

# Talking Through the Continuum: A Mesoscopic Multi-State Fano Resonance

**Jonathan P. Bird**

Department of Electrical Engineering  
University at Buffalo  
Buffalo, New York, USA



# Work Performed in Collaboration With:

**Y. Yoon, M. G. Kang & S. Xiao**

**Electrical Engineering, University at Buffalo**

**T. Morimoto, N. Aoki & Y. Ochiai**  
**Electrical Engineering, Chiba University**



**L. G. Mourokh**

**Physics, Queens College, City University of New York**

**J. Fransson**

**Physics, Uppsala University**

**J. L. Reno**

**Nanoelectronics Group, Sandia National Laboratories**



# Introduction: The Fano Resonance ... the Archetypal Open System

PHYSICAL REVIEW

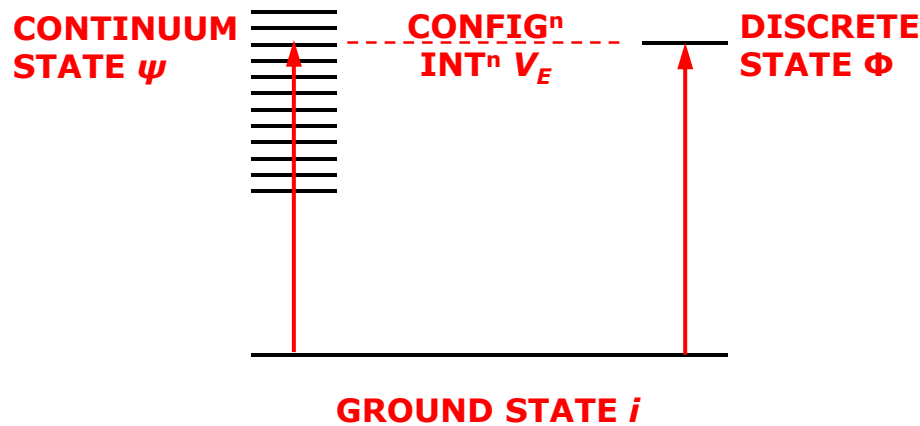
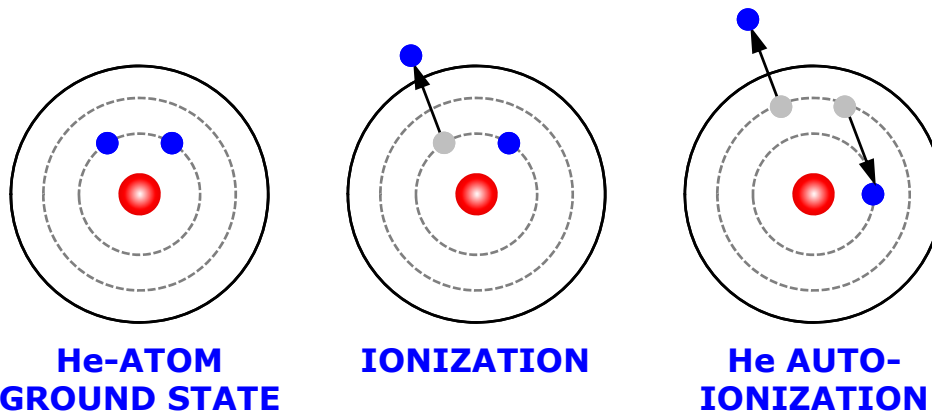
VOLUME 124, NUMBER 6

DECEMBER 15, 1961

## Effects of Configuration Interaction on Intensities and Phase Shifts\*

U. FANO

National Bureau of Standards, Washington, D. C.



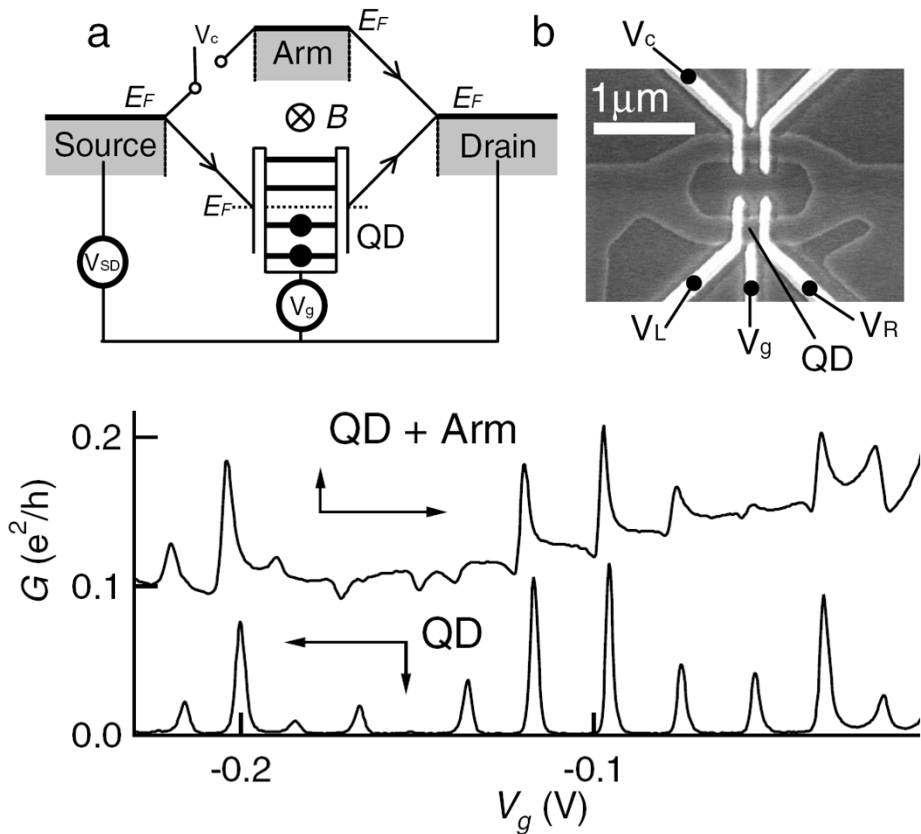
**RESONANCE CROSS SECTION:**

$$\sigma = \frac{(\varepsilon + q)^2}{\varepsilon^2 + 1}, \quad \varepsilon = 2 \frac{E - E_0}{\Gamma}$$

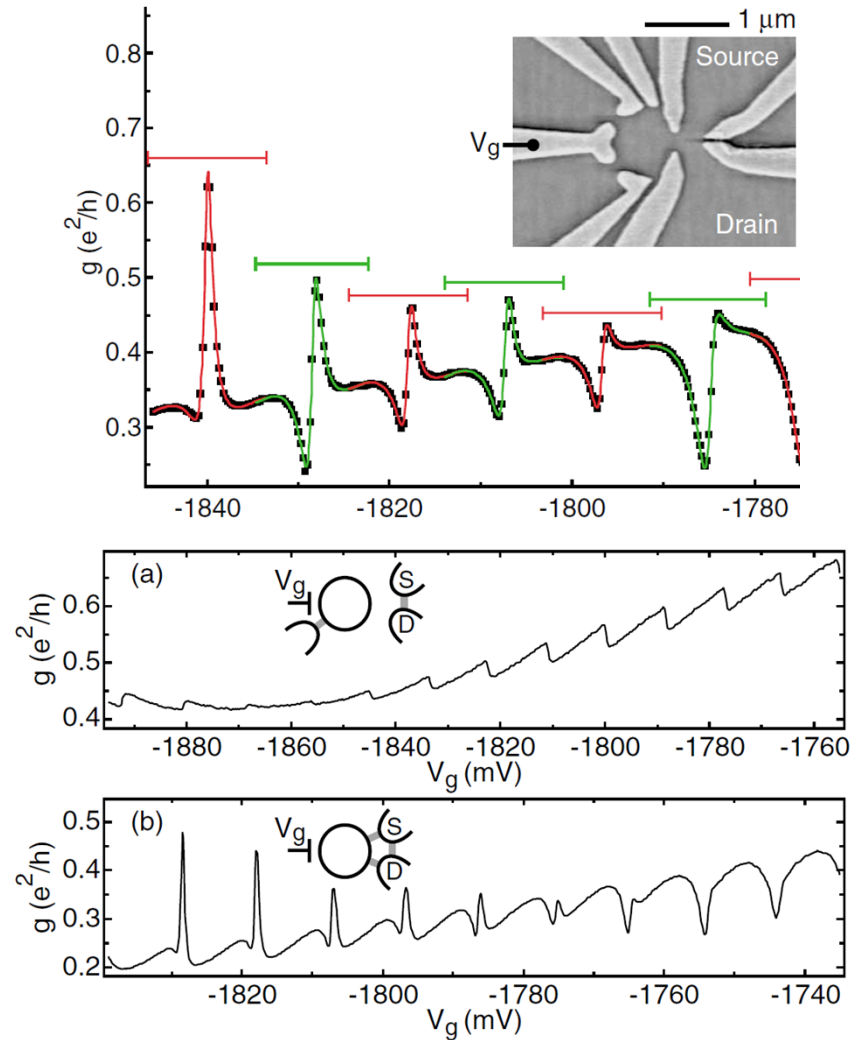
**FANO SHAPE PARAMETER:**

$$q = \frac{\langle \Phi | T | i \rangle}{\pi V_E \langle \psi | T | i \rangle}$$

