}^{\dagger} c_{b}^{\dagger} c_{i} c_{j} \Psi_{0}, \quad \dots, \text{ where } \Psi_{0} = \Phi_{0}^{\text{HF}} + \Psi_{0}^{(1)} + \Psi_{0}^{(2)} + \dots$$

ADC: Schirmer and Cederbaum (since 1980s) **ISR**: Schirmer and Trofimov (since 1990s)

MBPT for The ground state

ADC-ISR for photoionization: Averbukh, Cederbaum, and co-workers (2009)

The problems

Solution Set in the set of the set of

^(Ξ) **<u>Problem №2</u>**: the energies ε_j obtained in an \mathscr{L}^2 basis are discrete $\Rightarrow \sigma_E$ can not be obtained at an arbitrary *E*

Substitution of X_{ε} obtained in \mathscr{L}^2 basis into $A = |\langle \Phi | \hat{A} | X_{\varepsilon} \rangle|^2$ would give wrong dimensions for the physical quantity A

A solution: Stieltjes-Chebyscheff moment theory

Spectral moments of \hat{H} with $\hat{A} | \Phi \rangle$ can be calculated using either true or discretized continuum functions:

 $M_{n} = \langle \Phi | \hat{A}^{\dagger} \hat{H}^{n} \hat{A} | \Phi \rangle = \int dE \langle \Phi | \hat{A}^{\dagger} \hat{H}^{n} | \chi_{E} \rangle \langle \chi_{E} | \hat{A} | \Phi \rangle = \int dE E^{n} | \langle \Phi | \hat{A} | \chi_{E} \rangle |^{2}$

 $M_{n} = \langle \Phi \mid \hat{A}^{\dagger} \hat{H}^{n} \hat{A} \mid \Phi \rangle = \sum_{j} \langle \Phi \mid \hat{A}^{\dagger} \hat{H}^{n} \mid \chi_{j} \rangle \langle \chi_{j} \mid \hat{A} \mid \Phi \rangle = \sum_{j} E_{j}^{n} |\langle \Phi \mid \hat{A} \mid \chi_{j} \rangle|^{2}$

Convergence problems \Rightarrow negative n

One can use the techniques of moment theory to obtain the best approximation for $f(E) = |\langle \Phi | \hat{A} | X_E \rangle|^2$ using a finite number of M_n 's P. W. Langhoff (1973)

Solution The *apparent* price: full spectrum of $\{X_j\}$ is required to calculate the M_n 's Not feasible for large systems!

Overcoming the full diagonalization bottleneck: Stieljes imaging with Lanczos pseudospectrum Krylov states: $\varphi_k = \hat{H}^k | \Phi \rangle$, k = 0, ..., N

Gram-Schmidt orthonormalization \rightarrow Lanczos basis { ψ_i }, j = 0, ..., N

Representation of \hat{H} **in Lanczos basis:** $\hat{H}^{(N)} = \sum_{j,k} |\psi_j\rangle \langle \psi_j | \hat{H} | \psi_k\rangle \langle \psi_k |$

Approximation [Meyer & Pal, 1989]: $F(\hat{H}) \approx F(\hat{H}^{(N)})$ (exact for $\langle \Phi | F(\hat{H}) | \Phi \rangle$, if $F(x) = x^n$, n = 0, ..., 2N)

Application to Stieltjes imaging:

$$M_{n} \approx \Sigma_{j=0}^{N} \left(E_{j}^{(N)} \right)^{n} \left| \left\langle \Phi \right| \hat{A} \left| \chi_{j} \right\rangle \right|^{2},$$

where $|X_i\rangle$ are obtained by Lanczos diagonalization after *N* iterations

Testing the use of Lanczos pseudospectrum in Stieltjes imaging

Ne photoionization cross-section by ADC-Lanczos-Stieltjes



[Gokhberg, Vysotskiy & Cederbaum, Storchi & Tarantelli, Averbukh, JCP 130, 064104 (2009)]

Application to photoionization cross-section: Benzene



[Gokhberg, Vysotskiy & Cederbaum, Storchi & Tarantelli, Averbukh, JCP 130, 064104 (2009)]

The basic two-electron process: Auger effect



Pierre Auger

"Sur L'effet Photoélectrique Composé" (1925)





Photoelectrons: $E_k = \hbar \omega - E(A^+)$ Auger electrons: $E_k = E(A^+) - E(A^{++})$

 $\Gamma_{\text{Auger}} = 2\pi \left| \left\langle \psi_{v1}(\vec{r}_{1}) \psi_{v2}(r_{2}) \right| e^{2} r_{12} \left| \psi_{\text{core}}(\vec{r}_{1}) \psi_{\text{continuum}}(r_{2}) \right\rangle \right|^{2}$

