



**Time-resolved photoelectron spectroscopy  
from *h*-BN/Ni(111)**

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International Seminar on Strong Correlations and Angle-Resolved  
Photoemission Spectroscopy CORPES 07 - MPIPES Dresden

May 8, 2007

## outline

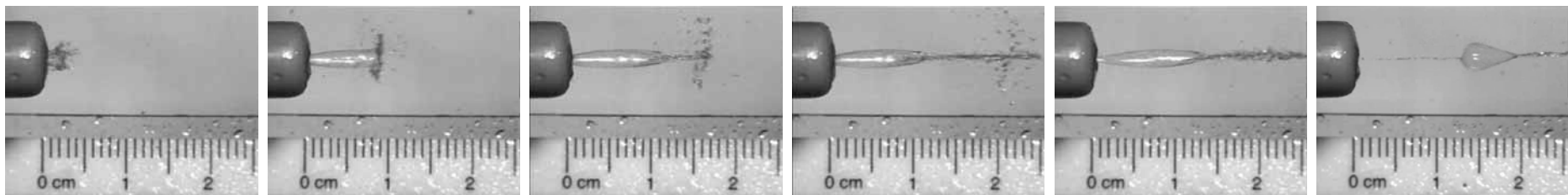
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- goal and approach of time-resolved experiments
- time-resolved two-photon photoelectron spectroscopy
- population decay and dephasing times
- our system:  $h$ -BN/Ni(111)
- observation and modeling of resonance and coherence effects
- probe for magnetic order
- conclusion



## motivation for real-time measurements

- measure physical observables in real time
- idea: direct measurement of relevant time scales, e.g. in relaxation processes
- such measurements are complementary to spectroscopy, where time scales are *indirectly* deduced
- requirement: temporal resolution in the order of the relevant timescale... “an ultrafast camera”



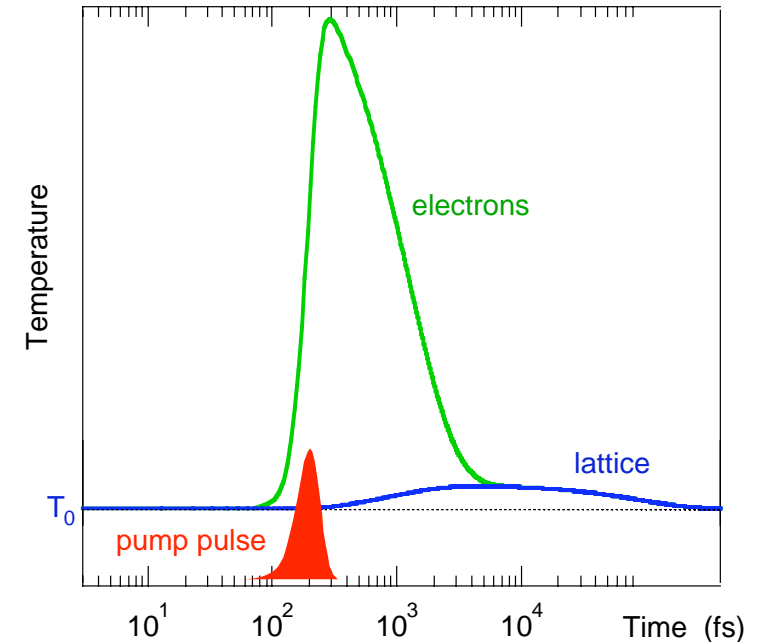
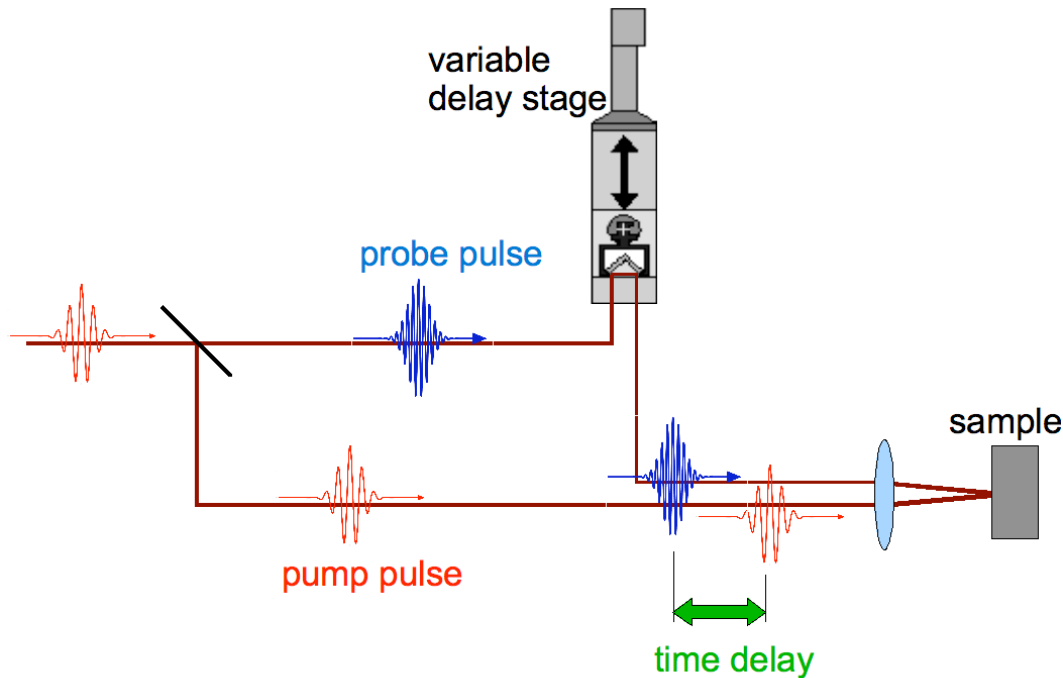
pulsed water jet - high speed movie

total time about 0.5 ms

source: <http://www.iwf.de/Navigation/Projekte/LNW/Stroboskop/index.jsp>



# pump-probe scheme



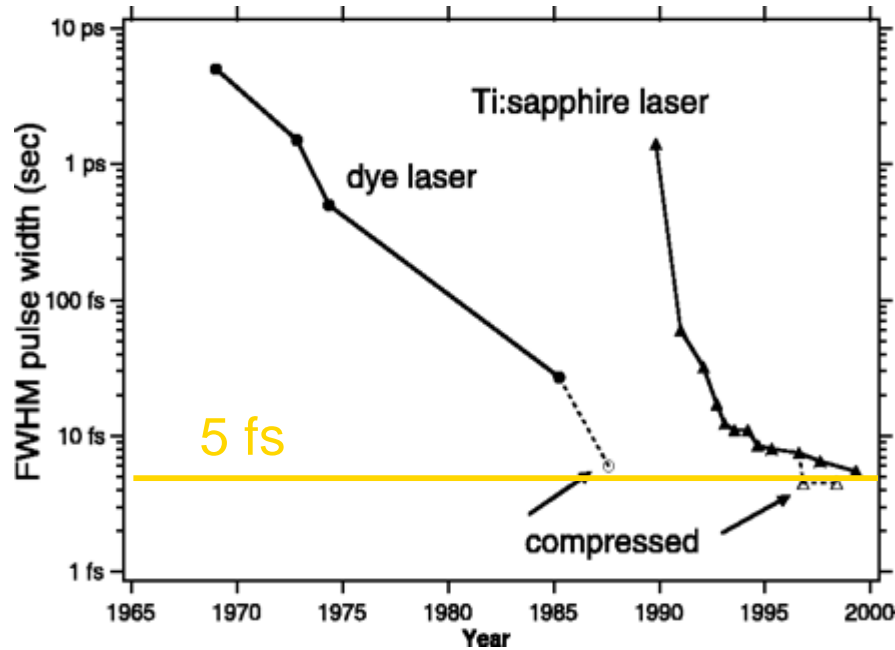
observable: f. ex. photoelectrons generated by probe pulse  
time resolution: cross-correlation of pump and probe pulses  
temporal jitter: none here

see e.g. Bonn et al., Phys. Rev. B 61, 1101 (2000)



# generation of light pulses: state-of-the-art

approaching the physical limit for near-IR radiation from a laser:

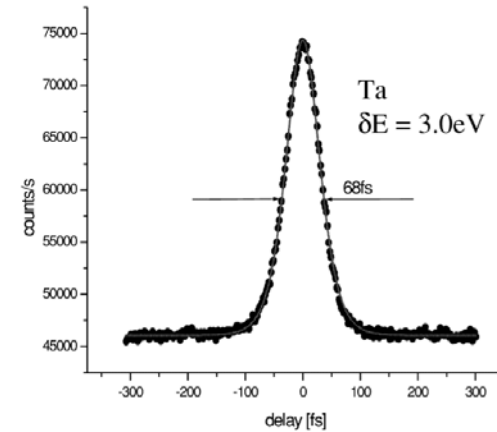


at 800 nm: 1 cycle = 2.7 fs!

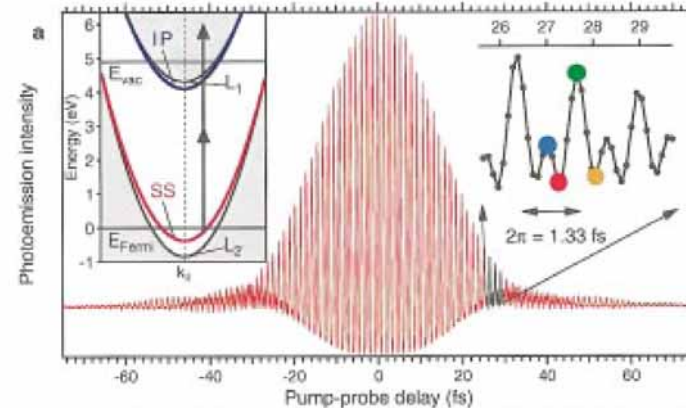
Steinmeyer et al., Science 286, 1507 (1999)  
Corkum, Nature 403, 845 (2000)



typ. resolution in photoemission experiments nowadays: 10-150 fs



Bauer and Aeschlimann, J. El. Spec. 2002

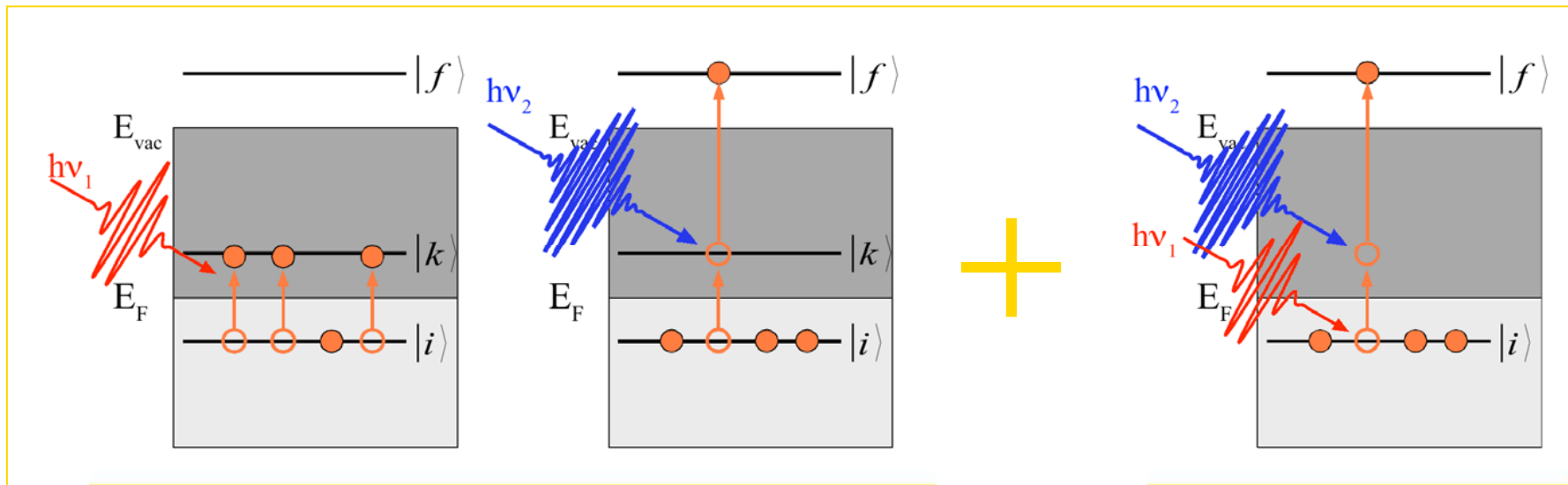


Petek et al., Phys. Rev. Lett. 79, 4649 (1997)  
Hattori et al., Jpn. J. Appl. Phys. 39, 4793 (2000)

# photoelectron spectroscopy with pulsed laser light

- advantage of pulsed light sources: high peak intensity
- reasonable cross-sections for multi-photon processes:

*2PPE or two-photon-photoemission*



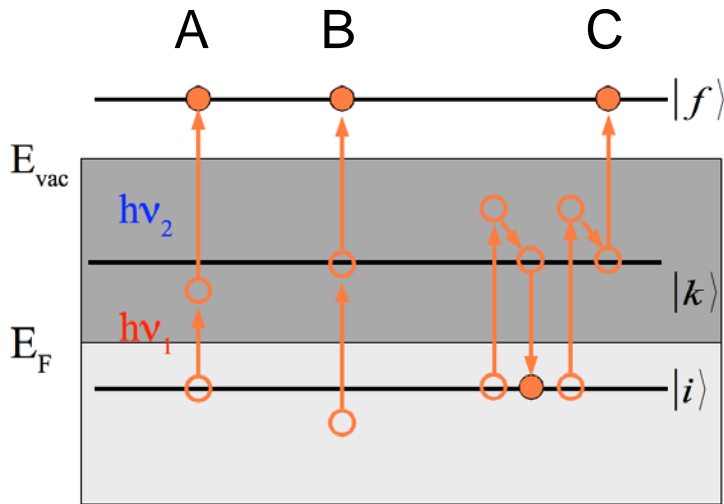
transitions  $i \rightarrow k \rightarrow f$ :  
 probe unoccupied intermediate states  
 $E_F < E_k < E_{vac}$   
 $h\nu_1, h\nu_2 < \phi < h\nu_1 + h\nu_2$

transitions  $i \rightarrow f$ :  
 probe occupied initial states  
 $h\nu_1 + h\nu_2 - \phi < E_i - E_F < 0$



# on how to disentangle initial and intermediate states

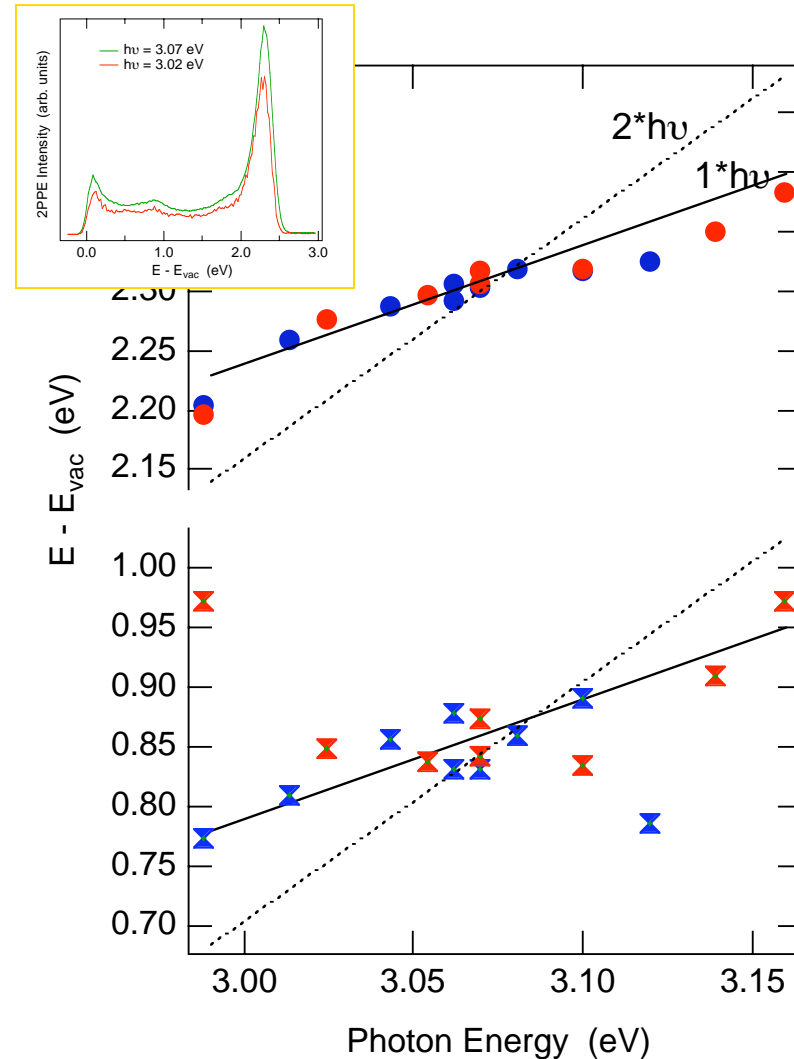
spectra for different photon energies:



**A** initial state features move with  $h\nu_1 + h\nu_2$

**B** intermediate state peaks move with  $h\nu_2$

**C** “energy pooling” do not move ( Auger-like process)

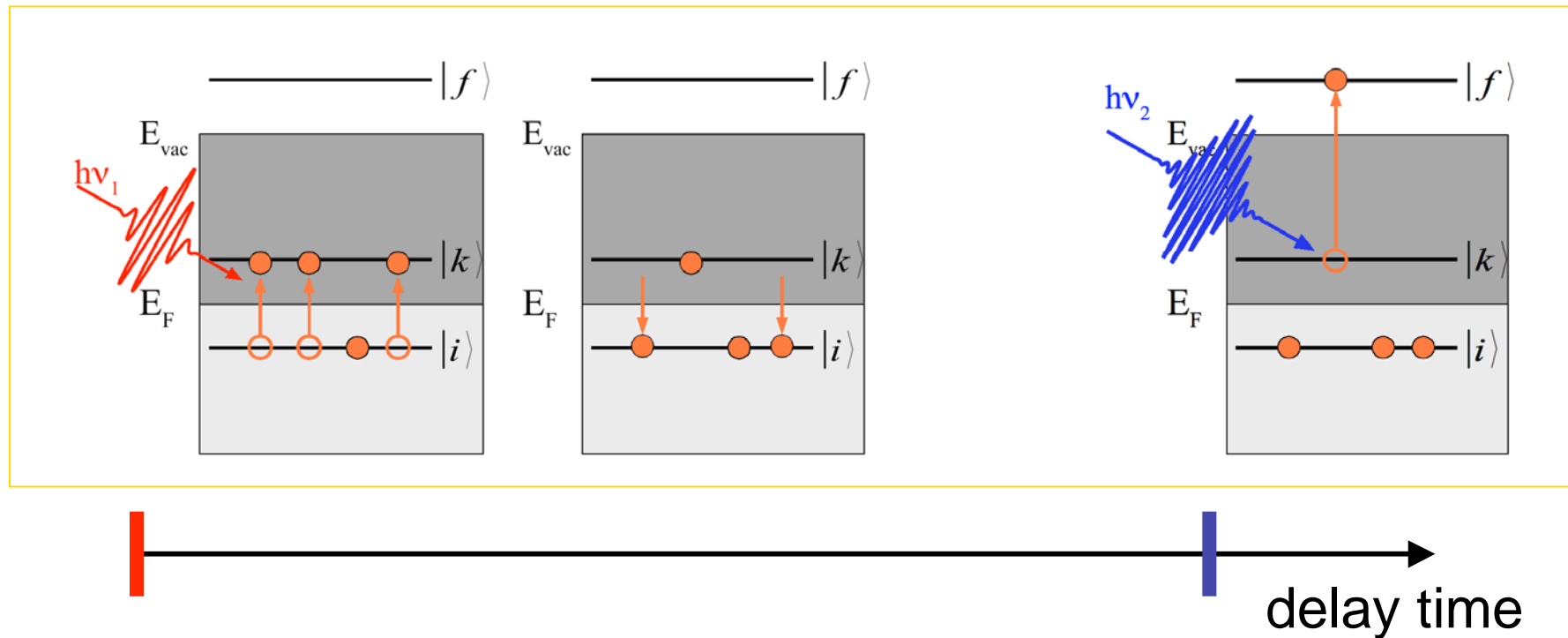


see e.g. Giessen et al., PRL 55, 300 (1985)  
W. Steinmann, Appl. Phys. A 49, 365 (1989)



# time-resolved 2PPE

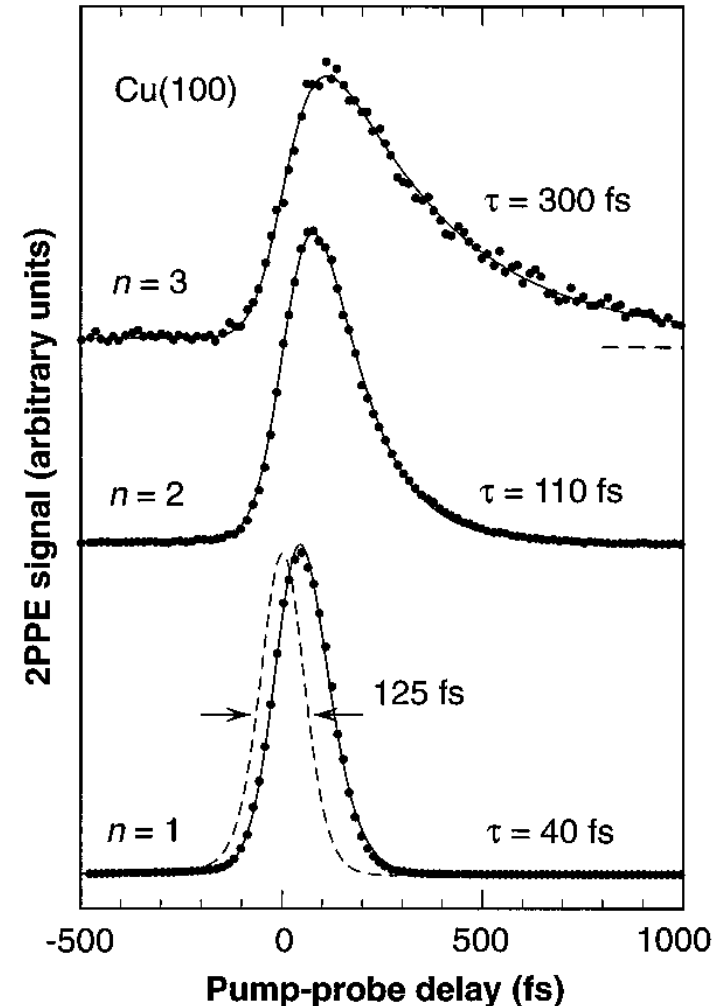
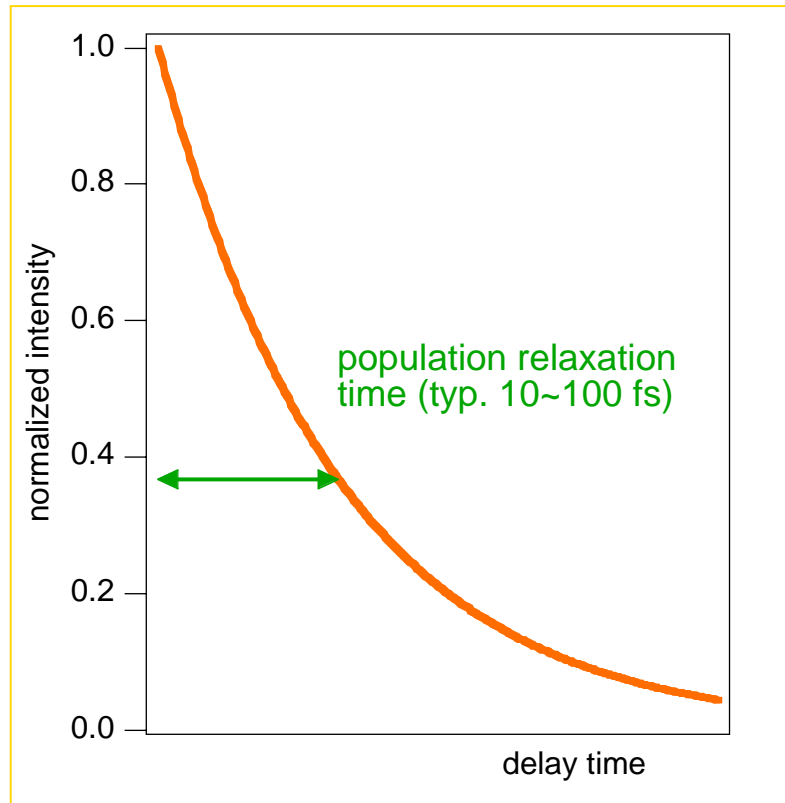
- introduce variable time delay between first and second pulse
- in case of intermediate state, the excited population decays exponentially with a typical time constant  $\tau$  after the first pulse





# extracting lifetimes from the transient intensities

if temporal pulse profiles (or cross-correlation) are known, the transients can be deconvoluted  
→ direct access to decay constant



Höfer et al., Science 277, 1480 (1997)

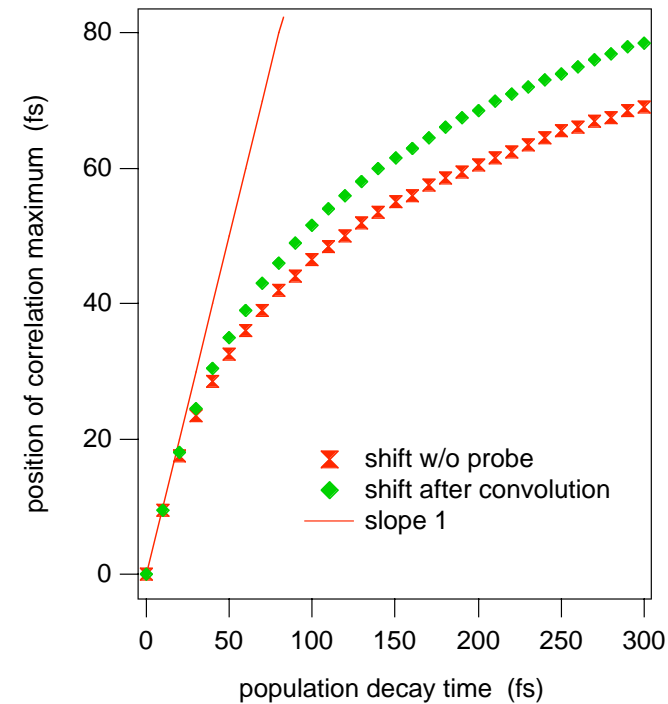
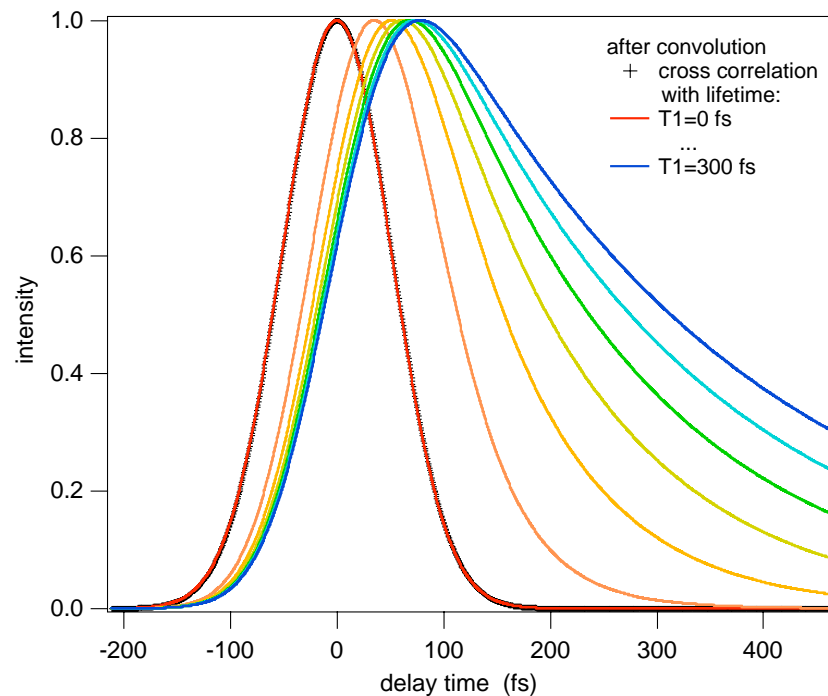


# shift of cross-correlation maximum

solution of the differential eqn. for excited state population:

$$\frac{dN_2}{dt}(t) = RI_{\text{pump}}(t) - \frac{N_2(t)}{\tau}$$

and convolution with probe pulse yields a transient with shifted maximum.



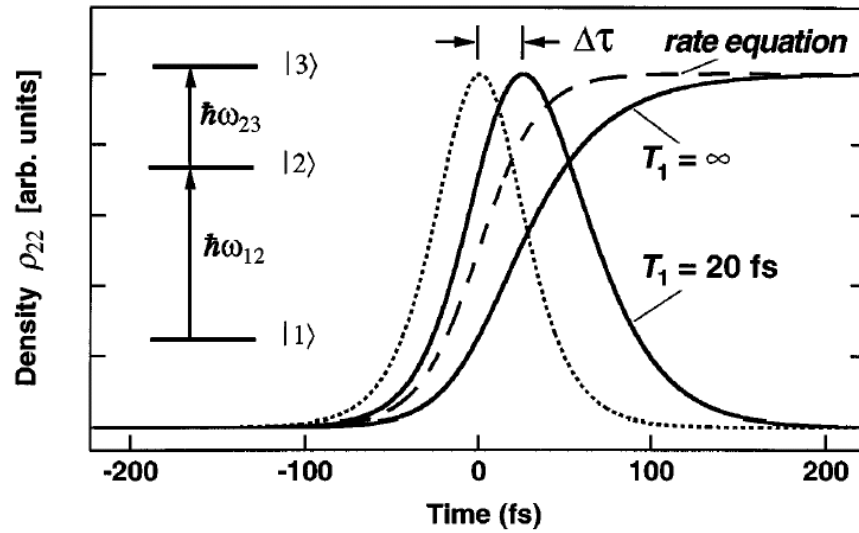
→ measurement of the population decay time of the intermediate state

e.g. Velic et al., J. Chem. Phys. 109, 9155 (1998)



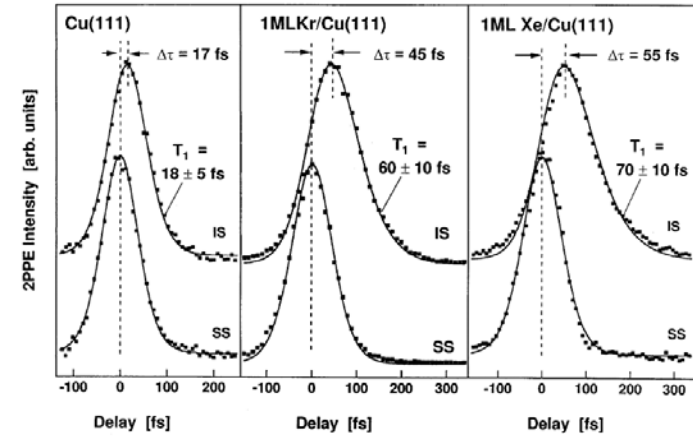
# lifetimes show up as shifts of the correlation maxima

in case of a real intermediate state:  
 population decay time ("lifetime")  
 causes exponential tail  
*and*  
 shift of maximum of transient

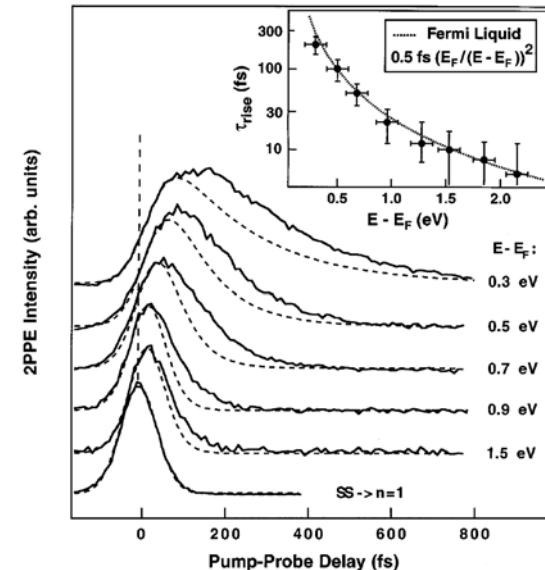


Hertel et al., Phys. Rev. Lett. 76, 535 (1996)  
 J. Vac. Sci. Techn. A15, 1503 (1997)

➤ image states on noble gas layers



➤ lifetime as function of energy



## comparing spectral linewidth and lifetime

peak width in spectra typically several 100 meV = "lifetime" of 5 fs  
observed lifetimes often 10...300 fs...?

additional decay term, observable only in phase-sensitive experiments:  
phase decay or *dephasing* (decay of optical coherence), caused by

- finite lifetime of photohole
- quasi-elastic scattering in the intermediate state

mathematical model: density-matrix formalism (optical Bloch equations)

$$\frac{d\rho_{22}}{dt} = \frac{\mu_{12}\varepsilon(t)}{2i\hbar}(\tilde{\rho}_{12} - \tilde{\rho}_{21}) - \frac{\rho_{22}}{T_1}$$

$$\frac{d\tilde{\rho}_{12}}{dt} = \frac{\mu_{12}\varepsilon(t)}{2i\hbar}(\rho_{22} - \rho_{11})$$

$$+ \left( i(\omega_{12} - \omega) - \frac{1}{T_2} \right) \tilde{\rho}_{12}$$

$$\tilde{\rho}_{12} = \rho_{12} \exp[-i(\omega_{12} - \omega)t]$$

population decay  
("energy relaxation")  
"pure" dephasing

$$T_2 = \left( 1/2T_1 + 1/T_2^* \right)^{-1}$$

$T_2$  related to linewidth in spectra

Hertel et al., Phys. Rev. Lett. 76, 535 (1996)  
Boger et al., Phys. Rev. B 65, 075104 (2002)



# description of the 2PPE spectrum

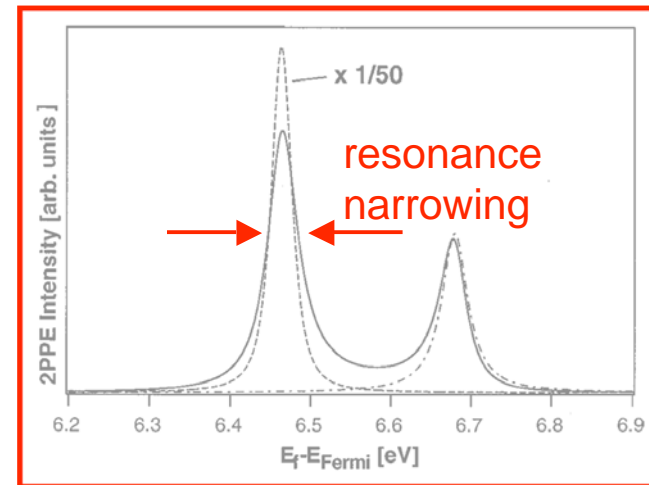
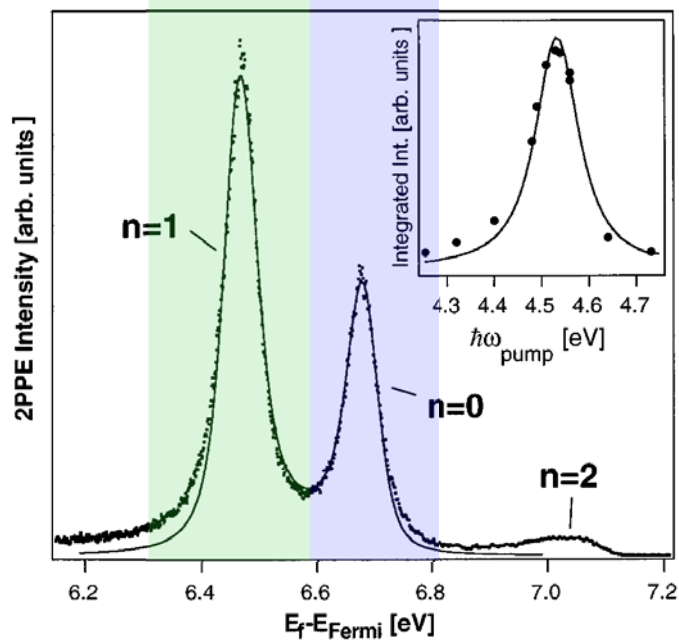
assumptions: density matrix formalism