# Introduction: The Fano Resonance ... Mesoscopic Implementations



K. Kobayashi et al.  
 Phys. Rev. Lett. **88**, 256806 (2002)



A. T. Johnson et al.  
 Phys. Rev. Lett. **93**, 106803 (2004)

**MULTIPLE** Demonstrations in Which a **QUANTUM DOT** is Used to Provide the **DISCRETE** State

# Introduction: Multi-State Fano Resonances

PHYSICAL REVIEW

VOLUME 124, NUMBER 6

DECEMBER 15, 1961

## Effects of Configuration Interaction on Intensities and Phase Shifts\*

U. FANO

*National Bureau of Standards, Washington, D. C.*

(Received July 14, 1961)

### 5. A NUMBER OF DISCRETE STATES AND ONE CONTINUUM

Consider now the situation where a set of discrete states  $\varphi_1, \dots, \varphi_n, \dots$  experiences configuration interaction with a set of states  $\psi_{E'}$  belonging to one continuous spectrum. The energy submatrix which we want to diagonalize is

$$(\varphi_m | H | \varphi_n) = E_n \delta_{mn}, \quad (45a)$$

$$(\psi_{E'} | H | \varphi_n) = V_{E'n}, \quad (45b)$$

$$(\psi_{E''} | H | \psi_{E'}) = E' \delta(E'' - E'). \quad (45c)$$

Equation (45a) implies that the smaller submatrix

**Fano ALSO Predicted MULTI-STATE Resonances  
Due to Interaction With COMMON Continuum**

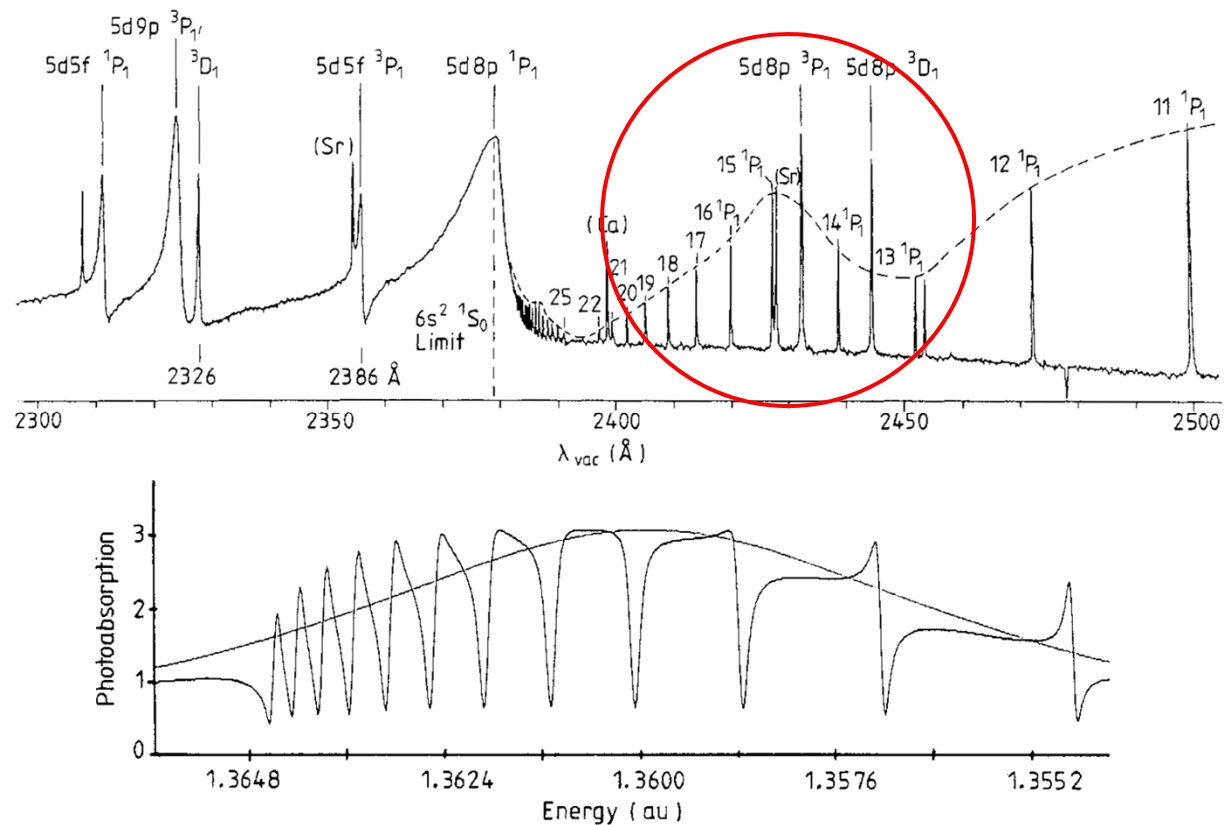
# Introduction: Multi-State Fano Resonances

Rep. Prog. Phys. **51** (1988) 1439–1478. Printed in the UK

## Interacting resonances in atomic spectroscopy

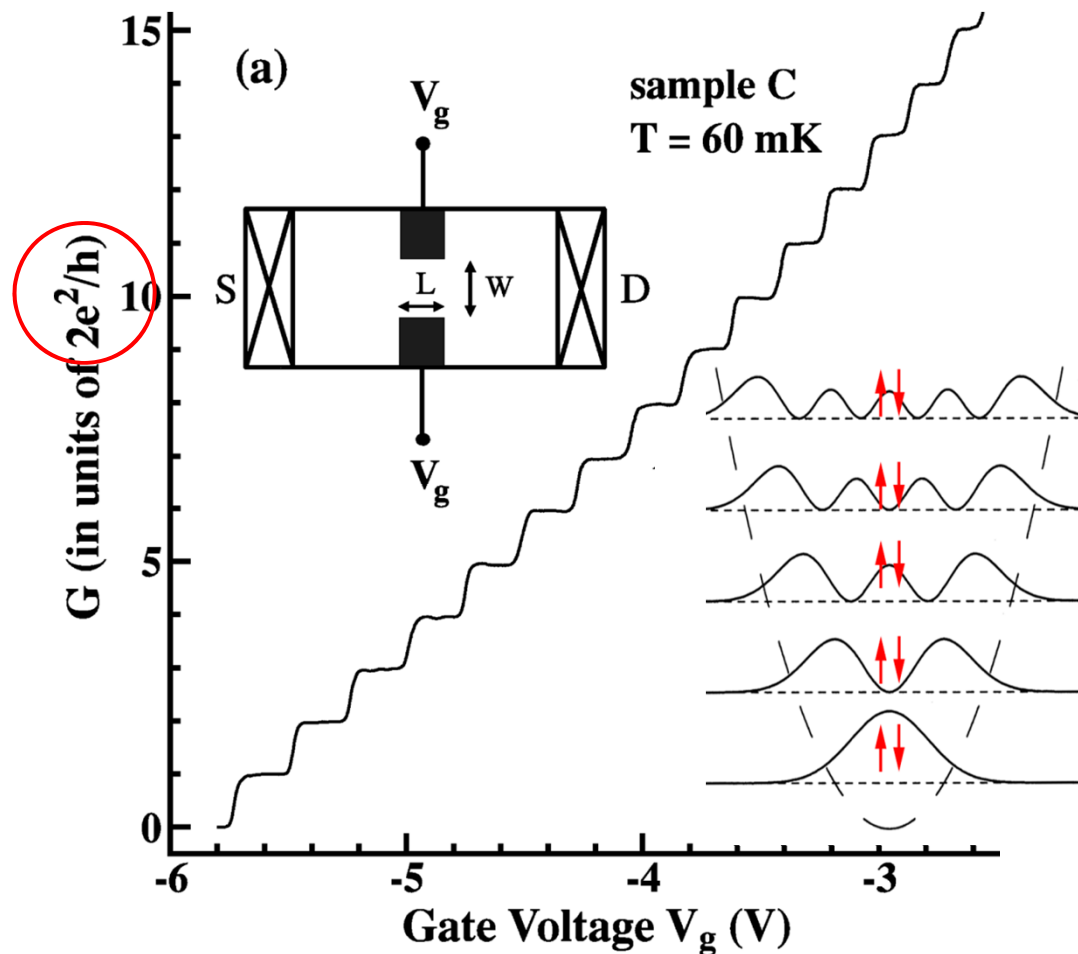
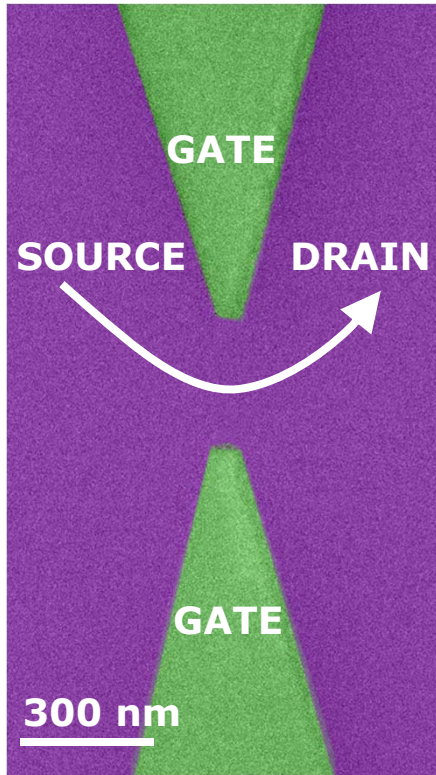
J-P Connerade<sup>†</sup> and A M Lane<sup>‡</sup>

