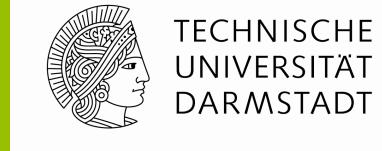


EPs in Microwave Billiards: Eigenvectors and the Full Hamiltonian for T -invariant and T -noninvariant Systems



Dresden 2011

- Precision experiment with microwave billiard
→ extraction of full EP Hamiltonian from scattering matrix
- Properties of eigenvalues and eigenvectors at and close to an EP
- EPs in systems with violated T invariance
- Encircling the EP: geometric phases and amplitudes
- PT symmetry of the EP Hamiltonian

Supported by DFG within SFB 634

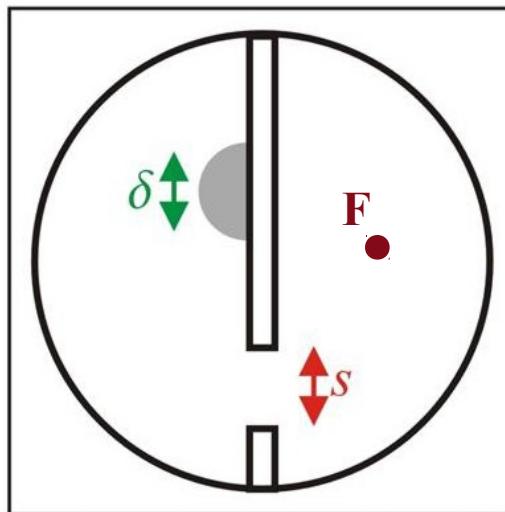
S. Bittner, B. D., M. Miski-Oglu, A. Richter, F. Schäfer
H. L. Harney, O. N. Kirillov, U. Günther

Microwave Resonator for the Observation of Exceptional Points



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- Divide a circular microwave billiard into two approximately equal parts
- The coalescence at the EP is accomplished by the variation of two parameters



- The opening **s** controls the coupling of the eigenmodes of the two billiard parts
- The position **δ** of the Teflon disk mainly effects the resonance frequencies of the left part
- Insert a ferrite **F** and magnetize it with an exterior magnetic field B to induce *T* violation