("optical Bloch equations" or "Liouville-von Neumann formalism")

analytic solution of **cw** case for 3-level system, i.e. *no time dependence*

$$I_{2\text{PPE}}(\hbar\omega_f) \propto T(\hbar\omega_f) \cdot W_{if}(\hbar\omega_f) = T(\hbar\omega_f) \cdot \frac{|\langle \mu_{ik} \cdot \mathbf{e}_{\text{pump}} \rangle \langle \mu_{kf} \cdot \mathbf{e}_{\text{probe}} \rangle|^2}{8 \cdot \hbar^4} \left[ \frac{2\Gamma_{ik}^* \Gamma_{kf}^* / \Gamma_k + \Gamma_k^*}{(G_{ik}^2 + \Omega_{ik}^2)(G_{kf}^2 + \Omega_{kf}^2)} + \frac{\Gamma_f^*}{(G_{ik}^2 + \Omega_{ik}^2)(\Gamma_{if}^{*2} + \Omega_{if}^2)} + \frac{\Gamma_i^* + 4\Gamma_i^* \Gamma_f^* (\Gamma_i^* + \Gamma_k^* + \Gamma_f^* + \Gamma_k/2) / (G_{ik}^2 + \Omega_{ik}^2)}{(\Gamma_{if}^{*2} + \Omega_{if}^2)(G_{kf}^2 + \Omega_{kf}^2)} \right]$$

$G_{ij}, \Gamma_{ij}^*$  dephasing  
 $\Omega$  detuning



intermediate state peak  $k$

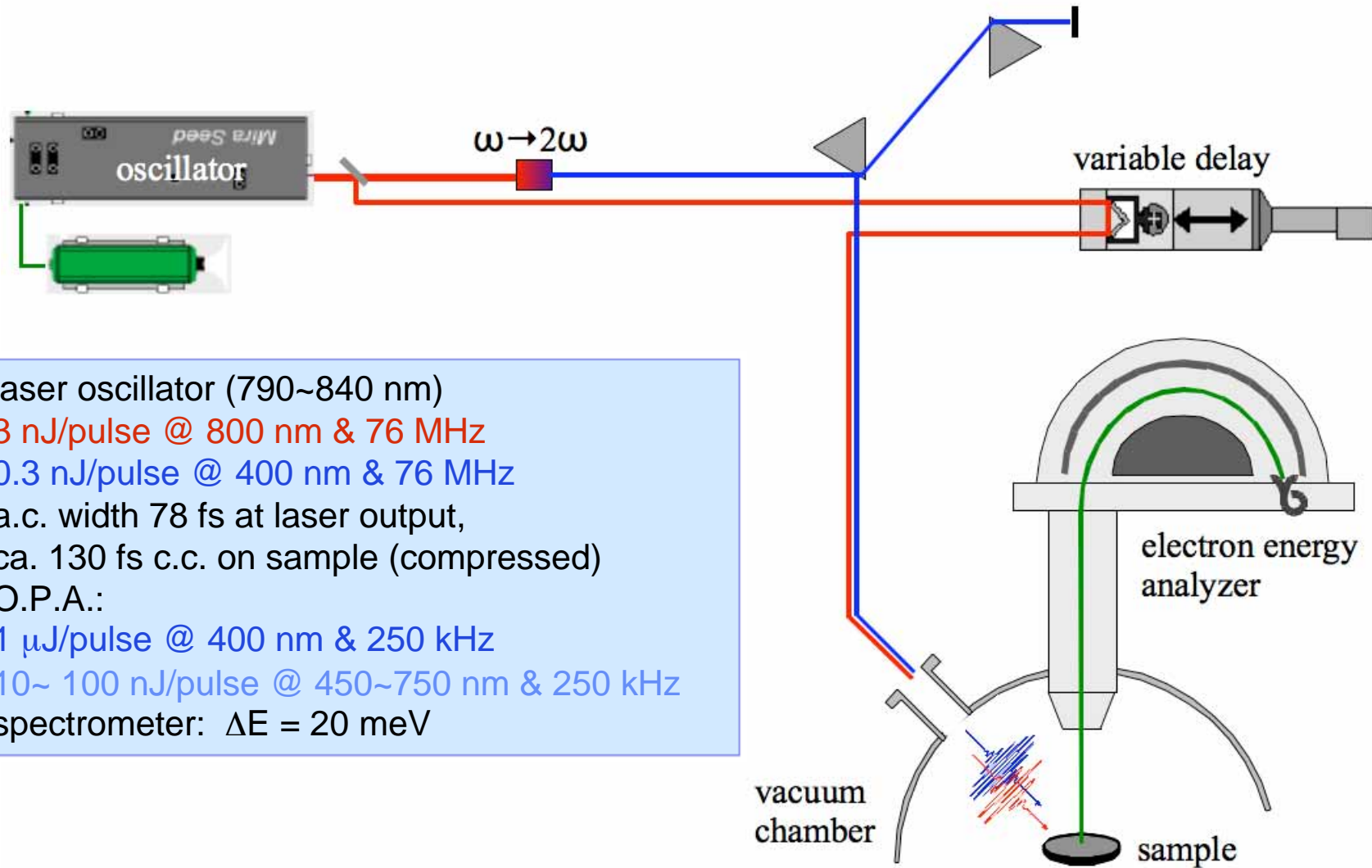
initial state peak  $i$

resonance peak  $i \rightarrow k$

Wolf et al., Phys.Rev.B 59, 5926 (1999)



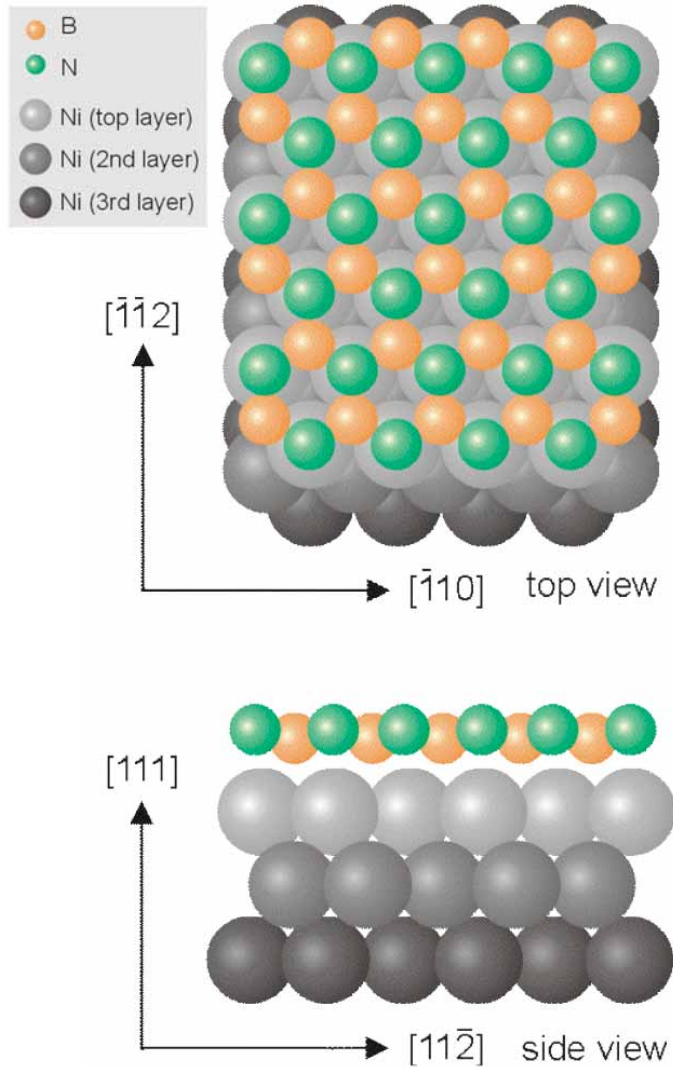
# typical setup: our laboratory



laser oscillator (790~840 nm)  
3 nJ/pulse @ 800 nm & 76 MHz  
0.3 nJ/pulse @ 400 nm & 76 MHz  
a.c. width 78 fs at laser output,  
ca. 130 fs c.c. on sample (compressed)  
O.P.A.:  
1  $\mu$ J/pulse @ 400 nm & 250 kHz  
10~ 100 nJ/pulse @ 450~750 nm & 250 kHz  
spectrometer:  $\Delta E = 20$  meV

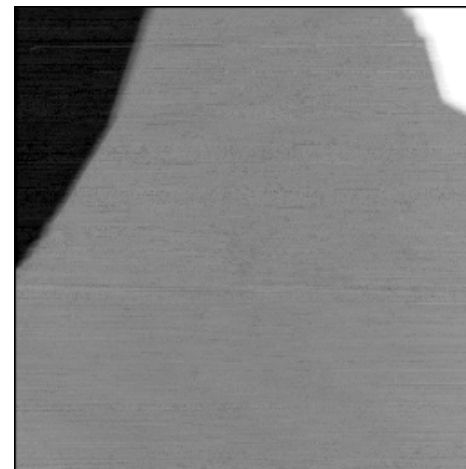


# h-BN on Ni(111)

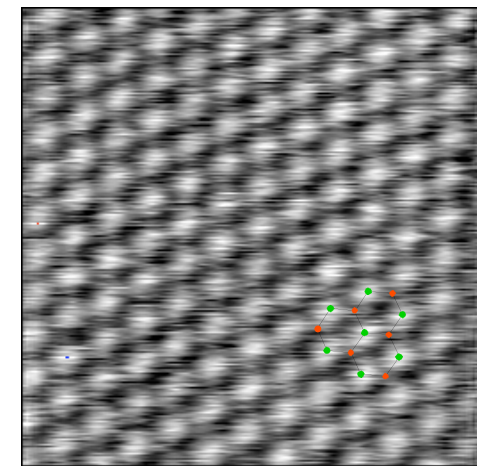


- perfect monolayer growth on Ni(111)
- upon exposure to ~100 L of borazine
- good match of lattice constant
- atomic and electronic structure well known

recipe by Nagashima et al., PRB 51, 4606 (1995)



$I=1\text{nA}, V=0.1\text{V}$  200 nm

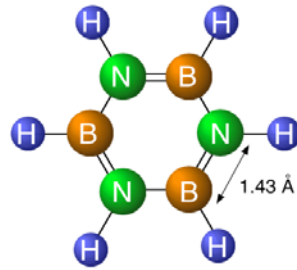
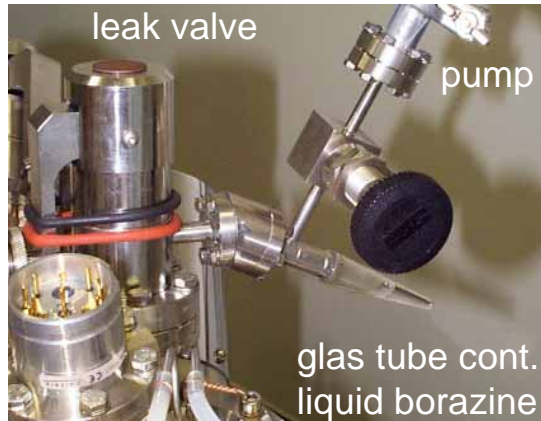


$I=9\text{nA}, V=-23\text{mV}$  26 Å

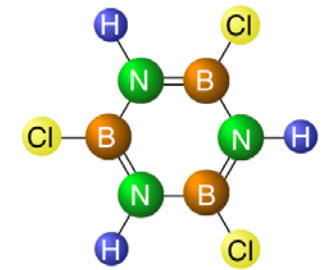
Auwärter et al., Surf. Sci. 429, 229 (1999)



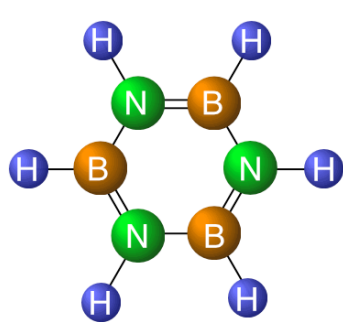
# film preparation



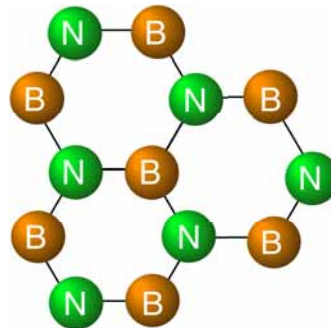
borazine  
(BN)<sub>3</sub>H<sub>6</sub>



trichloroborazine  
(BCl)<sub>3</sub>(NH)<sub>3</sub>

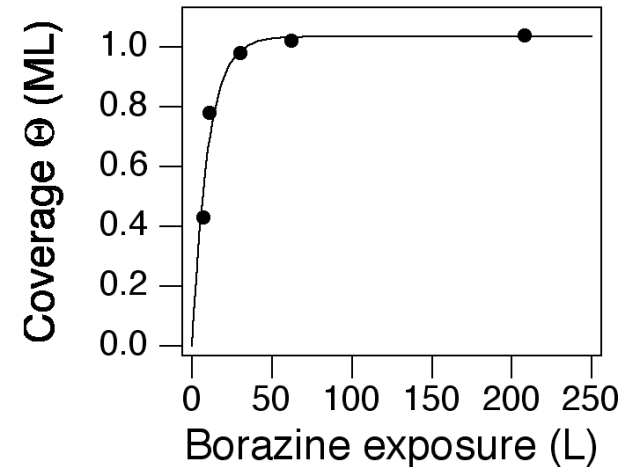


1050 K



Borazine+Ni(111)

*h*-BN on Ni(111)+3H<sub>2</sub>



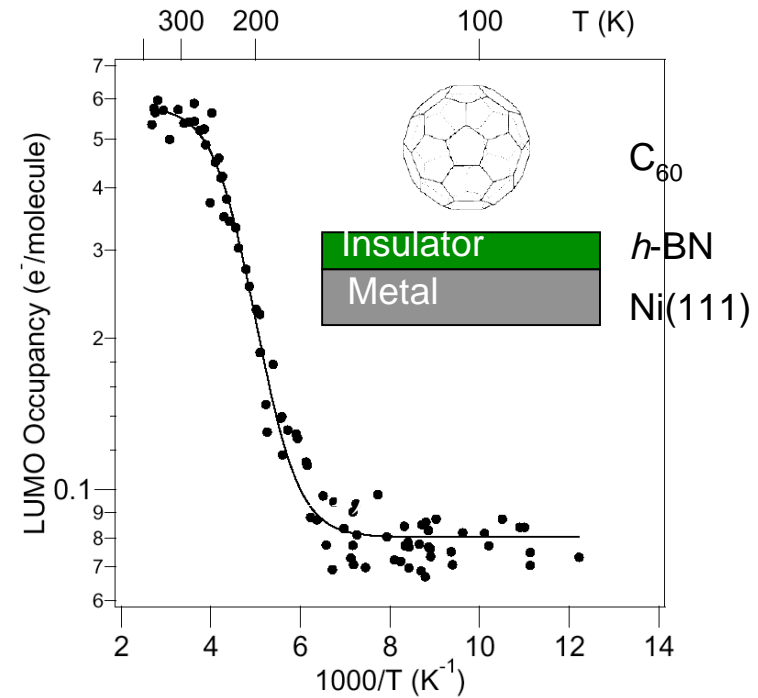
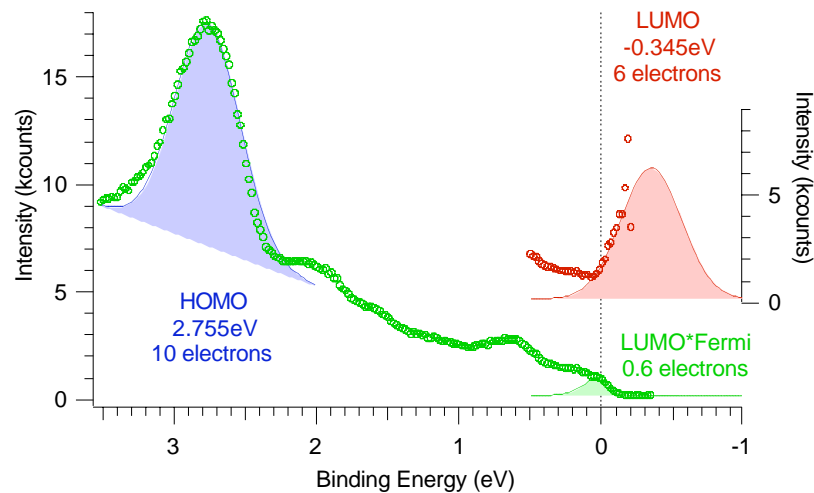
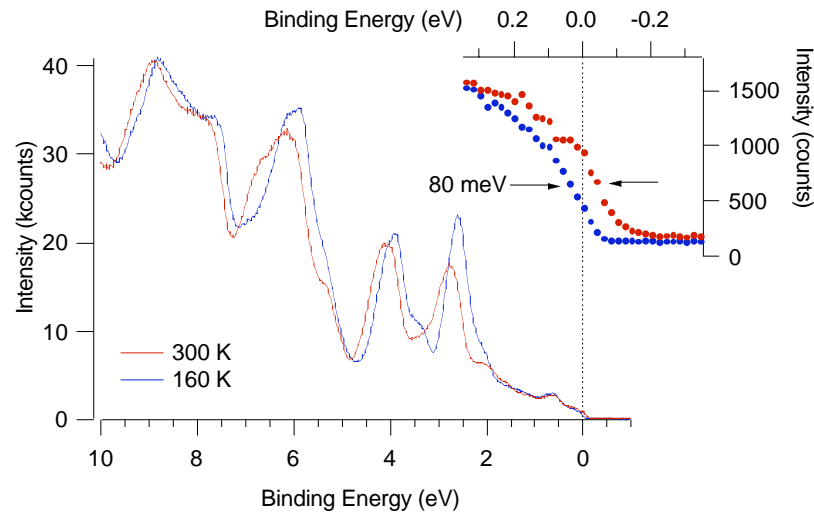
recipe: Nagashima et al., PRB 51, 4606 (1995)  
W. Auwärter, PhD thesis U Zurich (2003)





# motivation: charge transfer across the monolayer

## $C_{60}/h\text{-BN}/\text{Ni}$ : temperature dependence of LUMO occupation



UPS,  $h\nu = 21.22$  eV, normal emission

Muntwiler et al. Phys. Rev. B 71, 241401 (2005)