<sup>†</sup> Blackett Laboratory, Imperial College of Science and Technology, Prince Consort Road, London SW7 2BZ, UK and Physikalisches Institut der Universität Bonn, Nussallee 12, 53 Bonn, Federal Republic of Germany

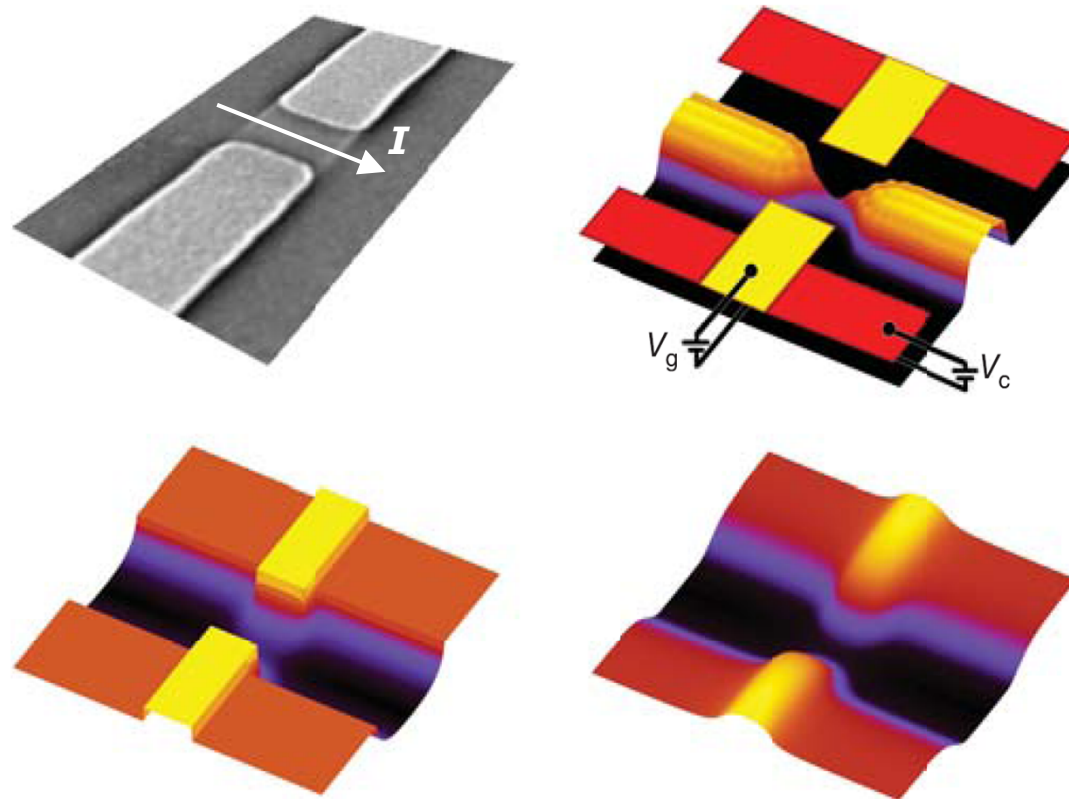


**FEW** Examples: ***q*-REVERSAL** due to **LEVEL OVERLAP** in Rydberg Atoms Most Prominent

Here We Discuss a **MESOSCOPIC** Realization of a Multi-State Fano Resonance That Exploits the Unique Behavior of **QUANTUM POINT CONTACTS**



**A Critical Aspect of These Devices For This Study is Their Ability to Act as a **TUNABLE BARRIER** to Carriers **ALONG** their Direction of Flow**



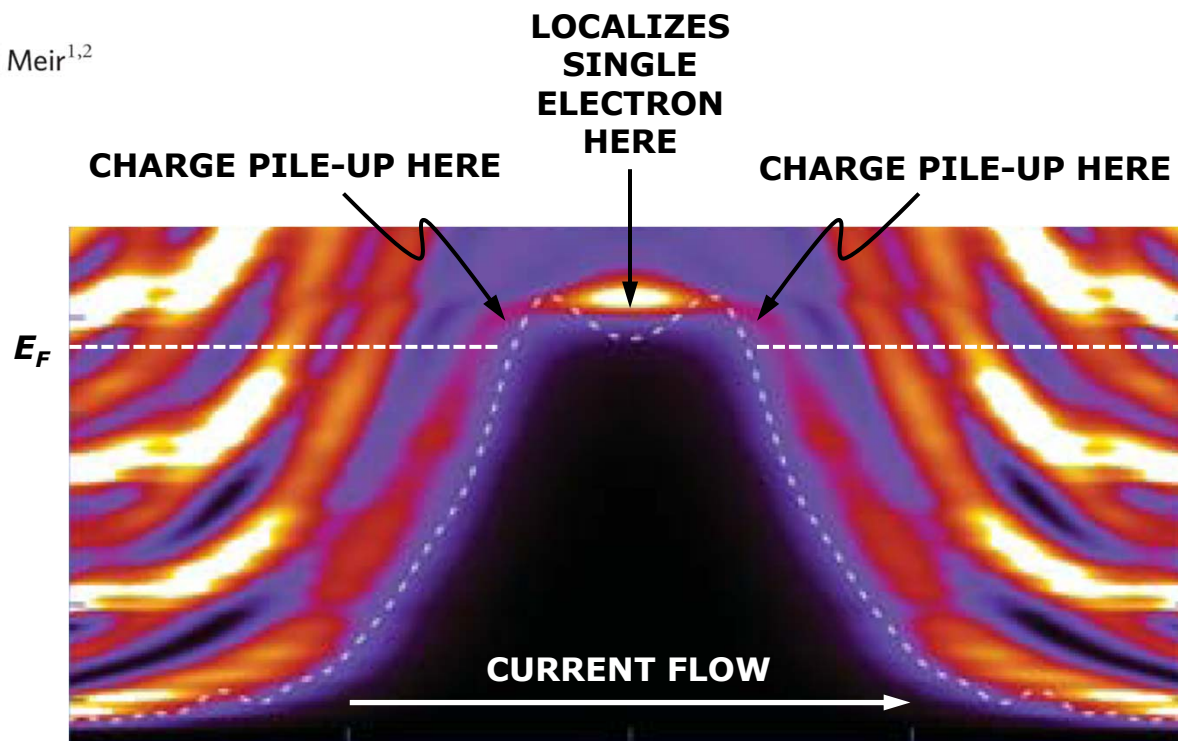
**Barrier Allows Electron Density at QPC Center to Be **LOWERED** – Even **PINCHED-OFF** Completely**



## LETTERS

### Magnetic impurity formation in quantum point contacts

Tomaž Rejec<sup>1</sup> & Yigal Meir<sup>1,2</sup>



**BOUND STATE** Thought to **SPONTANEOUSLY**  
Form in These Structures at **PINCH-OFF**

## ... Suggested by Numerous **MANY-BODY** Theories

Reference	Approach	Features
T. Rejec, Y. Meir Nature <b>442</b> , 900 (2006)	Local Spin-Density Approximation	<ul style="list-style-type: none"><li>• Self-consistently formed <b>bound state</b> for single electron near pinch-off</li><li>• Kondo effect from dynamically-fluctuating spin</li></ul>
A.D. Güçlü et al. PRB <b>80</b> , 201302 RC (2009)	Variational & Diffusion Quantum Monte Carlo	<ul style="list-style-type: none"><li>• Inhomogeneous wire with low-density region where interactions dominant</li><li>• <b>Single-electron localization</b> at low density</li></ul>
E. Welandar et al. PRB <b>82</b> , 073307 BR (2010)	Local Spin-Density Approximation	<ul style="list-style-type: none"><li>• Inhomogeneous wire with low-density region where interactions dominant</li><li>• Electron <b>bound state</b> arises from Coulomb interactions &amp; evolves sensitively with density</li></ul>
J.H. Hsiao, T.M. Hong PRB <b>82</b> , 115309 (2010)	Non-Equilibrium Green Functions with Spin- Orbit (Rashba) + Electron Interactions	<ul style="list-style-type: none"><li>• Spin-orbit interactions induce <b>local-moment</b> when QPC symmetry broken by source bias</li><li>• Resulting spin polarization enhanced by role of Coulomb interaction</li></ul>
T. Song, K.H. Ahn PRL <b>106</b> , 057203 (2011)	Exact Diagonalization	<ul style="list-style-type: none"><li>• Scattering resonances due to 1D-2D transition</li><li>• Resonant levels used to compute eigenstates of interacting electrons</li><li>• Dependent on filling both <b>Kondo effect</b> &amp; <b>ferromagnetic</b> character obtained!</li></ul>