"Űber strahlunglose Quantensprűnge" (1927)

More Auger processes



Coster-Kronig decay (sub-fs lifetimes)

Radiative Auger decay

Motivation: Attosecond science Time-resolved vs. energy-resolved Auger decay in $(3d^{-1})$ Kr⁺



[Drescher et al., Nature 419, 803 (2002)]

[Jurvansuu et al., PRA 64, 012503 (2001)]

 $τ \approx 7.9 \text{ fs}$ $\Gamma \approx 88 \text{ meV}$ Is it always straightforward to draw such a correspondence ???

Molecular Auger decay: The effect of nuclear motion



[Aksela *et al.*, JPB **28**, 4259 (1995)] [Banichevich *et al.*, CP **121**, 351 (1988)]

Auger transitions to dissociative states "produce very wide ... lineshapes, ... which rules out the possibility of their detailed study".

Motivation: XFEL science



"*Potential for biomolecular imaging with femtosecond X-ray pulses*", R. Neutze, R. Wouts, D. van der Spoel, E. Weckert & J. Hajdu, *Nature* **406**, 752 (2000).

Obtain a diffraction image of a single molecule using a short pulse of high-intensity X-rays before the molecule is destroyed by radiation damage

"Radiation damage" - Coulombic explosion due to accumulation of a large number of positive charges



State of the art radiation damage simulations rely on the isolated-species lifetimes (τ) for the intra-atomic processes and disregard the inter-atomic processes completely...

Open questions

How different is the *time scale* of a core hole decay in a charged environment from the one in a singly ionized species?

- Does the core hole dynamics in a highly charged environment follow the familiar *exponential pattern*?
- Can one *control* the time scale of the electronic decay by shaping the XFEL pulse or by using an additional laser source?
- Can we follow the electronic decay dynamics in *molecules and clusters* directly using the *attosecond streaking* technique?
- ☆ What *new electronic decay processes* are possible in multiply charged and/or laser-driven systems?

Simple quantum chemical theory for singly ionized states: Koopmans theorem



Tjalling C. Koopmans (Nobel prize winner in *economics*, 1975)

If both the ground state of the neutral and the eigenstate of the cation are approximated by single HF configurations:

$$\Psi_0^{(N)} = \Phi_0^{\mathrm{HF}}$$

Ų

$$\Psi_0^{(N-1)} = \hat{a}_i \Phi_0^{HH}$$

then the corresponding ionization potential is given by the HF orbital energy:

 $IP = E_i(N-1) - E_0(N) = -\varepsilon_i$

The real world: CI for singly ionised states



What is the physical effect of this contribution?

Effects of the CI: From spectral patterns to physical phenomena



Quantitative approach to Γ_{Auger} : Fano theory of resonances $\Psi_{E} = a(E) \Phi + \int b(E,\varepsilon) \chi_{\varepsilon} d\varepsilon$ $\Psi_{E} = a(E) \Phi + \int b(E,\varepsilon) \chi_{\varepsilon} d\varepsilon$ bound-like part

Assumption: no continuum-continuum interaction

$$\left< X_{\varepsilon'} \right| \hat{H} - E \left| X_{\varepsilon} \right> = (\varepsilon - E) \, \delta(\varepsilon' - \varepsilon)$$

No orthogonality requirement (unlike in Feshbach theory): $\langle \Phi | X \rangle \neq 0$

Solving
$$(\hat{H} - E)\Psi_E = 0$$
 gives $|a(E)|^2 = \frac{\Gamma/2\pi}{(E - E_r)^2 + \Gamma^2/4}$

Lorentzian width \Rightarrow decay rate

$$\Gamma = 2\pi \left| \left\langle \Phi \left| \hat{H} - E_r \right| X_{E_r} \right\rangle \right|^2$$

Fano (1961), Howat, Åberg & Goscinski (1978)



[Averbukh & Cederbaum, JCP 123, 204107 (2005)]

Testing the theory on the ADC(2)x level

Ne (2*s*⁻¹*np*) autoionization

Ar (3s⁻¹np) autoionization

TABLE I. Experimental and theoretical decay widths Γ for the autoionizing $2s^{-1}np$ (n=3,4,5) states of Ne.

	n=3	n=4	n=5
Γ_{eqt}^{a} (meV)	13 (±2)	4.5 (±1.5)	2 (±1)
$\Gamma_{\rm TDA}~({\rm meV})$	30.48	9.31	5.59
$\Gamma_{ m ADC(2)}~(m meV)$	8.93	2.86	1.72
$\Gamma_{ADC(2e)}$ (meV)	11.46	3.78	1.94
$\Gamma_{\mathrm{TDLDA}}^{\mathbf{b}}$ (meV)	13.90	3.86	1.62
Γ_{RM}^{c} (meV)	34.9	6.65	2.47

^oData taken from Ref. 17.