# long-term motivation: spin-injection

resistance varies as function of ferromagnetic alignment

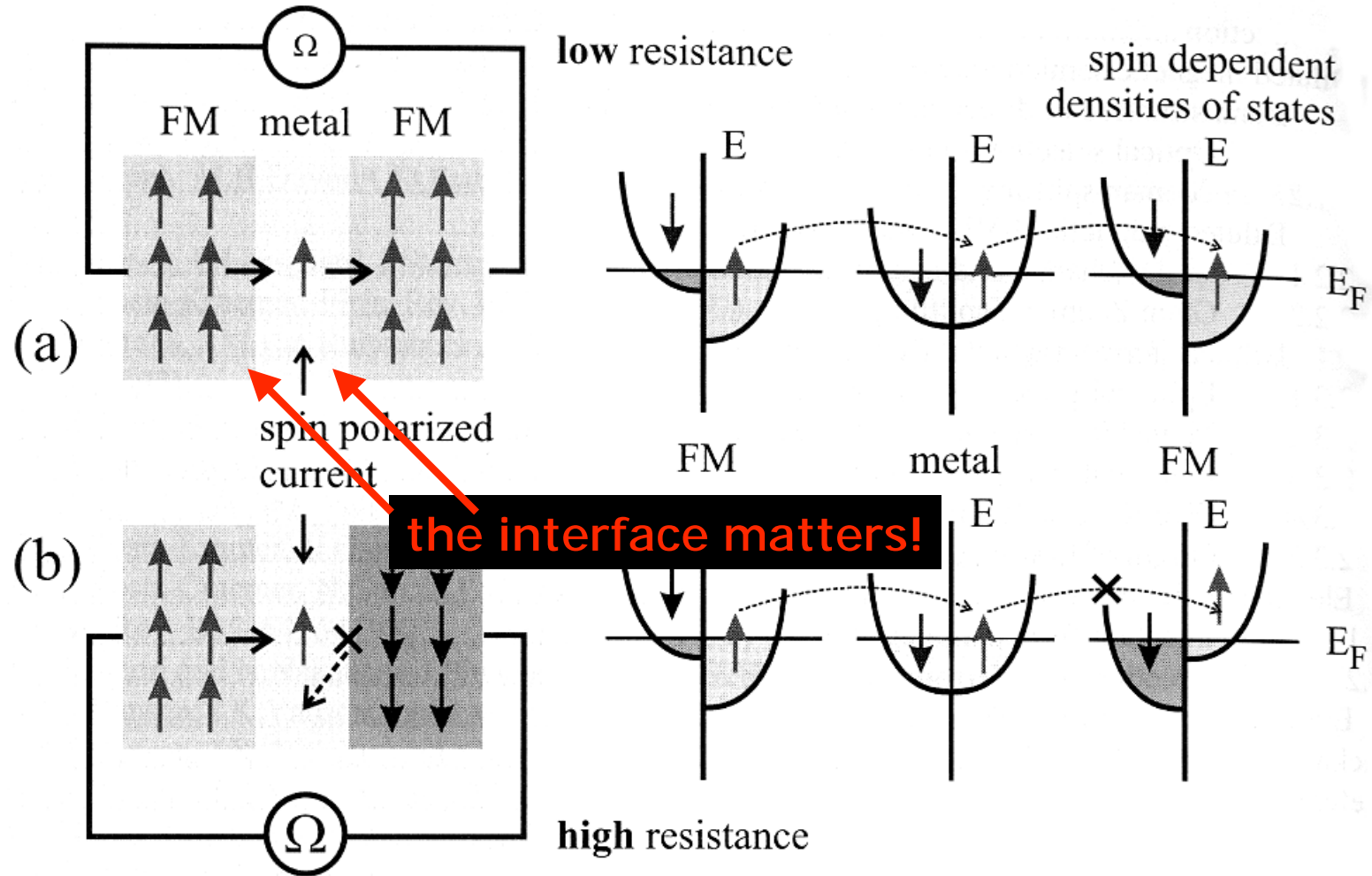
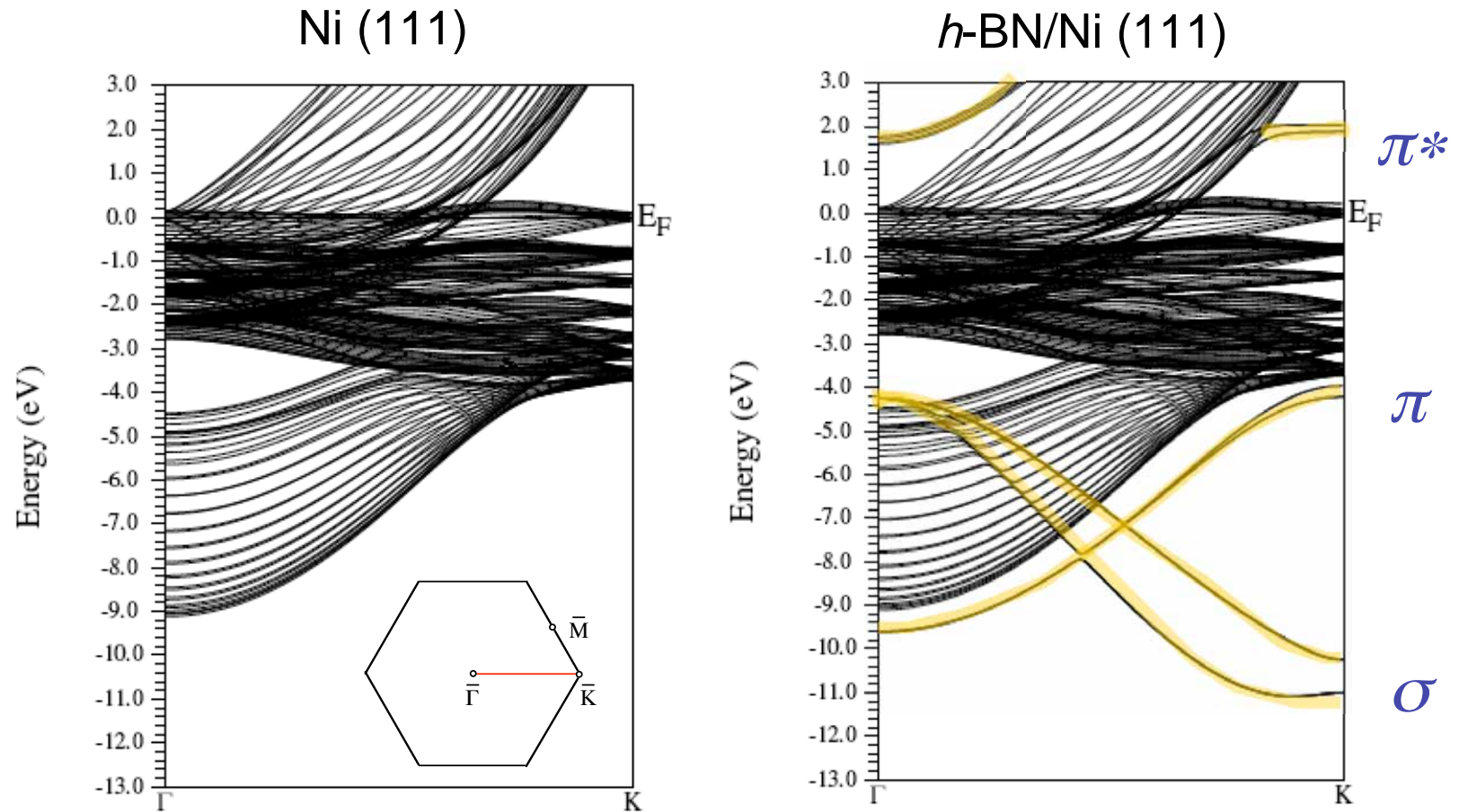


Illustration by B. Beschoten



# the band structure of *h*-BN/Ni(111)



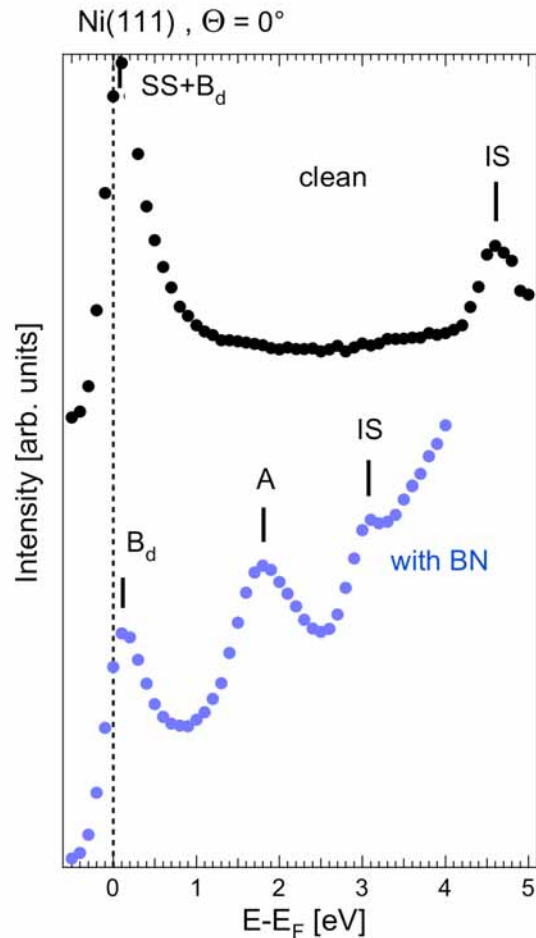
**occupied band structure understood - what about conduction bands ?**

19 layer slab calculations, Grad & Blaha (TU Vienna)  
 Grad et al., Phys. Rev. B 68, 085404 (2003)  
 photoemission: W. Auwärter, PhD thesis U Zurich 2003

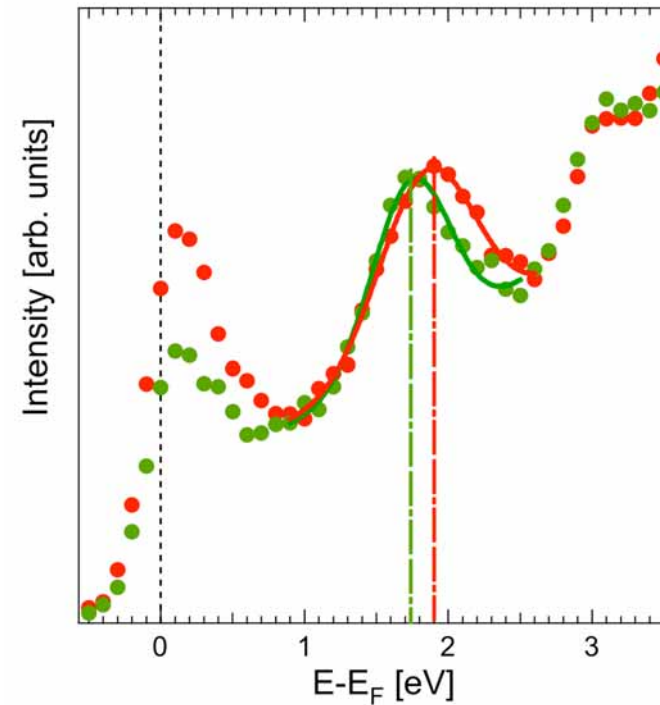


# spin-resolved inverse photoemission

normal incidence spectra ( $h\nu=9,5$  eV)



spin-resolved data



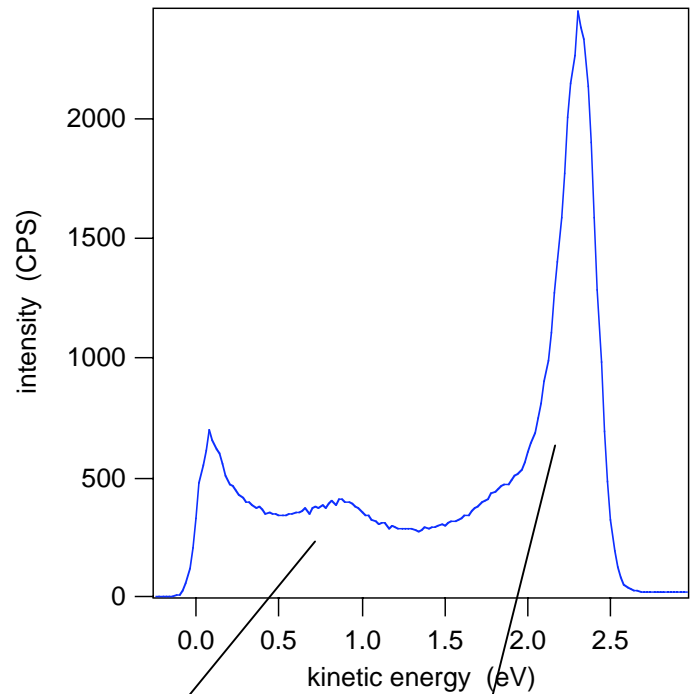
interface state (A): 1.7 eV above EF  
exchange splitting  $150 \pm 50$  meV  
effective mass  $1.1 \pm 0.2 m_0$

experimental setup see Donath, Surf. Sci. Rep. 20, 251 (1994).  
K. Zumbrägel, diploma thesis, U Münster, 2007.  
K. Zumbrägel et al., in preparation (2007)



# 2PPE: normal emission spectra

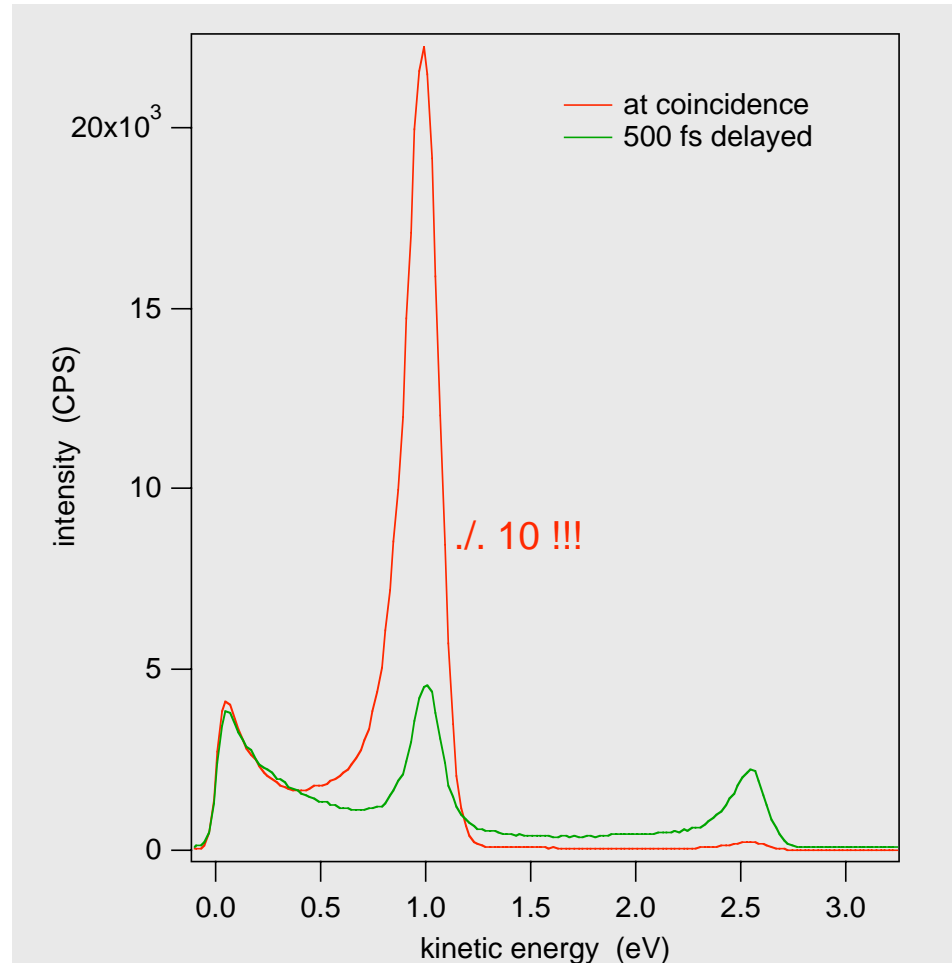
single-colour (400 nm)



interface state

image potential state

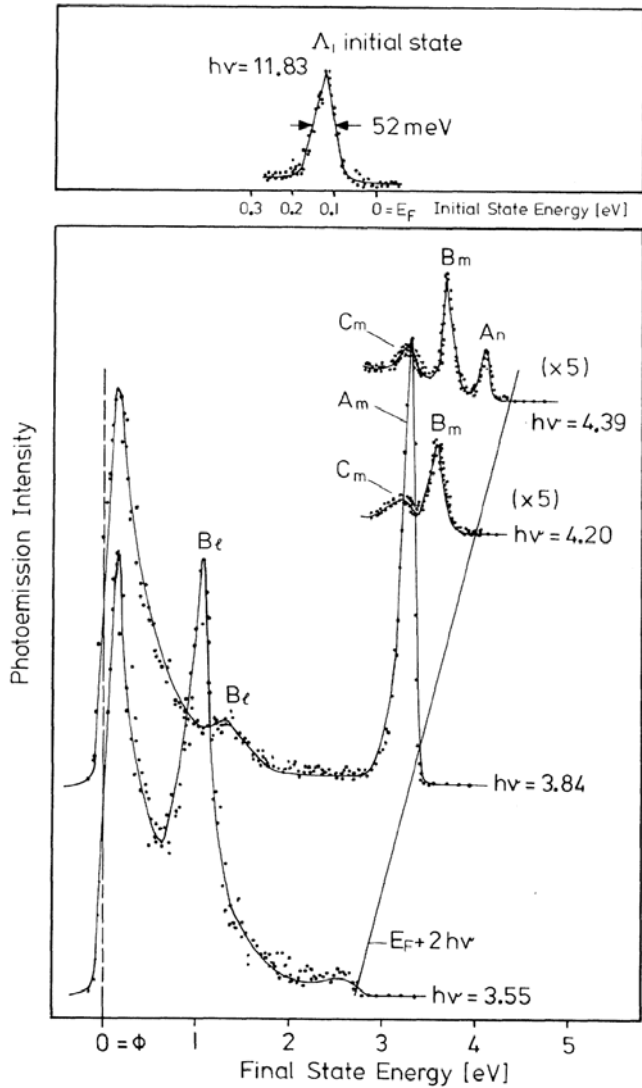
pump: 796 nm; probe: 398 nm



⇒ interface state peak increased by 2-3 orders of magnitude!