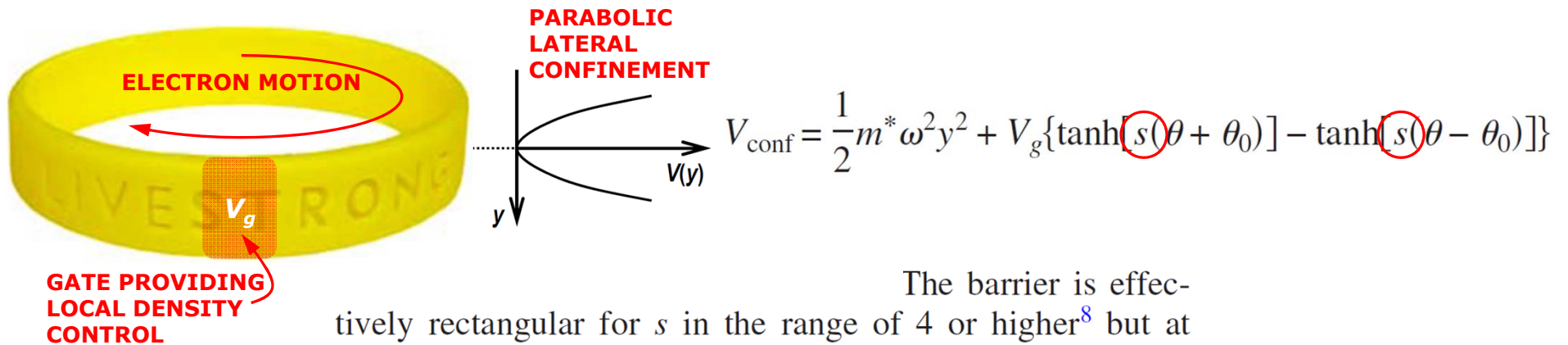
# Bound-State Formation in QPCs: A Possible Scenario

PHYSICAL REVIEW B **82**, 073307 (2010)

## Localization of electrons and formation of two-dimensional Wigner spin lattices in a special cylindrical semiconductor stripe

E. Welander,\* I. I. Yakimenko, and K.-F. Berggren

*Department of Physics, Chemistry and Biology, Linköping University, S-58183 Linköping, Sweden*

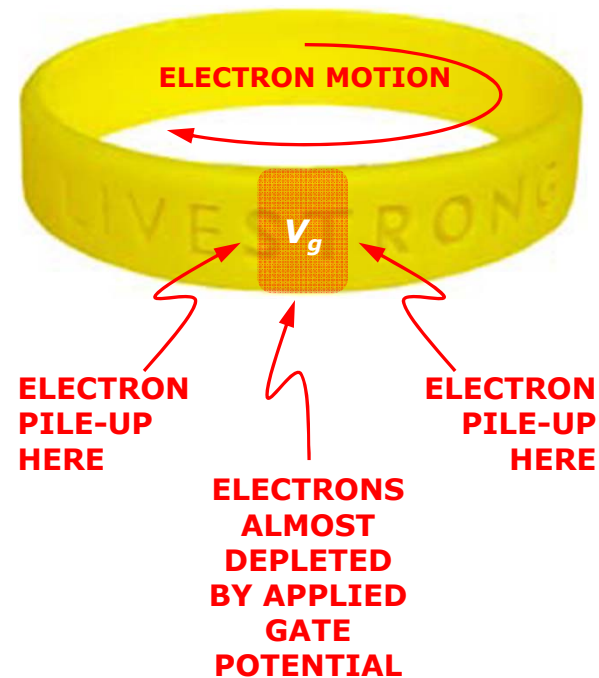
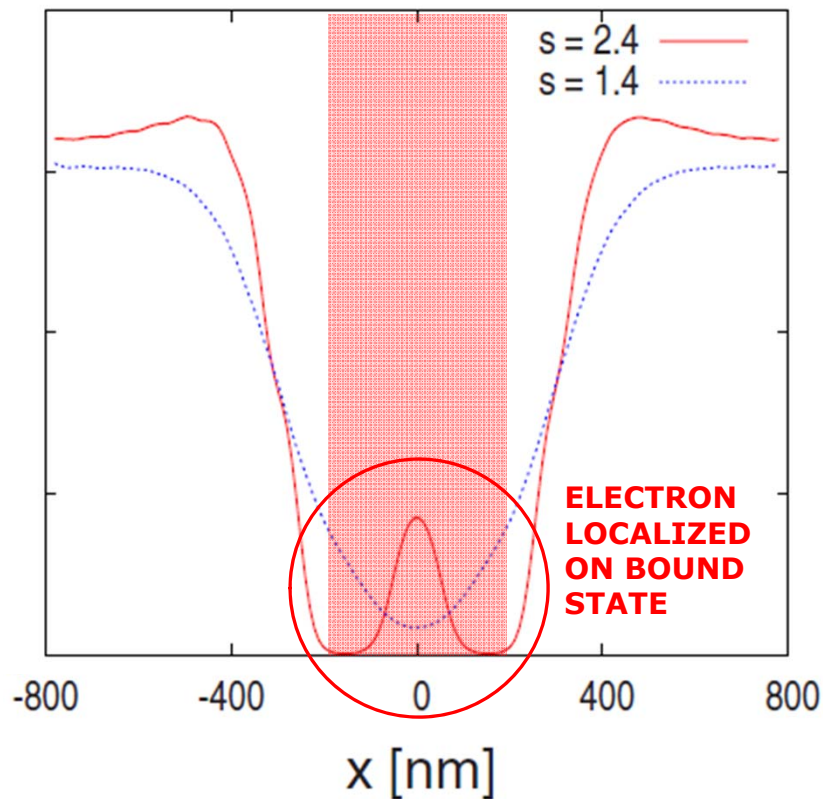


The barrier is effectively rectangular for  $s$  in the range of 4 or higher<sup>8</sup> but at lower values of  $s$  the edges become smoothed and eventually the barrier becomes more like a conventional QPC saddle

In the present case spatial and spin distributions are obtained from the self-consistent solution of the Kohn-Sham (LSDA) equations for the occupied electron orbitals  $\Psi_k^\sigma (\sigma = \pm \frac{1}{2})$ ,

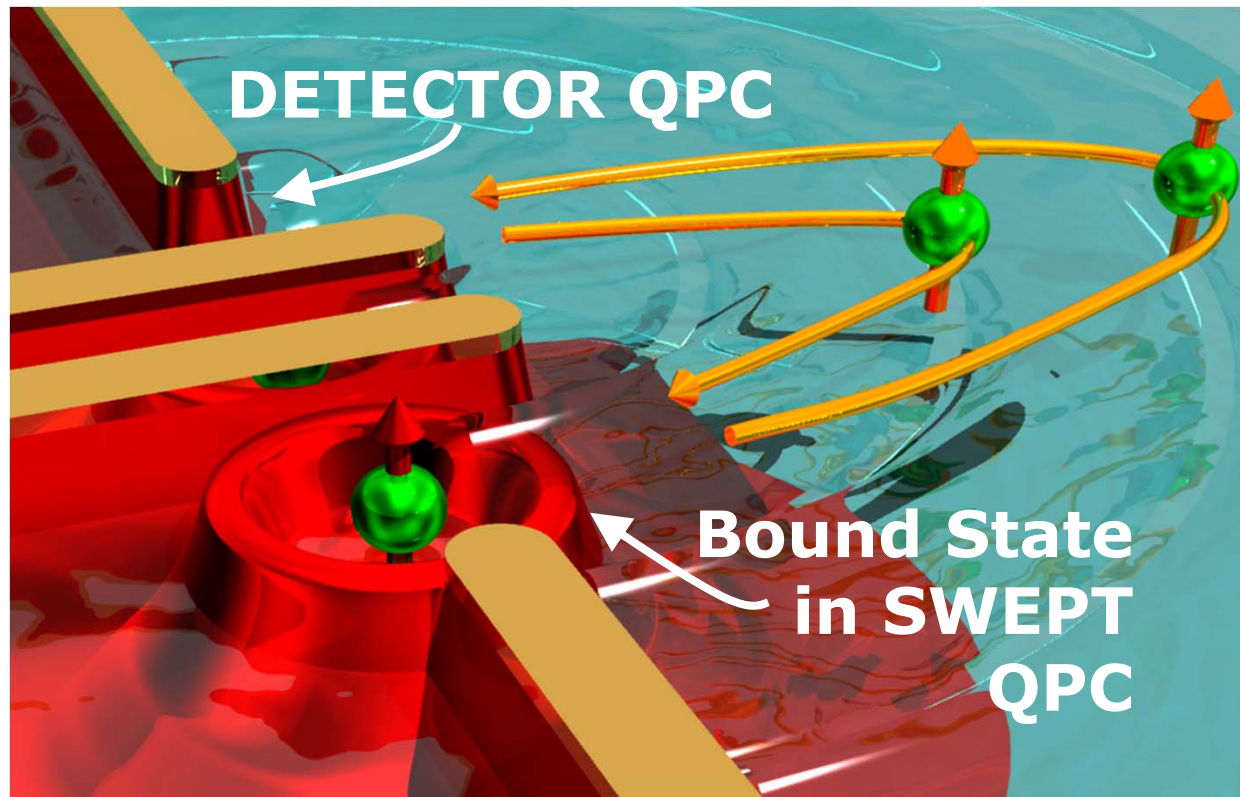
$$H^\sigma : V_{\text{conf}} + \text{KINETIC ENERGY OPERATOR} + \text{COULOMB, EXCHANGE \& CORRELATION TERMS}$$