^eData taken from Ref. 16.

TABLE II. Experimental and theoretical decay widths Γ for the autoionizing $3s^{-1}np$ (n=4,5,6) states of Ar.

	<i>n</i> =4	<i>n</i> =5	<i>n</i> =6
$\Gamma_{expt.}{}^a (meV)$	76 (±5)	25 (±7)	16 (±7)
$\Gamma_{ m TDA}~(m meV)$	50.61	13.52	5.59
$\Gamma_{ m ADC(2)}~({ m meV})$	61.5	18.42	8.05
$\Gamma_{ADC(2e)}$ (meV)	67.76	25.85	12.14
$\Gamma_{\mathrm{TDLDA}}{}^{\mathrm{b}}$ (meV)	183.4	42.8	18.2

^aData taken from Ref. 15.

^bData taken from Ref. 17.

[Gokhberg, Averbukh & Cederbaum, JCP 126, 154107 (2007)]

Auger effect in multiply charged systems: Effect of a single neighboring charge

Wentzel's ansatz:

$$\Gamma_{\text{Auger}} = 2\pi \left| \left\langle \psi_{v1}(r_1) \psi_{v2}(r_2) \right| e^2 / r_{12} \left| \psi_{\text{core}}(r_1) \psi_{\text{continuum}}(r_2) \right\rangle \right|^2$$

At large distances, a single charge causes **orbital mixing**, similar to hybridization in the theory of chemical bond:



At small distances, the charge penetrates the electron cloud causing orbital contraction:



Auger decay in the field of a charge: 2*s*⁻¹Mg⁺...H⁺



The outermost (3*s*) orbital determines the Auger rate

[Averbukh, Saalmann & Rost, Phys. Rev. A **85**, 063405 (2012)]

Auger decay in the field of a charge: 2*p*⁻¹Mg⁺...H⁺



The outermost (3*s*) orbital determines the Auger rate [Averbukh, Saalmann & Rost, Phys. Rev. A **85**, 063405 (2012)]

Auger decay in the field of a single charge: a more detailed interpretation



Auger decay in the field of a single charge: a more detailed interpretation



Auger decay rate modified by an on-site charge: The spin effect

1s Auger in $1s^{-1}2s^{-1}$ CH₄



A similar effect is predicted for NH₃ and H₂O molecules [Averbukh and Kolorenč, J. Chem. Phys. **135**, 134314 (2011)]

Adding more charges: trapping of secondary electrons



[Kylli et al., PRA 59, 4071 (1999)]

12 charges around (2*s*⁻¹) Ar⁺ in Ar⁺₁₃ close the Coster-Kronig channels! Exponential decay without a true continuum?

Bixon & Jortner (1968): Exponential decay without a true continuum can take place only for a finite time

THE JOURNAL OF CHEMICAL PHYSICS

VOLUME 48, NUMBER 2

15 JANUARY 1968

Intramolecular Radiationless Transitions

MORDECHAI BIXON AND JOSHUA JORTNER Department of Chemistry, Tel-Aviv University, Tel-Aviv, Israel (Received 7 August 1967)

$$\begin{pmatrix} E_{s} & v & v & \cdots \\ \cdot & & & \\ v & \cdot & & 0 \\ \cdot & & & \\ v & E_{i} \\ \cdot & & \cdot & \\ \cdot & & & \cdot \end{pmatrix} \begin{pmatrix} a_{n} \\ \cdot \\ \cdot \\ \cdot \\ b_{i}^{n} \\ \cdot \\ \cdot \\ \cdot \end{pmatrix} = E_{n} \begin{pmatrix} a_{n} \\ \cdot \\ \cdot \\ \cdot \\ b_{i}^{n} \\ \cdot \\ \cdot \\ \cdot \\ \cdot \end{pmatrix}$$

 $E_i = E_s - \alpha + i\epsilon, \quad i = 0, \pm 1, \pm 2, \cdots.$

Equidistant, E_{i+1} - E_i = ε , "quasicontinuum" levels

Uniform bound-quasicontinuum coupling, *v*

Exponential decay takes place for *t<ħ/ε*

Results for a model $(2s^{-1})Ar^{+}(H^{+})_{12}$ cluster



Quasi-bound state Φ_0 is propagated under Fano-type Hamiltonian [Averbukh, Saalmann & Rost, PRL **104**, 233002 (2010)]