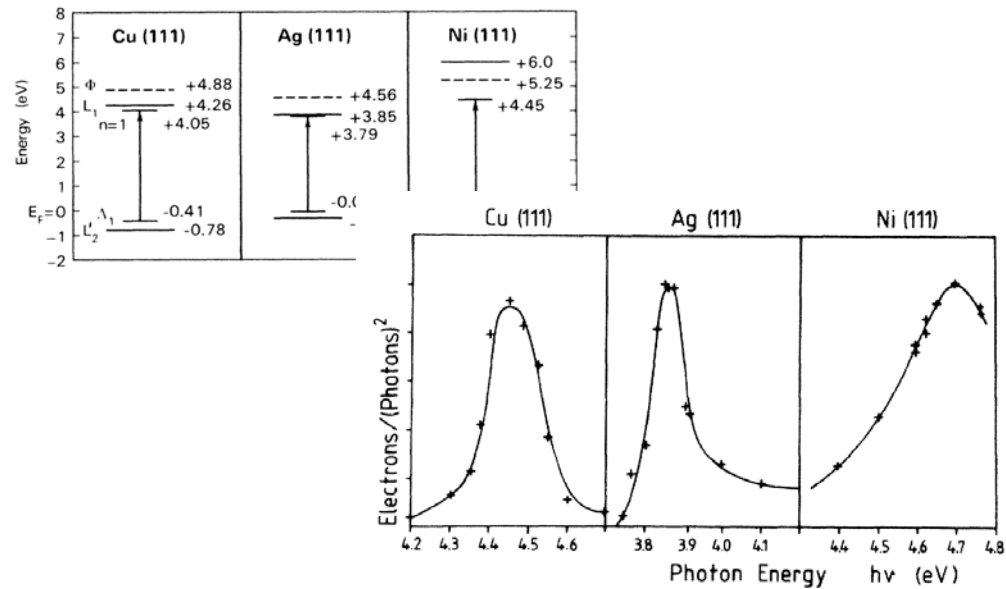


# resonant excitation channels are known in 2PPE



transient population density of intermediate image potential states may be very high:

→ resonant direct transition

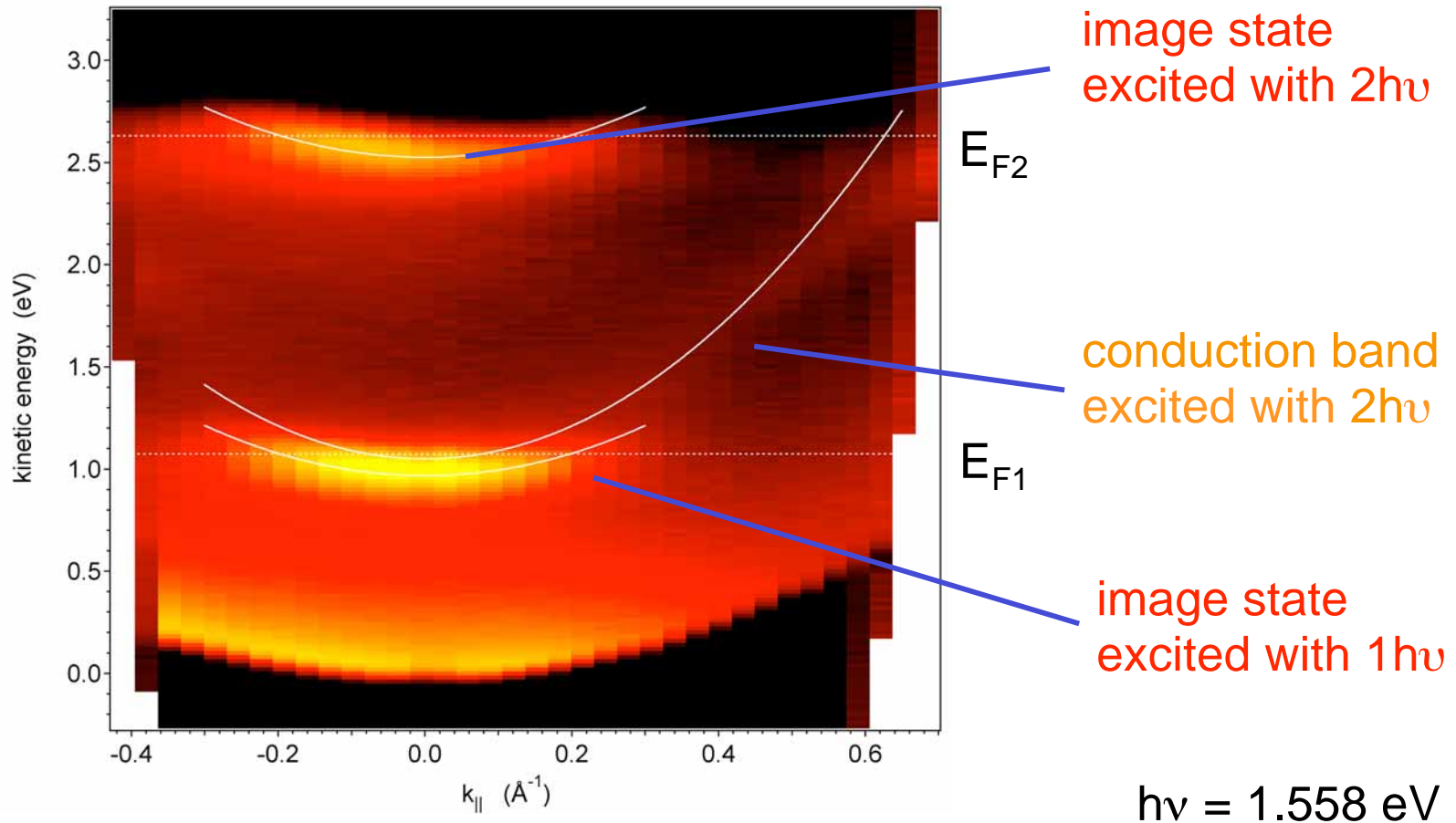


Giesen et al., Phys. Rev. Lett. 55, 300 (1985) and  
 Phys. Rev. B 33, 5241 (1986)  
 Steinmann, Appl. Phys. A 49, 365 (1989)



# dispersion on resonance at 796 nm

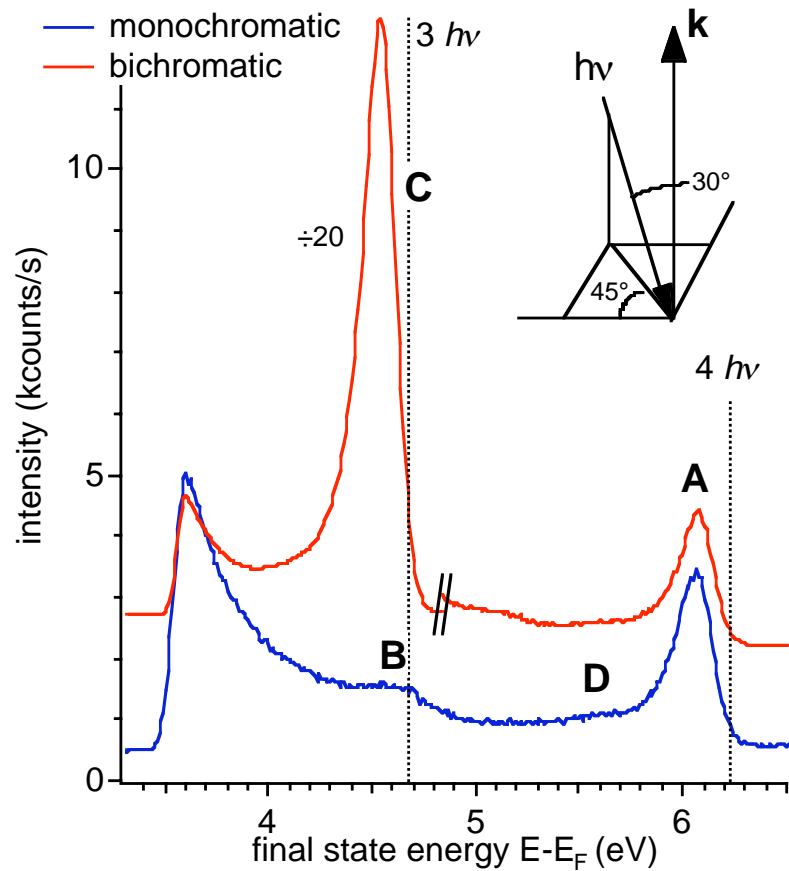
log intensity scale



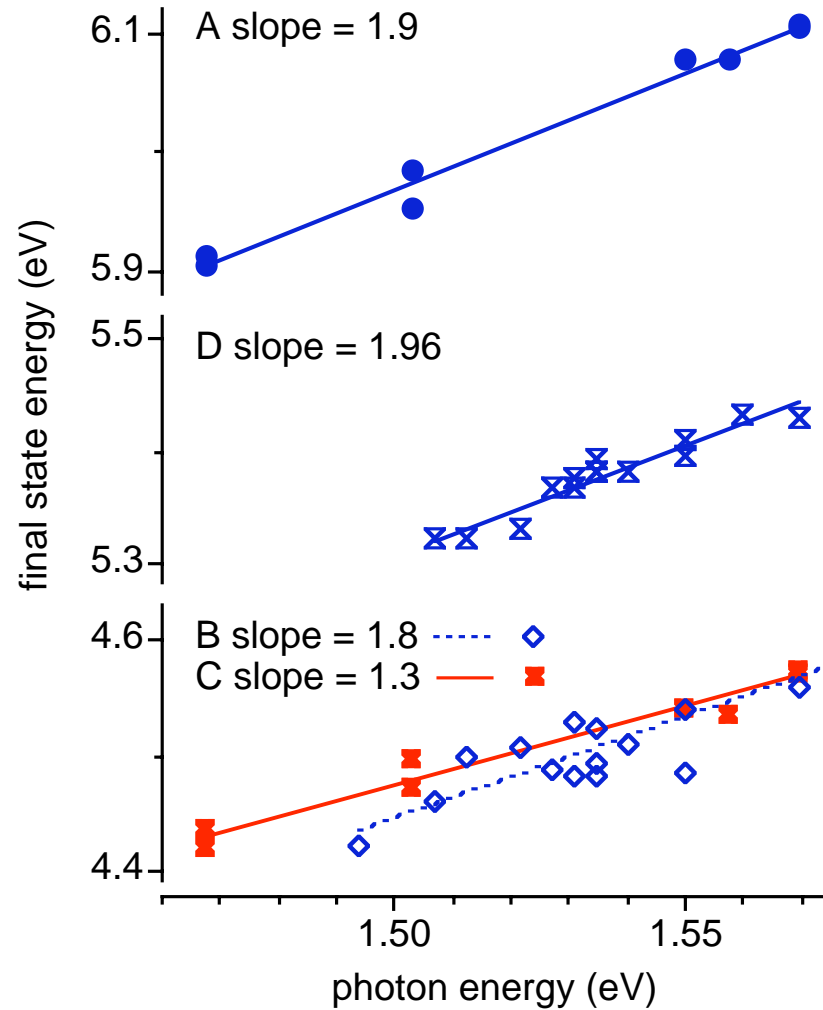
Muntwiler et al., Phys. Rev. B 75, 075407 (2007)



# photon energy dependence

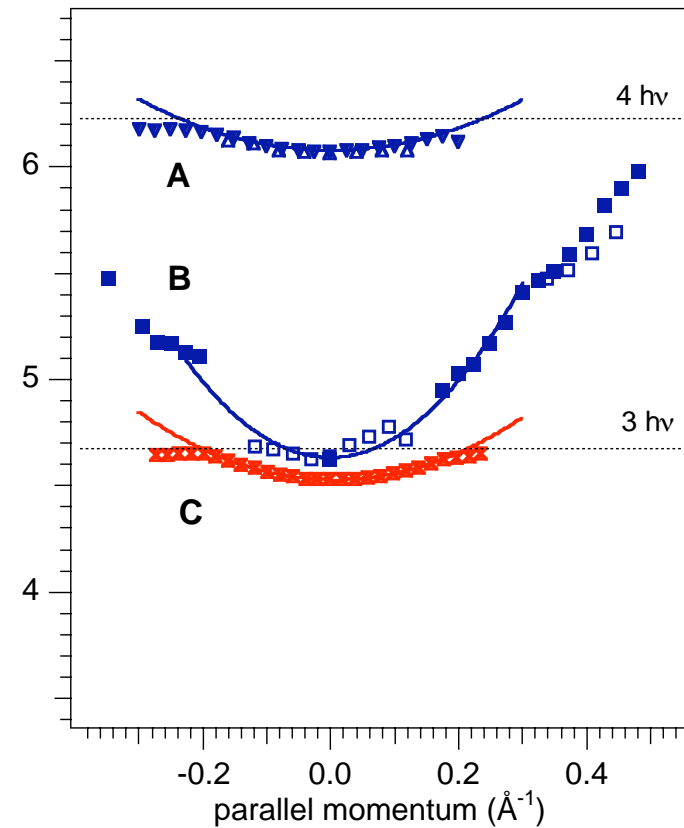
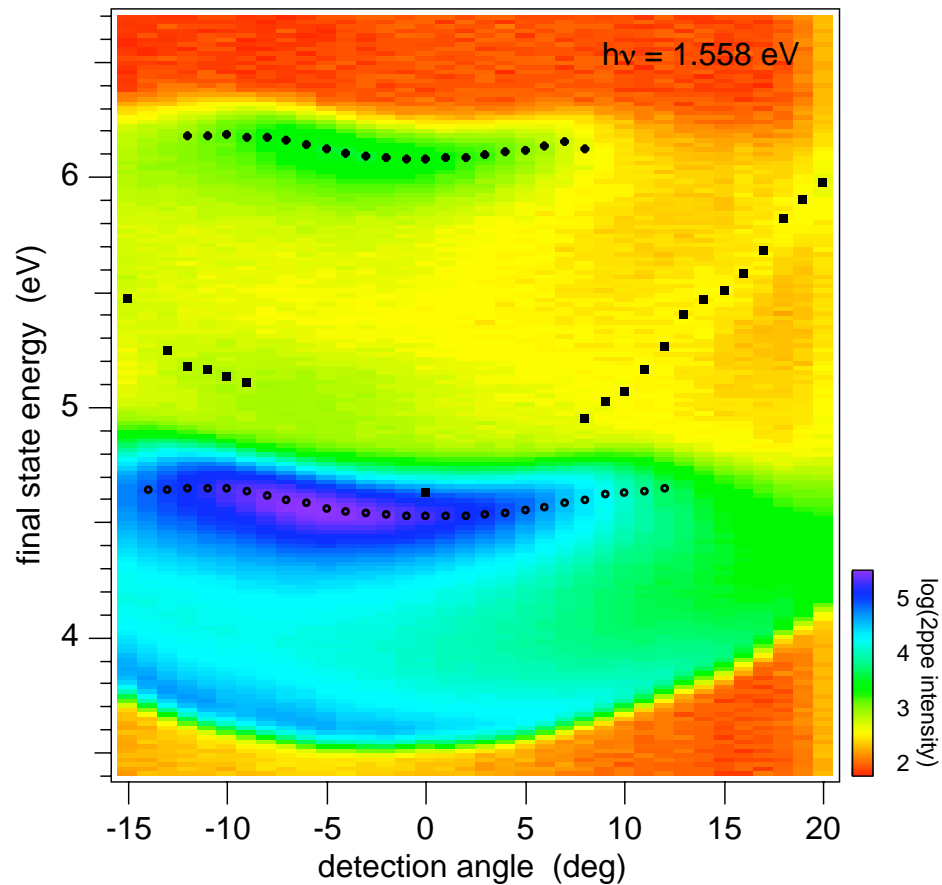


- A - image state (blue-blue)
- B - interface state (b-b)
- C - resonance (r+b)
- D - indirect transition from Ni-B bond?





# band mapping



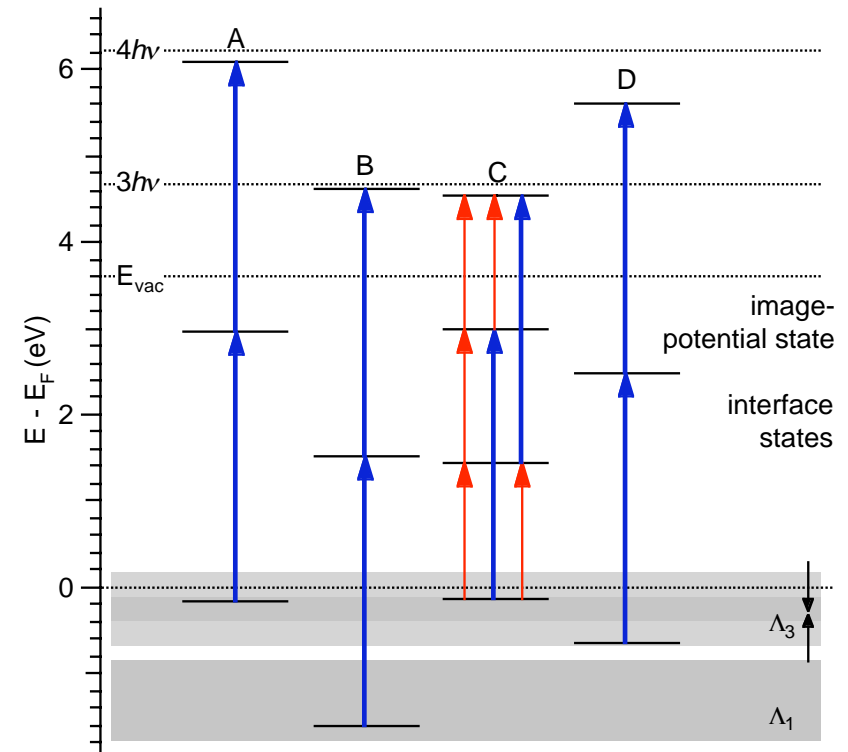
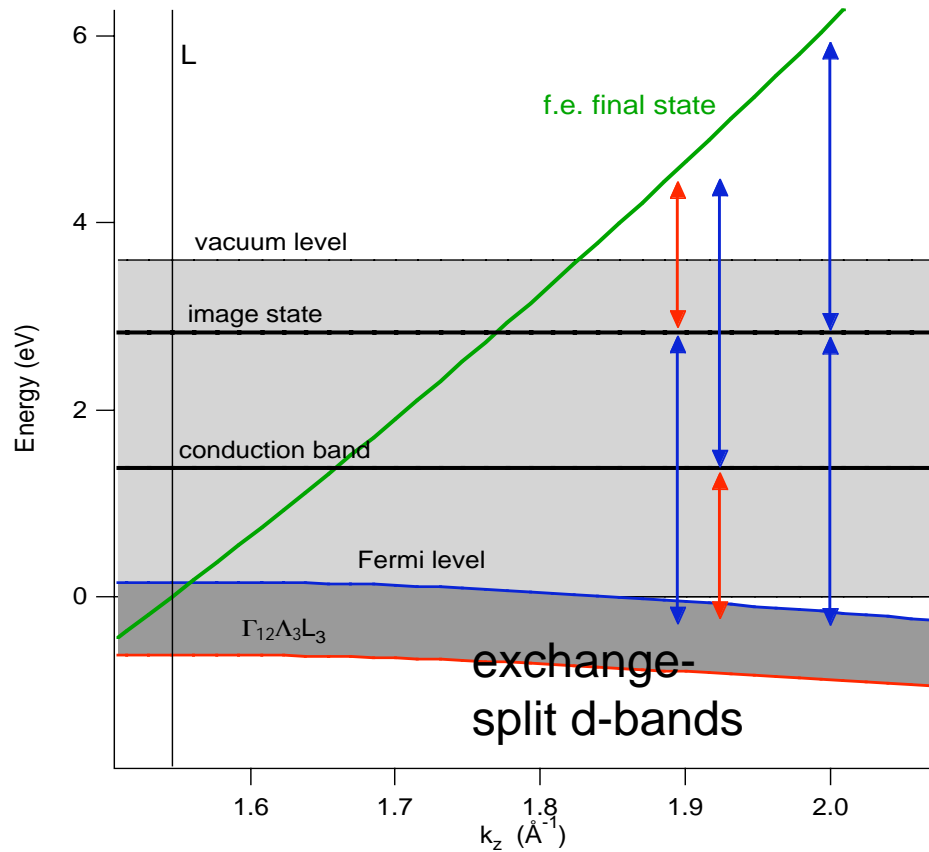
A  $m^* = 1.43$ ,  $E_0 = E_{\text{vac}} - 650 \text{ meV} = E_F + 2.95 \text{ eV}$