**SINGLE Electron LOCALIZED on the QPC Near Pinch-Off Due to Charge PILEUP at its ENDS**



**Localization ELECTROSTATIC in Origin: COULOMB BLOCKADE Keeps Other Electrons OFF QPC**

We Use Fano Resonances in **COUPLED** QPCs to **DETECT** the Presence of **BOUND STATES**



**DISCRETE LEVEL:** Bound State in **SWEPT** QPC  
**CONTINUUM:** **DETECTOR** QPC & Intervening **2DEG**

# Fano Resonance Due to Bound-State Formation in Coupled QPCs

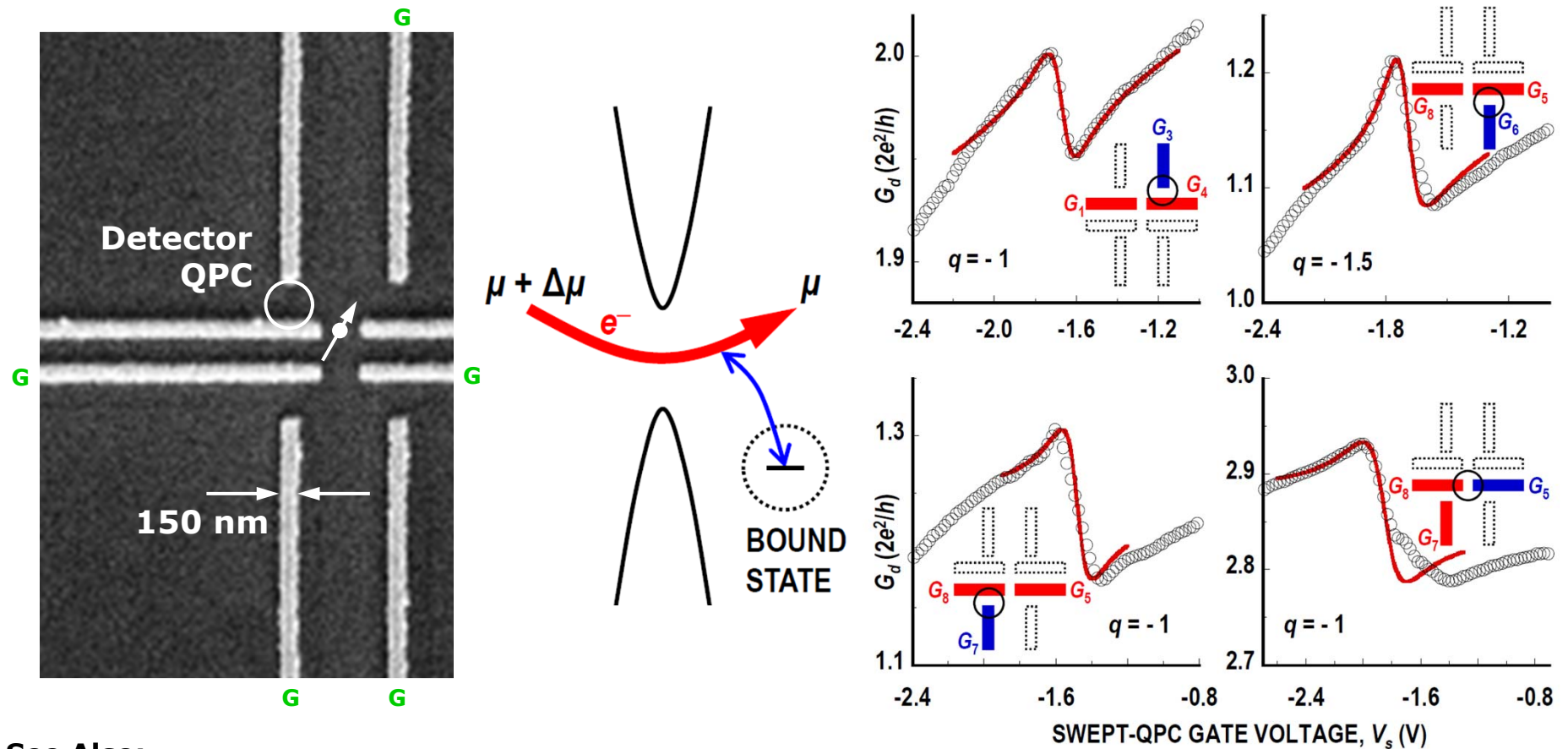
PRL **99**, 136805 (2007)

PHYSICAL REVIEW LETTERS

week ending  
28 SEPTEMBER 2007

## Probing the Microscopic Structure of Bound States in Quantum Point Contacts

Y. Yoon,<sup>1</sup> L. Mourokh,<sup>2,3</sup> T. Morimoto,<sup>4</sup> N. Aoki,<sup>5</sup> Y. Ochiai,<sup>4,5</sup> J.L. Reno,<sup>6</sup> and J.P. Bird<sup>1</sup>



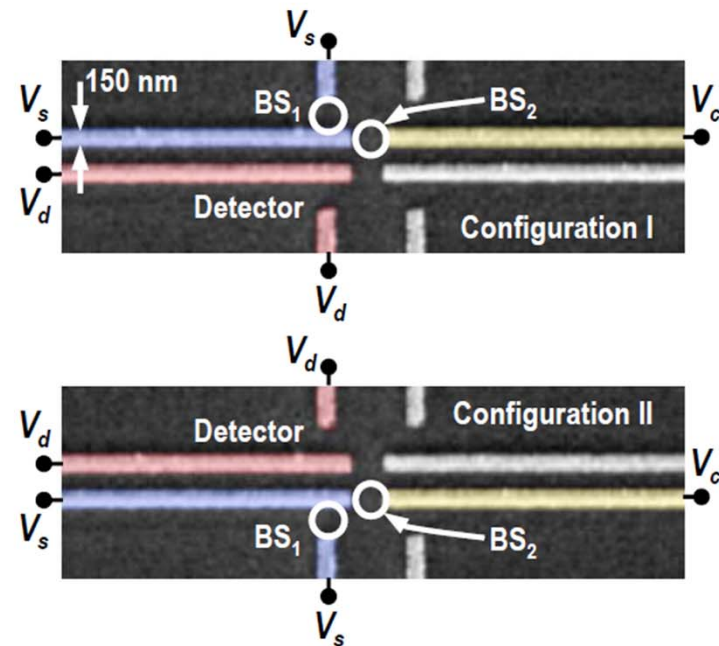
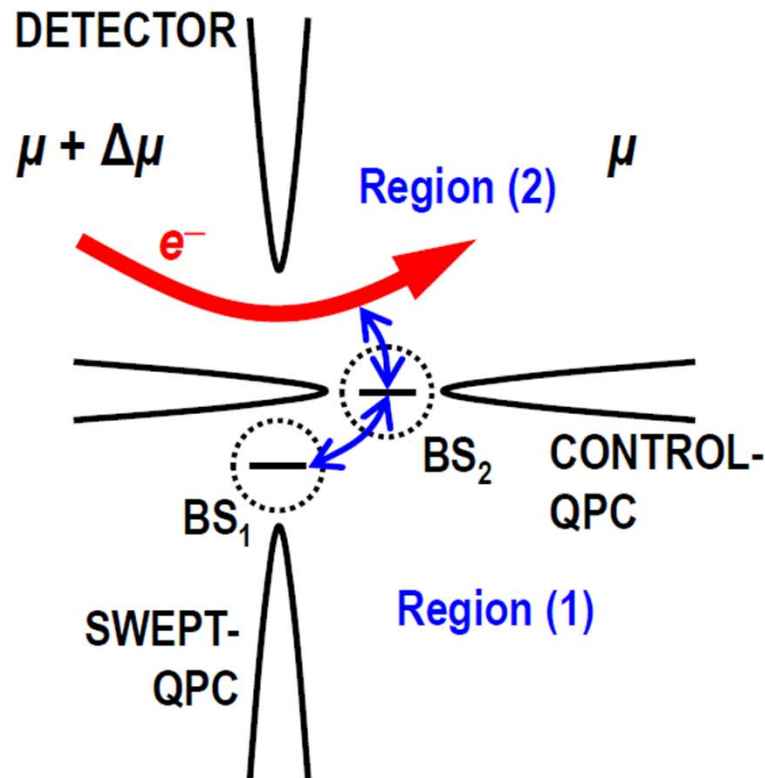
See Also:

V. Puller et al., PRL **92**, 096802 (2004)

Y. Yoon et al., PRB **79**, 121304(R) (2009)

Y. Yoon et al., APL **94**, 213103 (2009)

**Prior Work Showed Multi-State Fano Resonances for Interacting Levels of the **SAME** Atom or Dot**

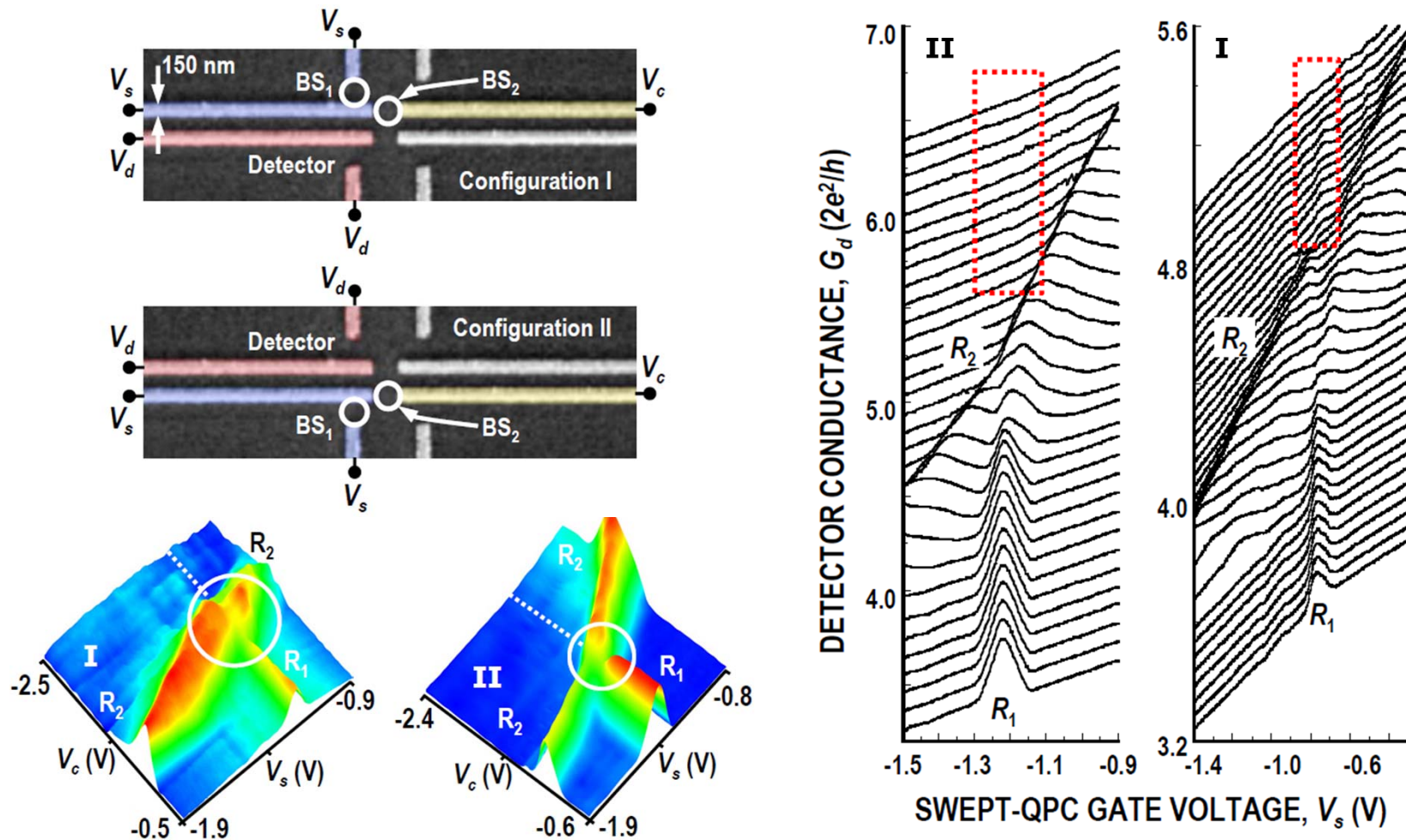


**We Form **REMOTE** States on **SEPARATE** QPCs & Allow Interaction via a **COMMON CONTINUUM****



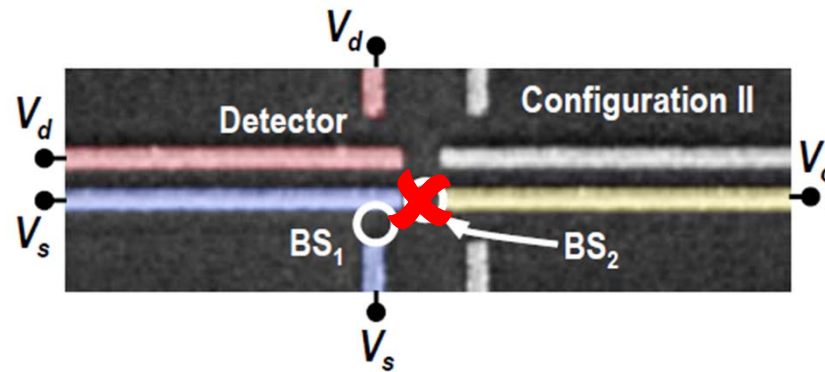
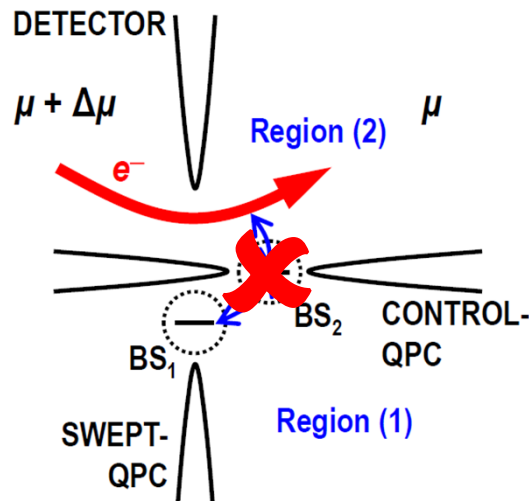


# Simultaneous **TUNING** of $V_s$ & $V_c$ Yields an **AVOIDED CROSSING** of the Resonances

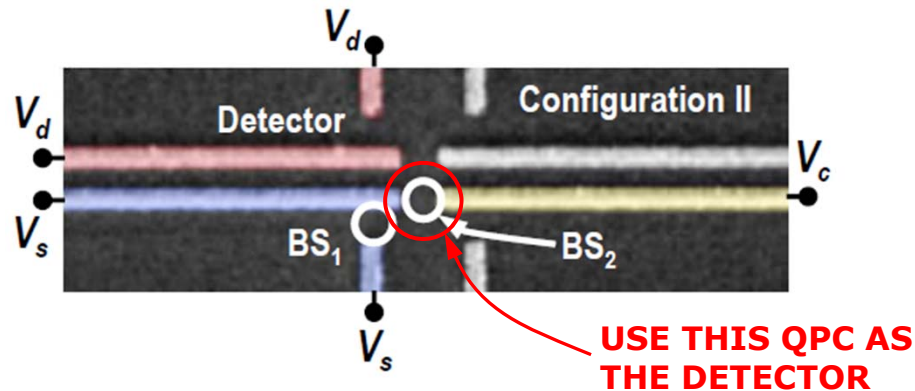


Anti-Crossing is **UNUSUAL** – **MISSING** Branch!