From core to inner valence holes: ICD

hv

- **ov**

★ Inner valence ionization of isolated species leads to the slow (ns) radiative decay

 $E[Ne^{+}(2s^{-1})] < E[Ne^{2+}]$

^{1V} ★ BUT... the situation is dramatically different in a cluster ! [Cederbaum, Zobeley & Tarantelli, PRL 79, 4778 (1997)]

 $E[Ne^{+}(2s^{-1})] > E[Ne_{2}^{2+}]$ leads to: $NeNe^{+}(2s^{-1}) \rightarrow Ne^{+}(E_{kin}) + Ne^{+}(E_{kin}) + e^{-}(E_{kin})$



ICD in Ne₂: The experiment



ICD: A general phenomenon

★ Van der Waals clusters

MgNe, CaNe, Ne^{*}_n, Ne^{*}_nAr^{*}_m, ...

* - confirmed experimentally: Hergenhahn and coworkers, PRL 90, 203401 (2003); Björneholm, Svensson and coworkers, PRL 93, 173401 (2004); Dörner and coworkers, PRL 93, 163401 (2004).

★ Hydrogen bonded clusters

 $(H_2O)_n^*, (HF)_n, ...$

 * - confirmed experimentally: Dörner and co-workers, Nature Physics 6, 139 (2010), Hergenhahn and co-workers, Nature Physics 6, 143 (2010)

★ Endohedral fullerenes

Ne@C₆₀, Ar@C₆₀, ...







ICD: Virtual photon transfer mechanism



 $\sigma_{_{\rm B}}$ is the total ionization cross-section of B

[Matthew & Komninos, Surf. Sci. 53, 716 (1975)]

ICD: The overlap enhancement effect



While the virtual photon transfer model is qualitatively correct for rare gas clusters, it can fail badly for other systems. Overlap enhancement in MgNe, CaNe diatoms reaches two orders of magnitude!

> [Averbukh, Müller & Cederbaum, PRL **93**, 263002 (2004)] [Averbukh & Cederbaum, JCP **123**, 204107 (2005)]

ICD in Endohedral Fullerene Complexes



Interatomic decay of *excited* clusters: RICD



Photon energy independent feature below Ne 2s threshold → spectator resonant ICD (sRICD) – interatomic analog of spectator resonant Auger decay [Barth *et al.*, JCP **122**, 241102 (2005)]

Resonant ICD in MgNe: possible decay pathways



Autoionization (AI)

Participator resonant ICD (pRICD)

Spectator resonant ICD (sRICD)

ETMD? - Not feasible!

[Gokhberg, Averbukh & Cederbaum, JCP 124, 144315 (2006)]

Resonant ICD in MgNe: still more decay pathways



Participator double resonant ICD (pDRICD)

Spectator double resonant ICD (sDRICD)

AI – RICD hybrid

Resonant ICD in MgNe: the Fano-ADC rates



The spectator RICD process is much stronger than the participator decay
 At small R's, RICD and AI are comparably fast

[Kopelke, Gokhberg, Averbukh, Tarantelli and Cederbaum, J. Chem. Phys. 134, 094107 (2011)]

More on the inner valence holes: Laser-enabled Auger decay



[Ranitovic et al., PRL 106, 053002 (2011)]

Single-photon laser-enabled Auger decay...



... is forbidden in the single Slater determinant approximation!



Single-photon LEAD: Measure of CI in the inner-valence-ionized states



The initial state of LEAD: Sudden ionization?



Sudden annihilation of an electron produces a non-stationary state of the ion!

Single-photon LEAD: ADC(2)x-Stieltjes results

 $(2s^{-1})Ne^+ + \hbar\omega \rightarrow (2p^{-2})Ne^{++} + e^-$



Cooper & Averbukh, 2012

Single-photon LEAD: ADC(2)x-Stieltjes results

$$(3s^{-1})Ar^{+} + \hbar\omega \rightarrow (3p^{-2})Ar^{++} + e^{-}$$



Cooper & Averbukh, 2012

Inner valence ionisation in molecules: Breakdown of MO picture



[Cederbaum, Domcke, Schirmer & von Niessen, Adv. Quantum Chem. 65, 115 (1986)]

Breakdown of MO picture: an Auger transition that did not happen...