B  $m^* = 0.43$ ,  $E_0 = E_F + 1.51 \text{ eV}$

C  $m^* = 1.1$ ,  $E_0 = E_F + 1.4 \text{ eV}$



# resonance as result of two excitation pathways

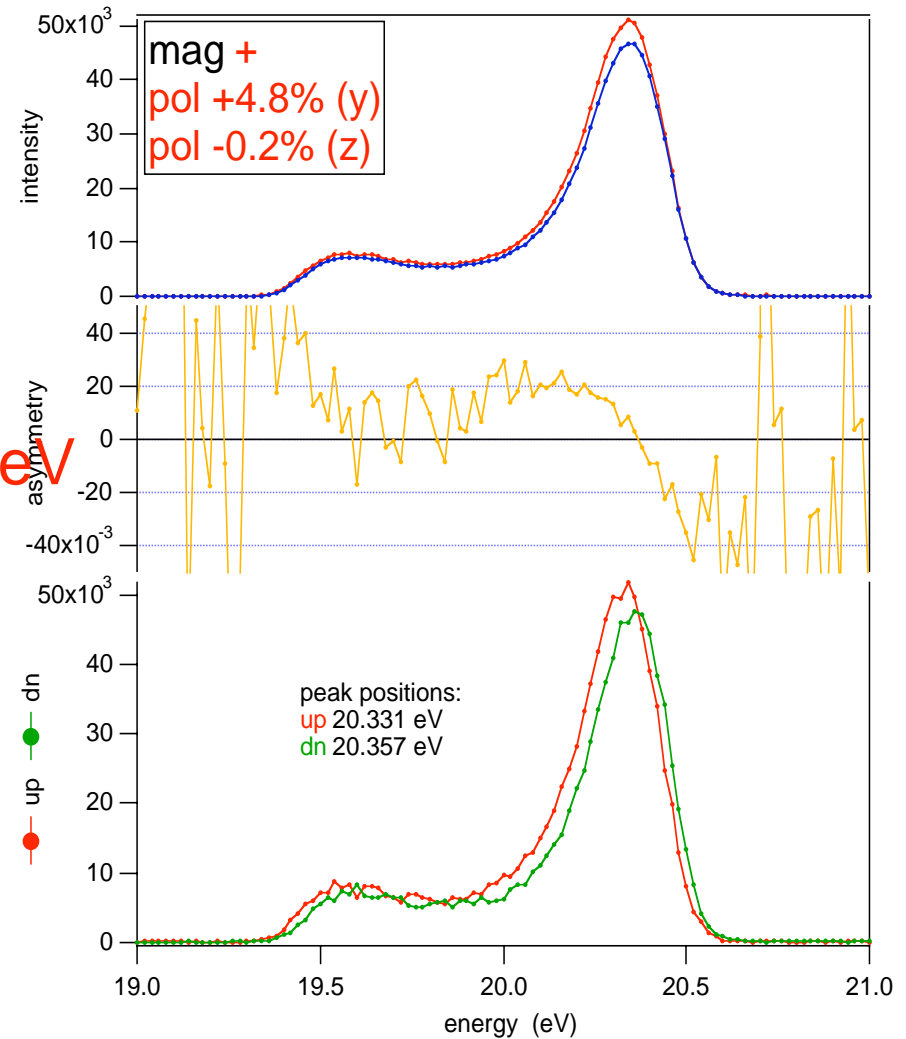


# spin-resolved 2PPE at $\lambda = 794$ nm

remnant sample magnetization 20%  
Sherman function of Mott detectors 14%  
⇒ very low spin signal !

- 100% magnetized sample:
- 25% polarization (**majority**)
  - splitting of resonant peak 26 meV

M. Hengsberger et al.,  
US-provisional patent (filed July 2005)  
Int. patent no. WO2007/006168A1 (2007)



## summary: spectroscopy

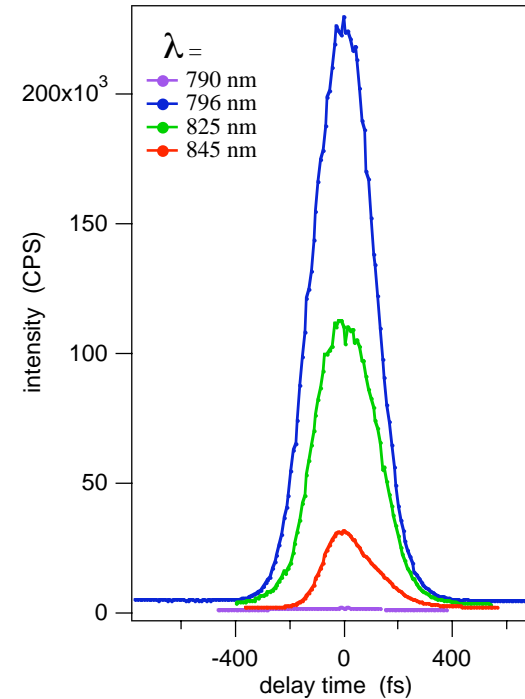
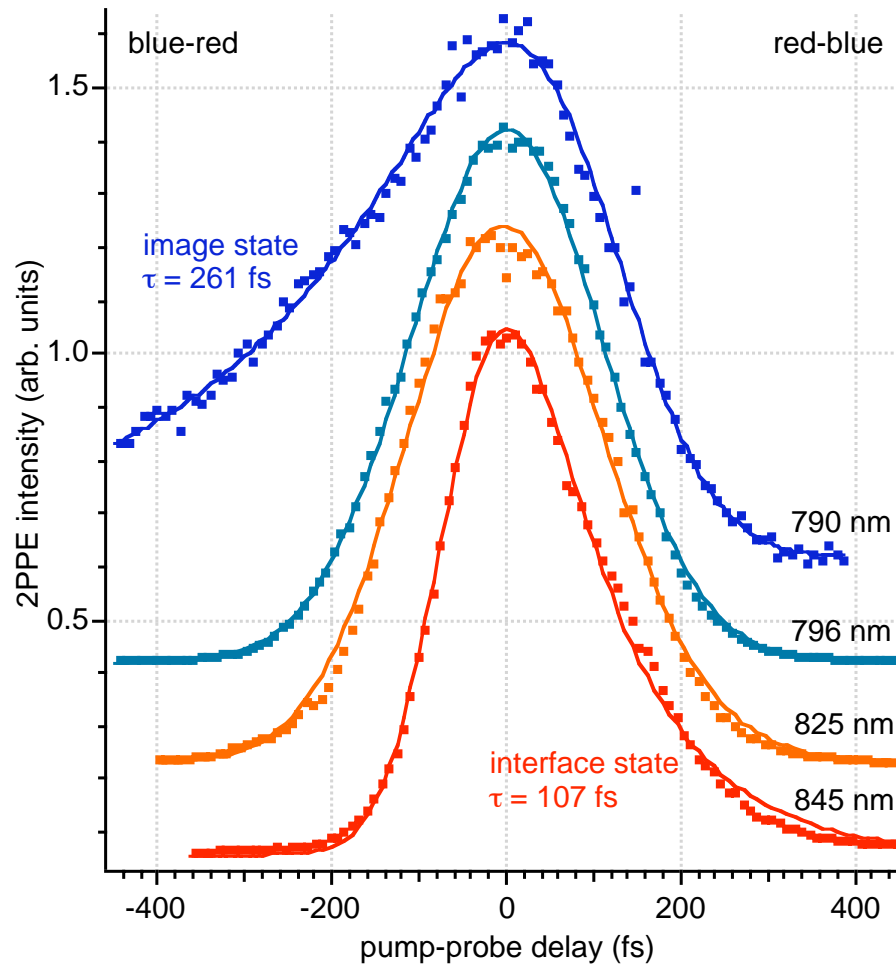
state/peak	method	band bottom (eV)	effective mass ( $m_0$ )	exchange splitting (meV)
interface state	theory (DFT)	1.7	0.73	100
	inv. PE	1.7	$1.1 \pm 0.2$	$150 \pm 50$
	2PPE	1.51	$0.43 \pm 0.1$	--
image potential state	theory (DFT)	--	--	--
	inv. PE	$E_{\text{vac}} - 0.6$	$1.46 \pm 0.5$	--
	2PPE	$E_{\text{vac}} - 0.65$	$1.43 \pm 0.2$	$> 36 (*)$
resonant peak	theory (DFT)	--	--	--
	inv. PE	--	--	--
	2PPE	4.63 (final state)	1.12 at delay 0	26

(\*) deduced from temperature-dependent data (see later)

theory (DFT calculations): Grad et al., Phys. Rev. B68, 085404 (2003)



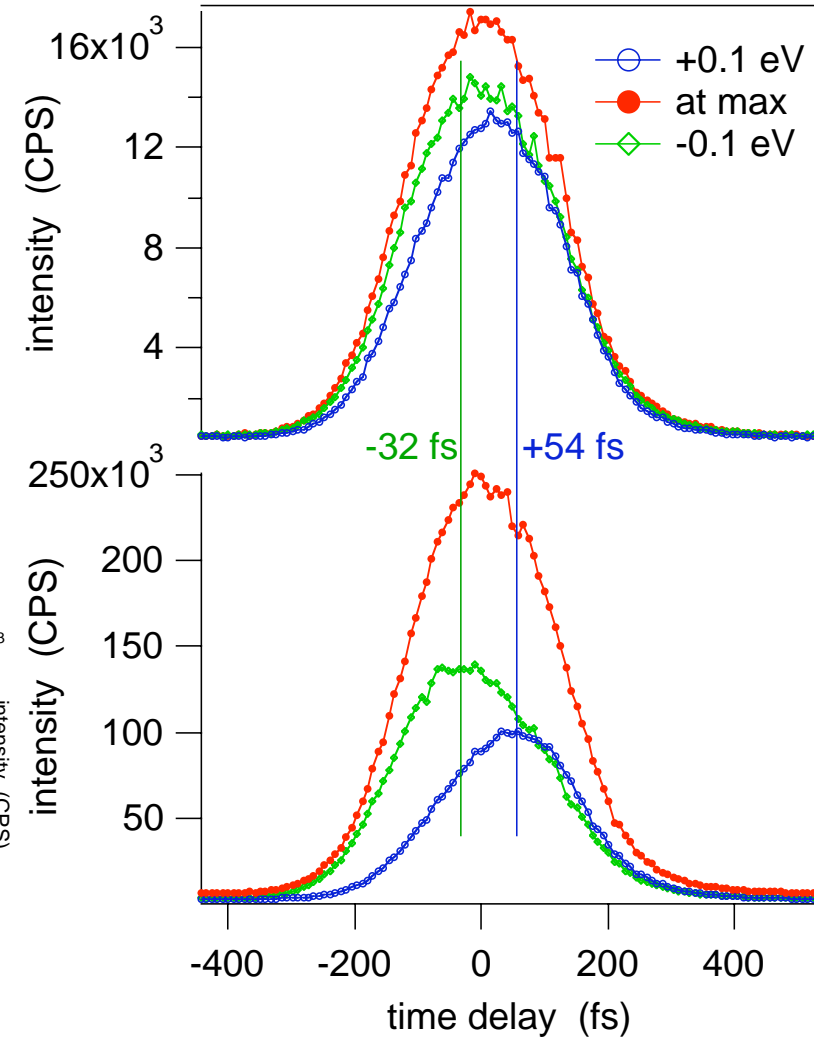
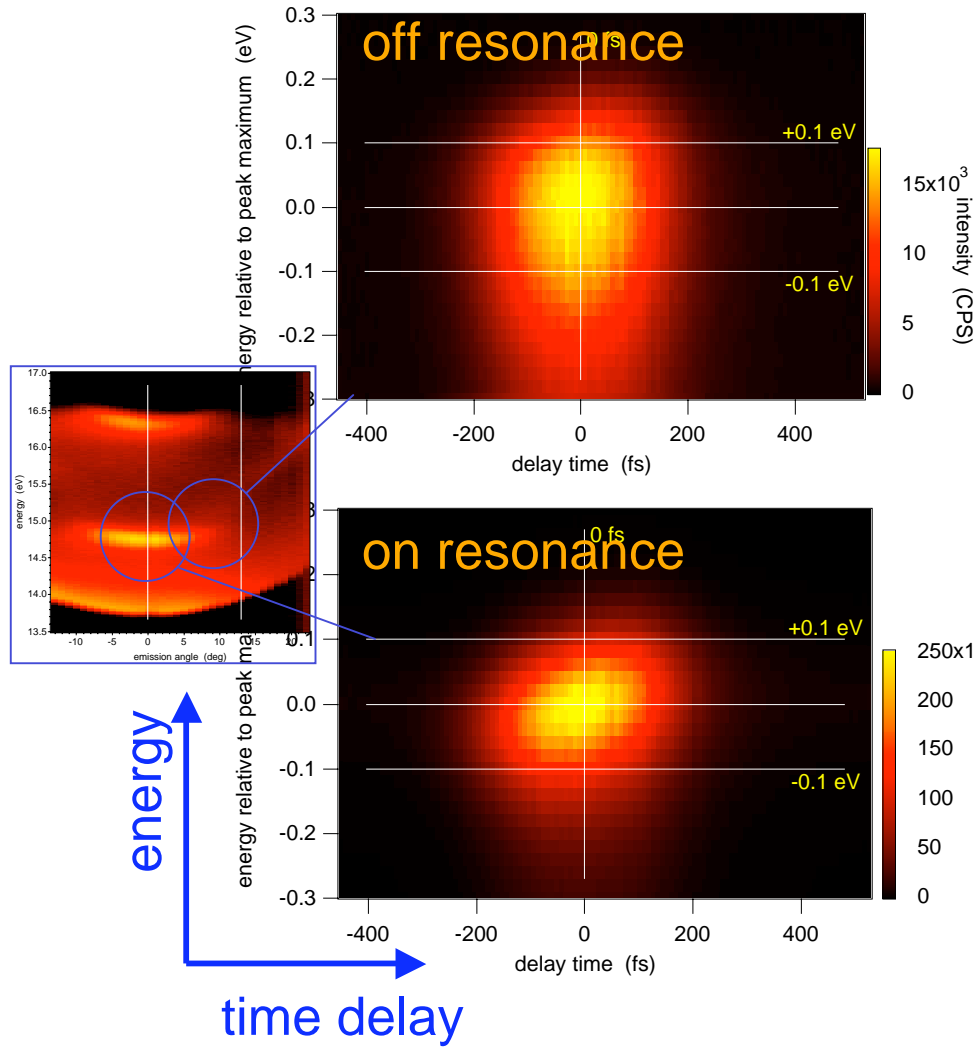
# correlation traces as function of photon energy



- ⇒ two different processes: **blue after red** and **red after blue**
- ⇒ in resonance, cross-correlation almost symmetric !



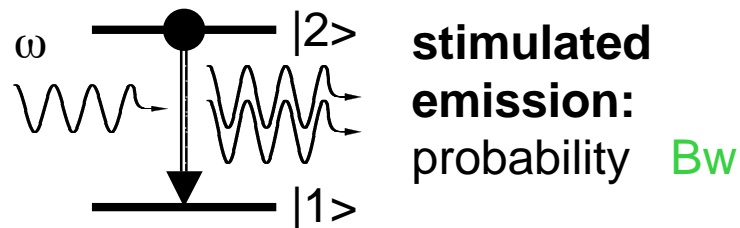
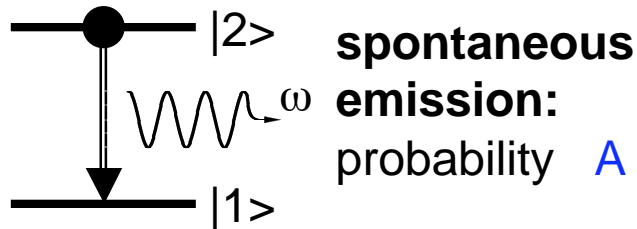
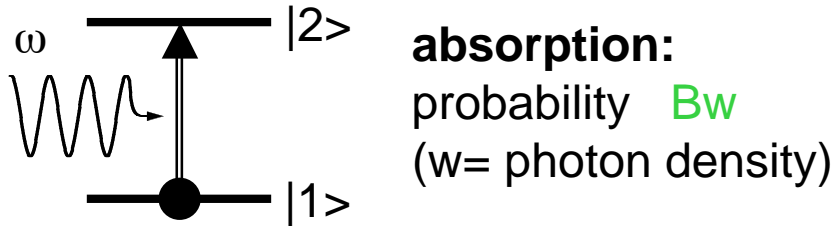
# can we see lifetime-related shifts in correlation curves ?