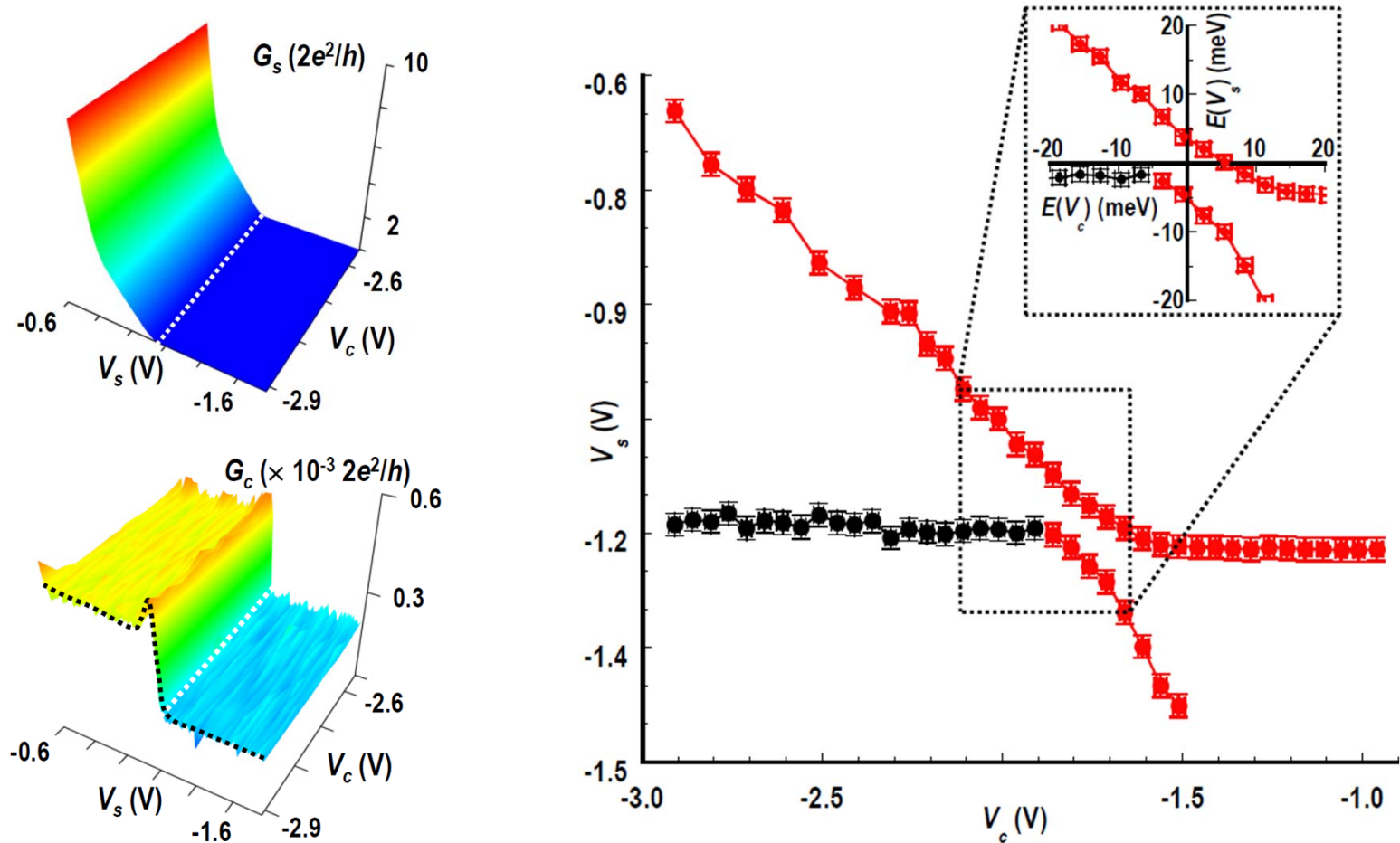
# Missing Branch – Detector Resonance With **FAR** QPC When Near One is **PINCHED-OFF**



# Missing Branch Can be **RECOVERED** Using Pinched-Off QPC as the **DETECTOR**

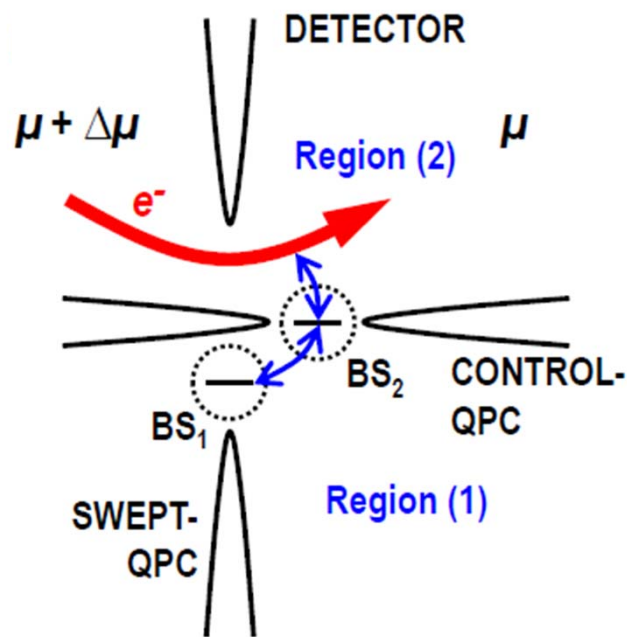


Missing Branch of the Spectrum is **“RECOVERED”**  
by Using the **PINCHED-OFF** QPC as **DETECTOR**



Reveals a **PRONOUNCED** (meV) Avoided Crossing!

## To Describe this Problem **THEORETICALLY** we Start From the Following **HAMILTONIAN**



$$\begin{aligned}
 \mathcal{H} = & \sum_{p\sigma} \varepsilon_{p\sigma} n_{p\sigma} + \sum_{q\sigma} \varepsilon_{q\sigma} n_{q\sigma} + \sum_{k\sigma} E_k n_{k\sigma} \\
 & + \sum_{i\sigma} (\varepsilon_{i\sigma} + U_i n_{i\bar{\sigma}}/2) n_{i\sigma} + \left( \sum_{k \in (2)\sigma} v_{k\sigma 2} c_{k\sigma}^\dagger d_{2\sigma} \right. \\
 & + \sum_{k \in (1)\sigma} v_{k\sigma 1} c_{k\sigma}^\dagger d_{1\sigma} + \sum_{k \in (2)p\sigma} t_{kp\sigma} c_{k\sigma}^\dagger a_{p\sigma} \\
 & \left. + \sum_{kq\sigma} t_{kq\sigma} c_{k\sigma}^\dagger a_{q\sigma} + H.c. \right),
 \end{aligned}$$

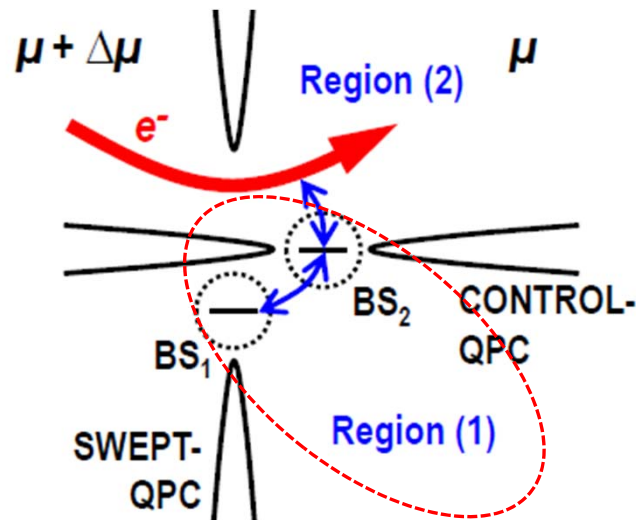
**DETECTOR 1D MODES**      **CONTROL-QPC 1D MODES**      **2D-CONTINUUM STATES**  
**OCCUPATION OF BOUND STATES 1 & 2**  
**COUPLING OF TWO BOUND STATES AND DETECTOR TO INTERVENING REGIONS OF 2DEG**

EXTENSION OF: V. Puller et al., PRL 92, 096802 (2004). DESCRIBING SINGLE BOUND-STATE/ DETECTOR INTERACTION

For the **SUBSYSTEM** Formed by the Two Bound States & Their Intervening Continuum ...

$$\mathcal{H}_{BS} = \sum_{\mathbf{k} \in (1)\sigma} E_{\mathbf{k}} n_{\mathbf{k}\sigma} + \sum_{i\sigma} (\varepsilon_{i\sigma} + U_i n_{i\bar{\sigma}}/2) n_{i\sigma} + \sum_{\mathbf{k}\sigma i} (v_{\mathbf{k}\sigma i} c_{\mathbf{k}\sigma}^\dagger d_{i\sigma} + H.c.).$$