Bixon-Jortner type instead of Fano-Feshbach type situation

THE JOURNAL OF CHEMICAL PHYSICS VOLUME 48, NUMBER 2 15 JANUARY 1968 Intramolecular Radiationless Transitions Mordechai Bixon and Joshua Jortner Department of Chemistry, Tel-Aviv University, Tel-Aviv, Israel (Received 7 August 1967)

$$\begin{pmatrix} E_{s} & v & v & \cdots \\ \cdot & & & \\ v & \cdot & & 0 \\ \cdot & & & \\ v & E_{i} \\ \cdot & & \cdot & \\ \cdot & & & \cdot \end{pmatrix} \begin{pmatrix} a_{n} \\ \cdot \\ \cdot \\ \cdot \\ b_{i}^{n} \\ \cdot \\ \cdot \\ \cdot \end{pmatrix} = E_{n} \begin{pmatrix} a_{n} \\ \cdot \\ \cdot \\ \cdot \\ b_{i}^{n} \\ \cdot \\ \cdot \\ \cdot \\ \cdot \end{pmatrix}$$

Equidistant, E_{i+1} - E_i = ε , "quasicontinuum" levels

Uniform bound-quasicontinuum coupling, *v*

Exponential decay takes place for *t<ħ/ε*

$$E_i = E_s - \alpha + i\epsilon, \qquad i = 0, \pm 1, \pm 2, \cdots.$$

Single-photon LEAD & MO picture breakdown: Strong enhancement relative to atomic case



Cooper & Averbukh, 2012

Breakdown of MO picture: The time-dependent picture



Cooper & Averbukh, 2012

Rate of quasi-exponential decay without time propagation

True exponential decay widths: $\Gamma = 2\pi |\langle \Phi | \hat{H} - E_r | \chi_{E_r} \rangle|^2$

can be obtained using discretized continuum and Stieltjes imaging.

Why not to apply the Stieltjes imaging procedure to truly discrete final states?

This is a mapping of the real discrete-level system onto imaginary system with a discrete state coupled to a continuum.

Will it work...?

Rate of quasi-exponential decay without time propagation

... it will!

... it won't!



if there IS exponential decay (Bixon-Jortner model).

if there IS NO exponential decay (Morokuma-Freed model).

Craigie, Hammad & Averbukh, BSc project, 2011

Three-electron transitions: Collective decay



Example: $(3s^{-1})Ar^{+}Ar \times but$ $[(3s^{-1})Ar^{+}]_{2}Ar \rightarrow 3 (3p^{-1})Ar^{+}$

Two-virtual-photon transfer, an equivalent of a two-photon ionization process

Description of the collective decay requires second-order perturbation theory:

$$\Gamma = 2\pi \left| \sum_{m} \frac{\langle \Phi_{fin} | V | \Phi_{m} \rangle \langle \Phi_{m} | V | \Phi_{in} \rangle}{E_{in} - E_{m}} \right|^{2} \delta(E_{fin} - E_{in})$$

an intermediate state $|\Phi_m\rangle$ defines a two-step decay pathway contributing to Γ

[Averbukh and Kolorenč, PRL 103, 183001 (2009)]

Examples of collective decay pathways



virtual recombination, 1/R⁶_{AB}

ionization, 1/R⁴_{AC}, 1/R⁴_{BC}

Examples of collective decay pathways



recombination, 1/R⁴_{AB}, 1/R⁴_{BC}

virtual ICD, 1/R⁶_{AC}



Summary

- Quantum chemistry is not only for bound state energies and properties – with some tricks, it can be used for bound-continuum transitions.
- ★ But there is no magic, of course, and using L² functions does impose very strict limits on what you can do, that is not much beyond total cross-sections and decay width...
- ★ So, future belongs to hybrid computational approaches, where quantum chemistry machinery is used for bound states and some kind of one- or (better!) two-electron true continuum states are constructed.

The team

Imperial College:

Ms. N. Bahmanpour – inter-atomic decay in endohedral fullerenes Dr. B. Cooper – laser-enabled Auger decay Mr. J. Leeuwenburgh – streaking and HHG spectroscopy of Auger decay Mr. M. Ruberti – photoionization by B-spline ADC

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MPI PKS, Dresden: Dr. U. Saalmann

TC Heidelberg: Prof. F. Tarantelli Prof. J.-M. Rost Prof. L. S. Cederbaum Dr. P. Kolorenč Prof. J. Schirmer Dr. K. Gokhberg Dr. S. Kopelke

Prague:

Soon also: P. Decleva, Trieste

Funding:

EPSRC Career Acceleration Fellowship Imperial College London **CORINF ITN, EPSRC Programme Grant**