**YES, even two shifts!**



# optical excitation : rate equations



⇒ rate equations

(1) level population  $N_1 + N_2 = N$

(2) transition rates

$$dN_1/dt = -dN_2/dt = N_2A + (N_2 - N_1)Bw$$

yield general solution for excited state population  $N_2$  [assuming  $N_1(0)=N$ ]:

$$N_2(t)/N = \left\{1 - \frac{(A+Bw)}{A+2Bw}\right\} \left\{1 - e^{-(A+2Bw)t}\right\}$$

if spontaneous emission neglected:

$$N_2(t)/N = \frac{1}{2} \left\{1 - e^{-2Bwt}\right\} \xrightarrow{t \rightarrow \infty} \frac{1}{2}$$

$$\text{where } 2Bw = \alpha F(t) = \alpha \int_{-\infty}^t d\tilde{t} I(\tilde{t})$$

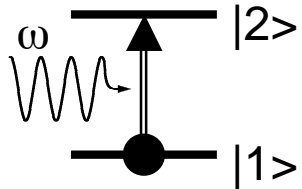
**key parameter : intensity !**

see e.g. R. Loudon "The Quantum Theory of Light",  
Oxford University Press (1973)



# density matrix formalism

(or : Liouville-von Neumann in 2PPE community)



describe system by density matrix

Schrödinger picture (time-dependent wave function)

wave function :

$$|\psi\rangle = C_1(t)|1\rangle + C_2(t)|2\rangle$$

$$|C_1(t)|^2$$

probability of being in lower state

$$= \rho_{11}$$

$$|C_2(t)|^2$$

probability of being in excited state

$$= \rho_{22}$$

$$C_1(t)C_2^*(t) = C_1^*(t)C_2(t) \text{ coherent superposition state} = \rho_{12} = \rho_{21}^*$$

$$\langle \mathbf{A} \rangle \text{ this state is created by interaction with radiation} = \text{Tr}(\rho \mathbf{A})$$

example : macroscopic polarization = off - diagonal elements

$$\mathbf{P} = N \langle \boldsymbol{\mu}_{12} \rangle = N \text{Tr}(\boldsymbol{\mu}_{12} \rho) = N \boldsymbol{\mu}_{12} (\rho_{12} + \rho_{21}) = 2N \boldsymbol{\mu}_{12} \text{Re}\{\rho_{12}\}$$





# optical Bloch equations : 1.) ansatz

optical Bloch equations = eqns. of motion of density matrix

starting from Liouville equation  $\dot{\rho} = -\frac{i}{\hbar} [\mathbf{H}, \rho]$

$$\text{where } \mathbf{H} = \mathbf{H}_0 + \mathbf{V} = \begin{pmatrix} E_1 & 0 \\ 0 & E_2 \end{pmatrix} + \mu_{12} \cdot \mathbf{E}(t) \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

introduce (phenomenologically) decay of states by inserting imaginary energy terms  $\Rightarrow$  leads to exponential decay in probability amplitudes :

$$\mathbf{H} + i\Gamma = \mathbf{H}_0 + i\Gamma + \mathbf{V} = \begin{pmatrix} E_1 & 0 \\ 0 & E_2 \end{pmatrix} + i \begin{pmatrix} \Gamma_1 & 0 \\ 0 & \Gamma_2 \end{pmatrix} + \mu_{12} \cdot \mathbf{E}(t) \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

we obtain the equation of motion with the convention  $\Gamma=1/T$  :

$$\dot{\rho} = -\frac{1}{2} [\Gamma, \rho] - \frac{i}{\hbar} [\mathbf{H}, \rho]$$



## optical Bloch equations : 2.) decay & relaxation

⊗ population decay or "energy relaxation" - "longitudinal relaxation time"  
recombination by (usually radiative) decay - inelastic process

$$\dot{C}_i = \dots - \frac{1}{2} \Gamma_i C_i \quad \Rightarrow \quad C_i(t) \propto \exp\left\{-i/h E_i t - \frac{1}{2} \Gamma_i t\right\}$$

since  $\rho_{ij} = C_i^* C_j$  it follows that

$$\dot{\rho}_{ij} = \dots - \Gamma_{ij} \rho_{ij} \quad \text{with} \quad \Gamma_{ij} = \frac{1}{2} (\Gamma_i + \Gamma_j)$$

⊗ "pure" dephasing : addit'nl decay of off-diagonal elements

interaction with environment (quasi-elastic processes) causes fluctuations of resonance frequency:

$\omega(t) = \omega_0 + \delta\omega(t)$  : integrate and take ensemble average

$$\Rightarrow \left\langle \exp\left\{-i \int d\tilde{t} \delta\omega(\tilde{t})\right\} \right\rangle = (\dots \text{a lot of algebra} \dots) = e^{-\Gamma_{ph} t}$$

⊗ yields an effective transverse decay rate

$$\Gamma_{12}^T = \frac{1}{2} (\Gamma_1 + \Gamma_2) + \Gamma_{ph} \quad \overset{\text{often}}{=} \quad \frac{1}{2T_1} + \frac{1}{2T_2} + \frac{1}{T_{12}^*}$$



## optical Bloch equations : 3.) final set of equations

combining hamiltonian and decay terms yields:

$$\dot{\rho}_{11} = -\Gamma_1 \rho_{11} + \frac{i}{\hbar} \boldsymbol{\mu}_{12} \cdot \mathbf{E}(t) (\rho_{12} - \rho_{21}) \quad (1)$$

$$\dot{\rho}_{22} = -\Gamma_2 \rho_{22} - \frac{i}{\hbar} \boldsymbol{\mu}_{12} \cdot \mathbf{E}(t) (\rho_{12} - \rho_{21}) \quad (2)$$

$$\dot{\rho}_{12} = -\Gamma_{12}^T \rho_{12} - i\omega_0 \rho_{12} - \frac{i}{\hbar} \boldsymbol{\mu}_{12} \cdot \mathbf{E}(t) (\rho_{22} - \rho_{11}) \quad (3)$$

with  $\Gamma_{12}^T = \frac{1}{2}(\Gamma_1 + \Gamma_2) + \Gamma_{\text{ph}}$  and  $\hbar\omega_0 = E_2 - E_1$

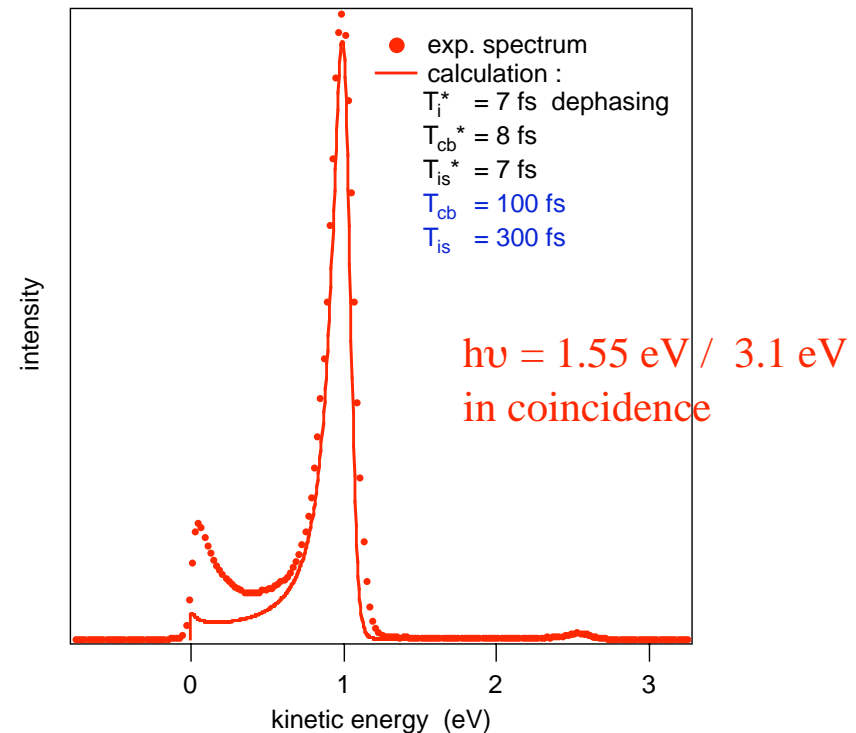
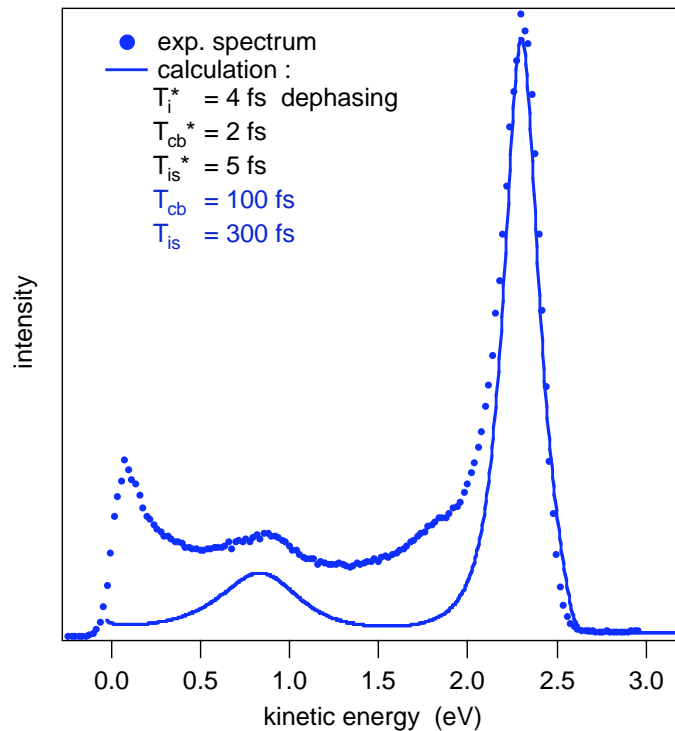
and  $\mathbf{E}(t) = \frac{1}{2}(\hat{\mathbf{E}}(t) + \hat{\mathbf{E}}^*(t))$

**optical Bloch equations (OBE)**



# first calculations: optical Bloch equation, cw case

- based on : Wolf et al., Phys. Rev. B 59, pp 5926-35 (1999)
- c.f. S. Mukamel, *Principles of nonlinear optics*, Oxford U Press 1995
- 4-level system (initial and final state continua, 2 discrete intermediate states), including RWA, cw approximation (no time dependence), analytical solution

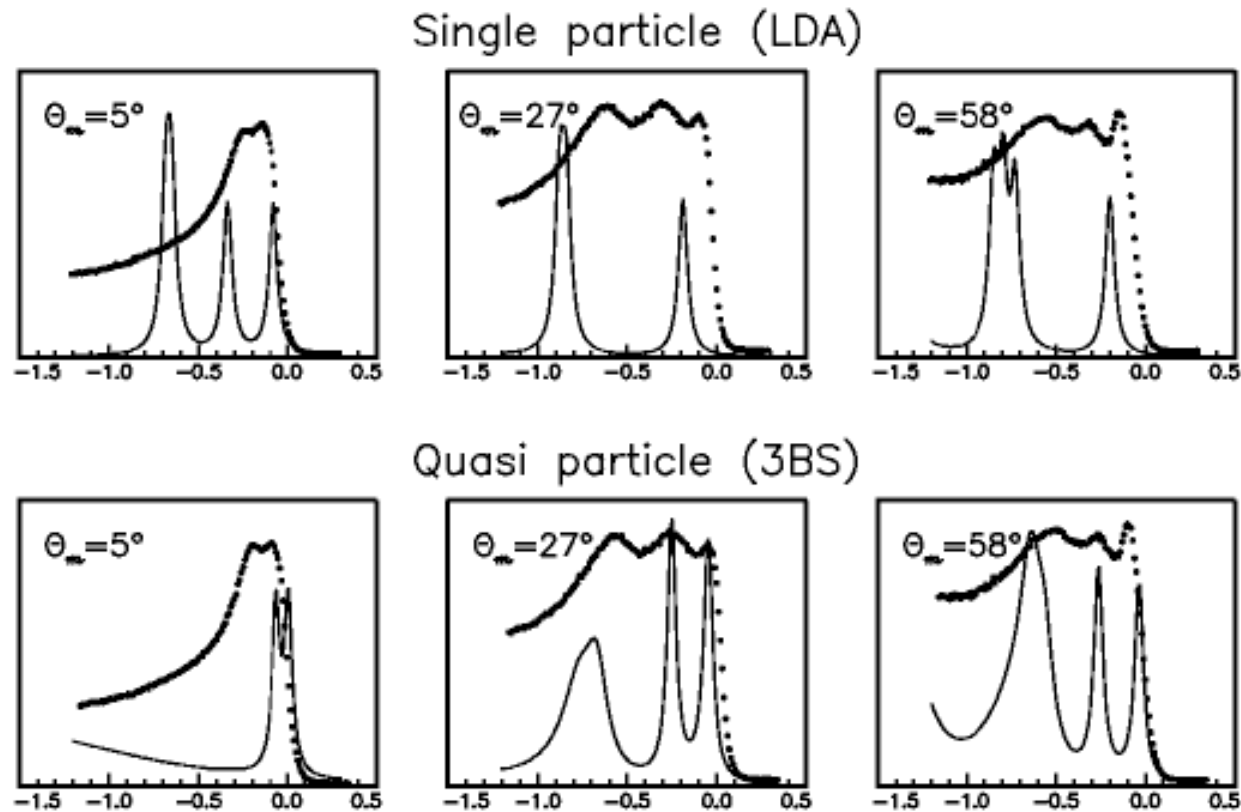


population decay times 100 and 300 fs  
dephasing times typically 2~ 8 fs



# dephasing dominated by photohole lifetime

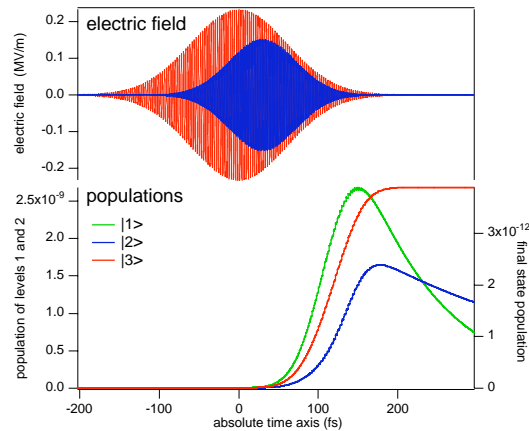
broad peaks in conventional photoemission spectra (21.2 eV):  
→ photohole lifetimes of a few femtoseconds



from: F. Manghi et al., PRB 59, R10409 (1999)

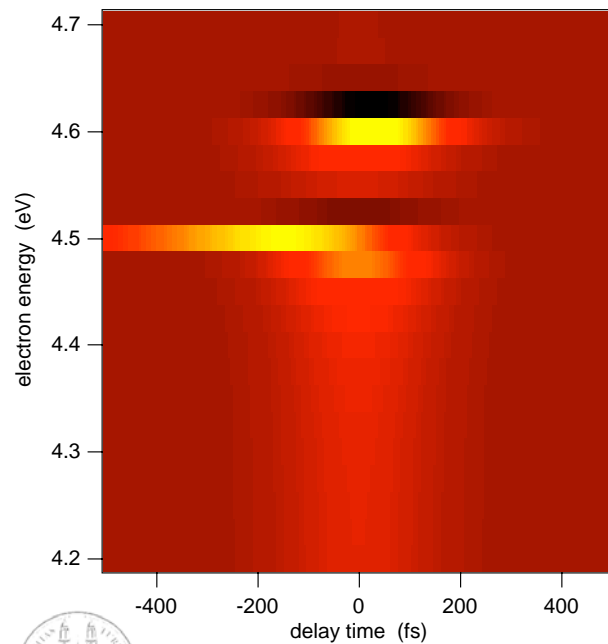


# dynamical calculations: solving the Optical Bloch Eqns.

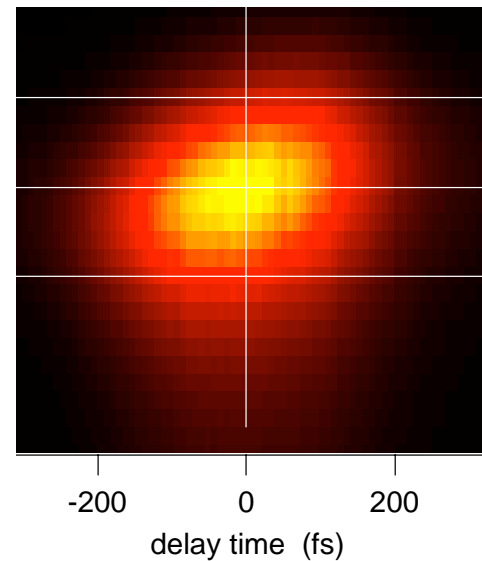


- semi-classical ansatz for electric field
- solve set of 9-10 coupled differential eqns.
- integration over absolute time axis (left)
- temporal shifts and broadening due to dephasing reproduced

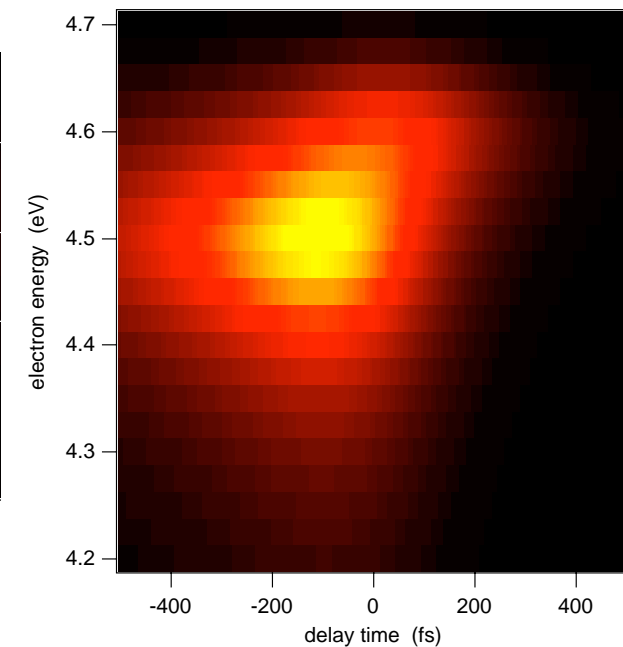
very long dephasing time (1 ps)



exp. ( $\lambda=800$  nm)