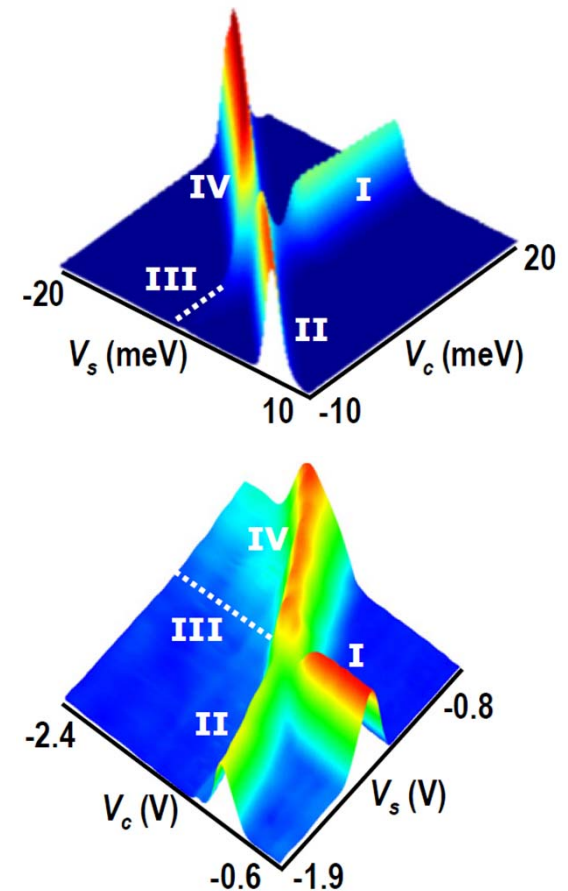
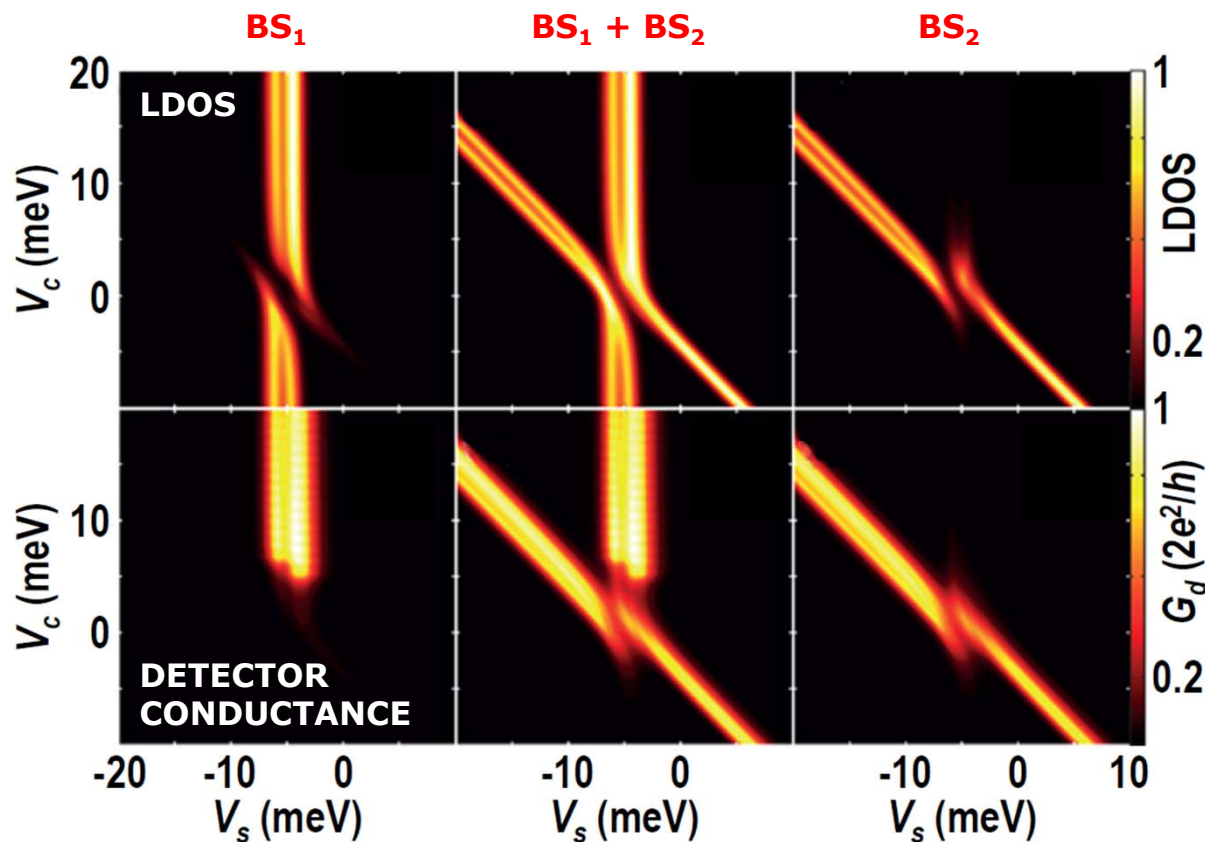
We Obtain the Effective **INTERACTION POTENTIAL** Between the Two Bound States



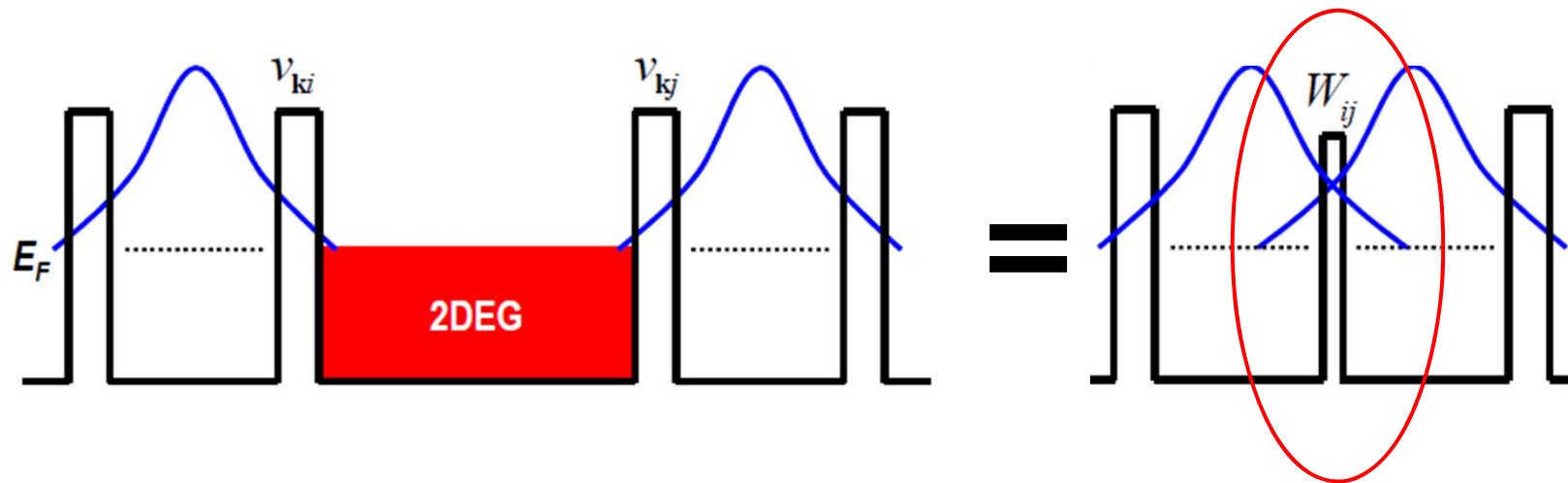
$$W_{ij} = \sum_{\mathbf{k}} v_{\mathbf{k}\sigma i}^* v_{\mathbf{k}\sigma j} \left( \frac{1}{E_{\mathbf{k}} - \varepsilon_{i\sigma}} - \frac{1}{E_{\mathbf{k}} - \varepsilon_{j\sigma}} \right),$$

**SUMMATION RUNS OVER ALL STATES OF THE INTERVENING 2D CONTINUUM!**

Calculations Based on This Model **REPRODUCE**  
the Unusual Avoided-Crossing of Experiment



## A Mesoscopic Multi-State Fano Resonance



pled to. The implication of our analysis is that we can essentially replace the set-up consisting of the two BSs and their intervening 2DEG (Fig. 10(a)) with an effective model that more closely resembles the double-well potential characteristic of quantum-dot molecules (Fig. 10(b)). In this representation, the two BSs can be considered to effectively be directly coupled to each other, by a potential barrier that is actually lower than the barriers that couple the BSs to the 2DEG. With this coupling

- ① **We Have Demonstrated a Multi-State Fano Resonance in Which Two Spatially-Remote Discrete States are Each Coupled to a Common Continuum**
- ② **This Continuum Supports a Highly-Robust Effective Interaction Between the Two States Due to the Fact That it is Mediated by a Large Number of Degenerate Continuum States**
- ③ **While the Continuum is Often Viewed as a Source of Decoherence Our Work Suggests its Use to Engineer the Interactions of Mesoscopic Structures**