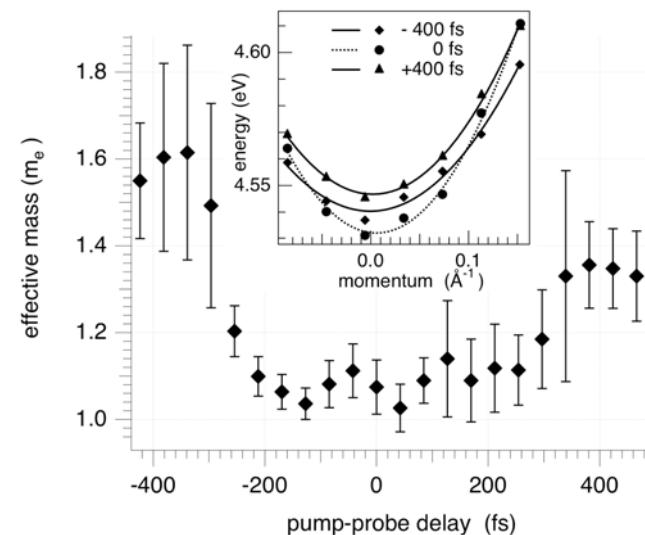


dephasing 8 fs



## summary: time-resolved measurements

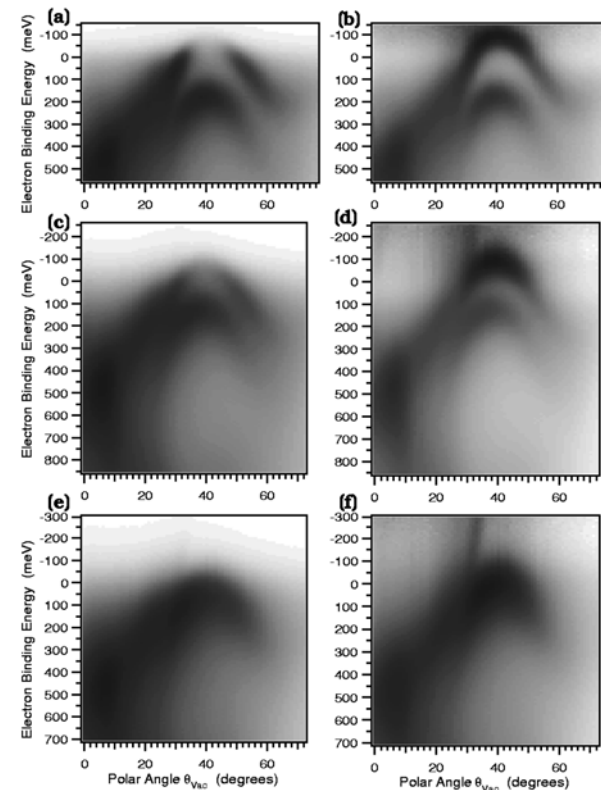
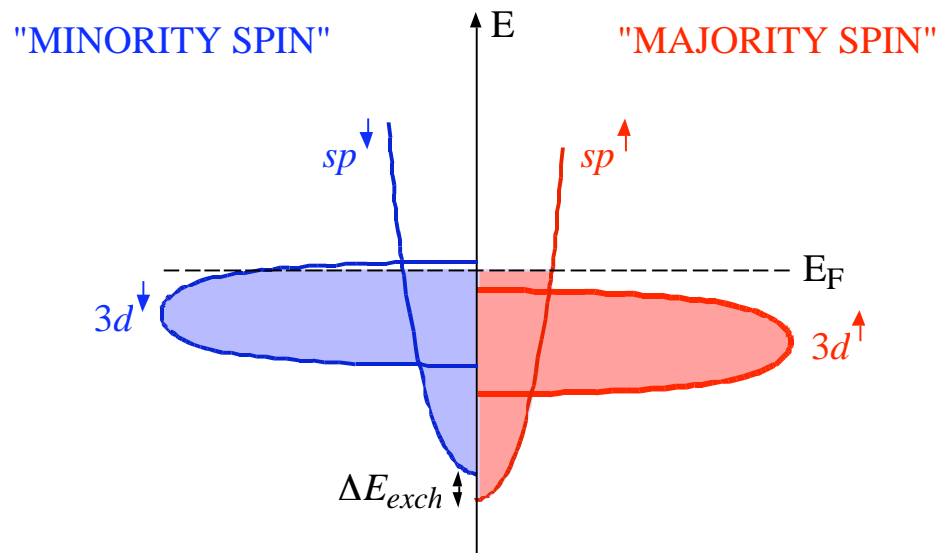
- very long lifetimes found in two-colour experiments:
  - interface state 107 fs (in close proximity to metal!)
  - image potential state 261 fs - can be understood within dielectric continuum model (Lingle et al., Chem. Phys. 205, 191 (1996))
- time-resolved measurements give further support for two excitation channels in the resonant peak
- effective mass of resonant peak changes as function of time delay (not yet understood)



# 2PPE signal as probe for magnetic order

idea:

- resonance sensitive to precise band energies
- "detuning" by varying exchange splitting
- sensitivity to magnetic order?
- first test: 2PPE at high temperature...

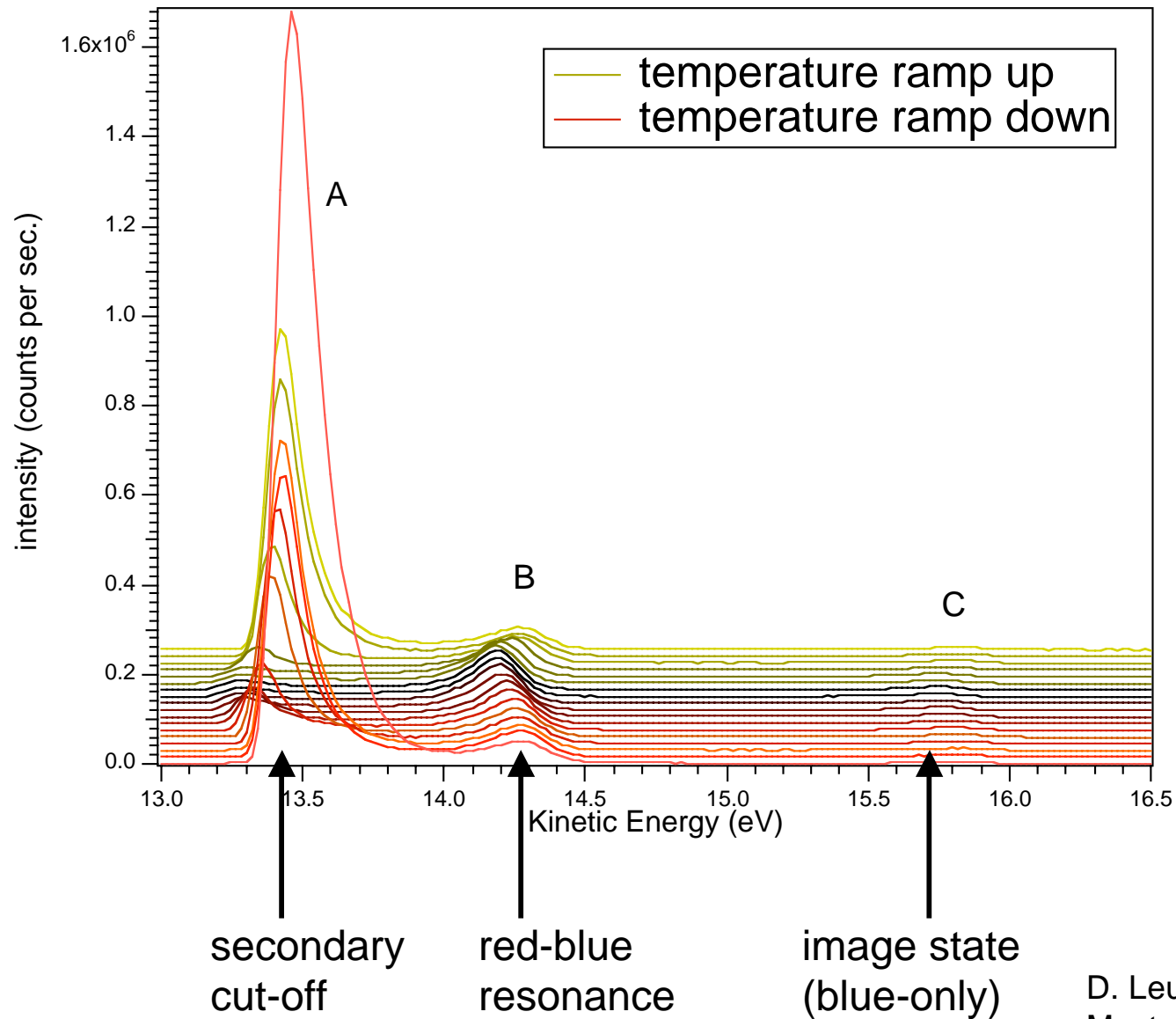


Kreutz et al, Phys. Rev. B 58, 1300 (1998)

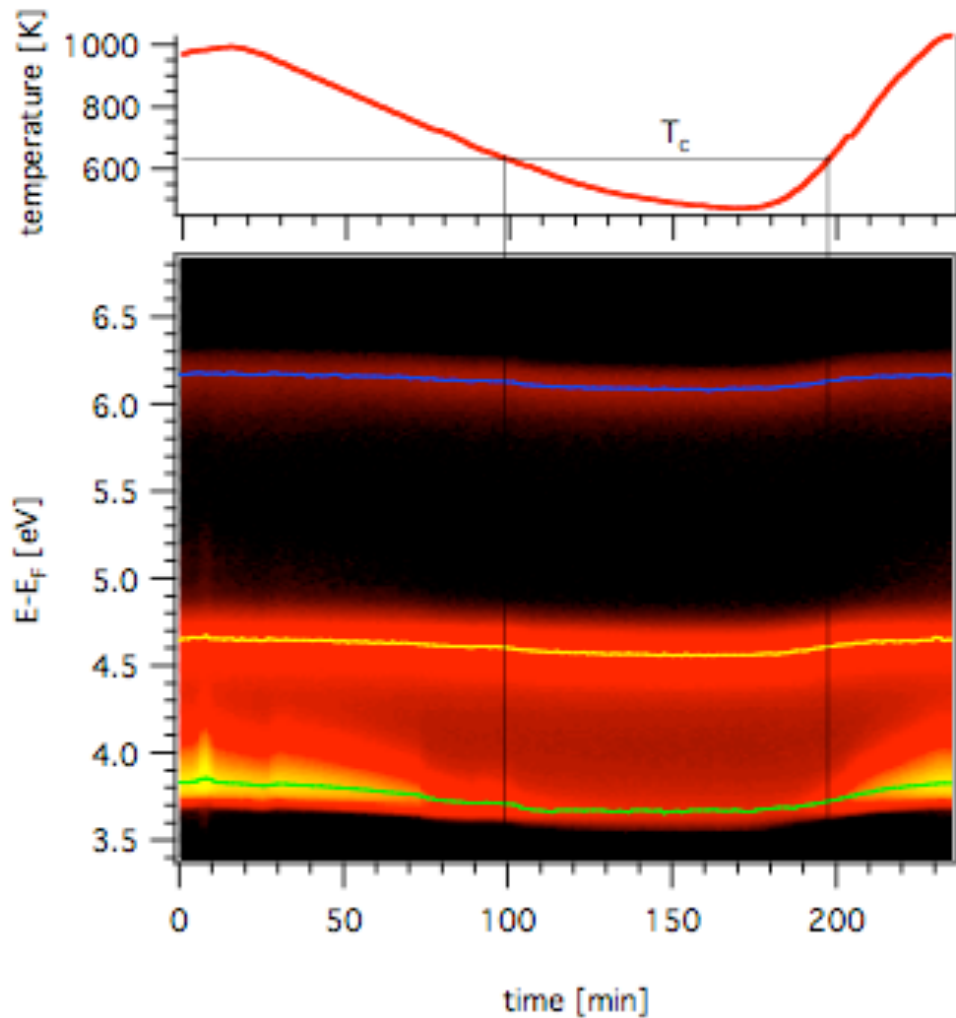




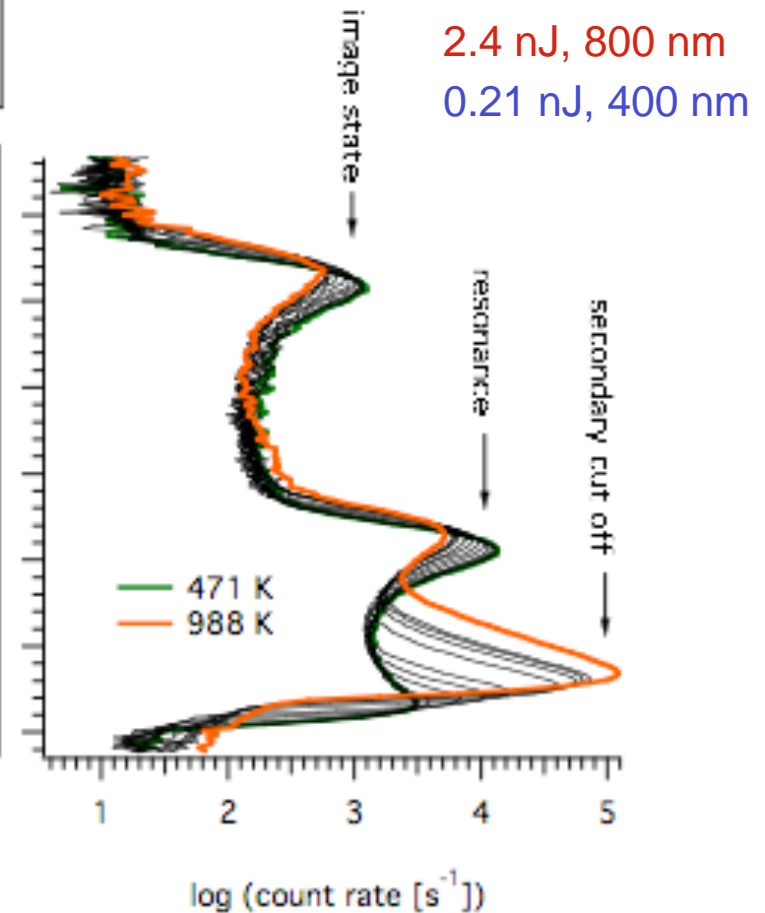
# 2PPE spectra as function of temperature



# spectra as function of temperature



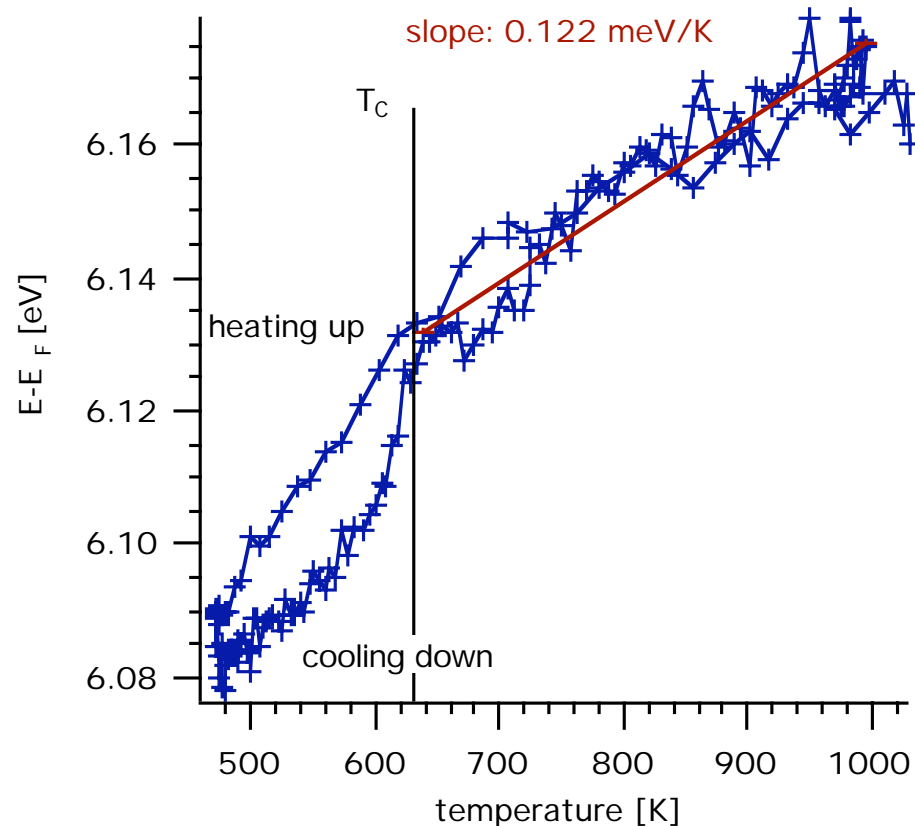
bichromatic experiment



2PPE transitions across  $T_c$  → signature of magnetic phase change?



# image potential state position versus temperature



**above  $T_c$ :**

thermal band shift caused by thermal lattice expansion

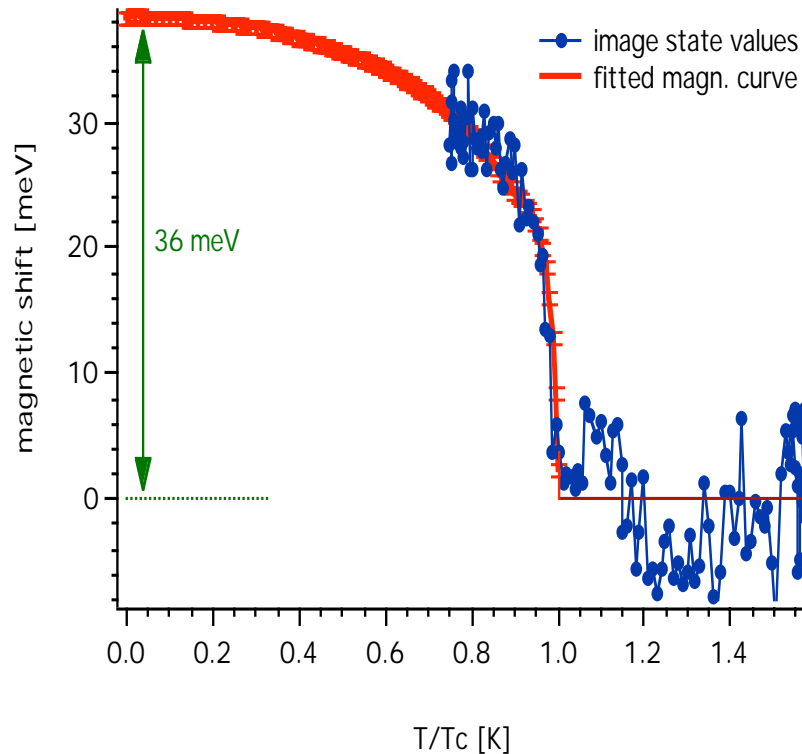
**below  $T_c$ :**

thermal band shift + magnetic band shift (collapsing exchange splitting)



# exchange splitting of image potential state

subtraction of the thermal shifts leads to:



- image potential state dominates peak position
- majority character
- width decreases by 60 meV over same temperature range

→ majority part of the exchange splitting?

agrees with previous measurements for bare Ni:

Fischer *et al.*:  $\Delta E < 40$  meV (2PPE); Phys. Rev. B 42, 9717 (1990)

Donath *et al.*:  $\Delta E = 18$  meV (IPES); Phys. Rev. Lett. 69, 1101 (1992)



## Conclusions : time-resolved 2PPE from *h*-BN/Ni(111)

- huge resonance observed in 2PPE spectra from *h*-BN/Ni(111)
- resonance is caused by interaction of two transition channels within the *h*-BN layer
- spectroscopy quite difficult to interpret but in reasonable agreement with DFT and inverse photoemission
- lifetimes of intermediate states are rather long:  
about 107 fs for interface state and 261 fs for image potential state
- transient spectra can be modeled using the framework of Optical Bloch Equations
- resonant peak is partially spin-polarized (~25%, majority)
- new (chemically inert) source for spin-polarized electrons?
- 2PPE spectra show signatures of magnetic phase change at  $T_c$
- exchange splitting of image potential state estimated to be  $>36$  meV



# people who contributed

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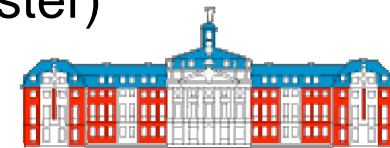
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