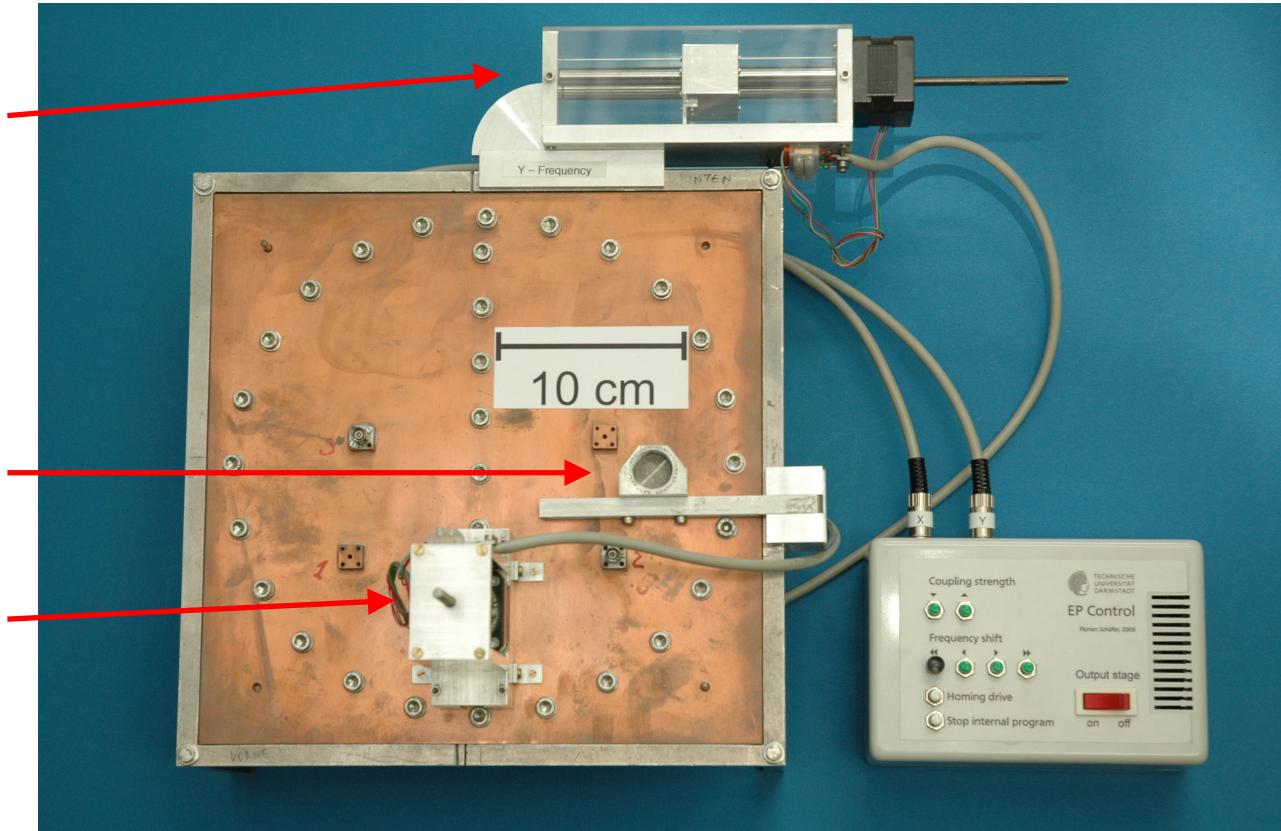
Experimental setup

(B. Dietz et al., Phys. Rev. Lett. **106**, 150403 (2011))



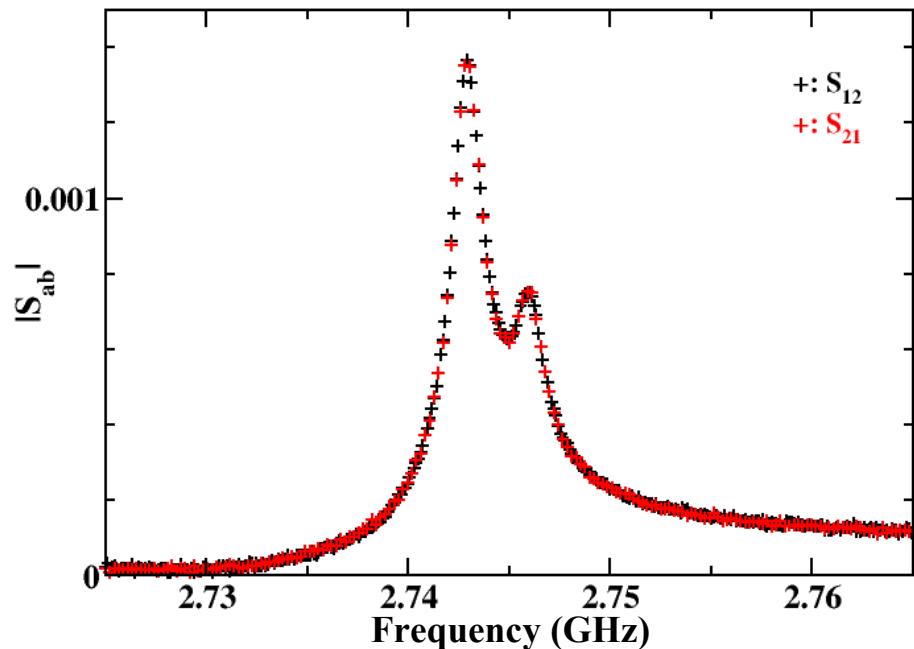
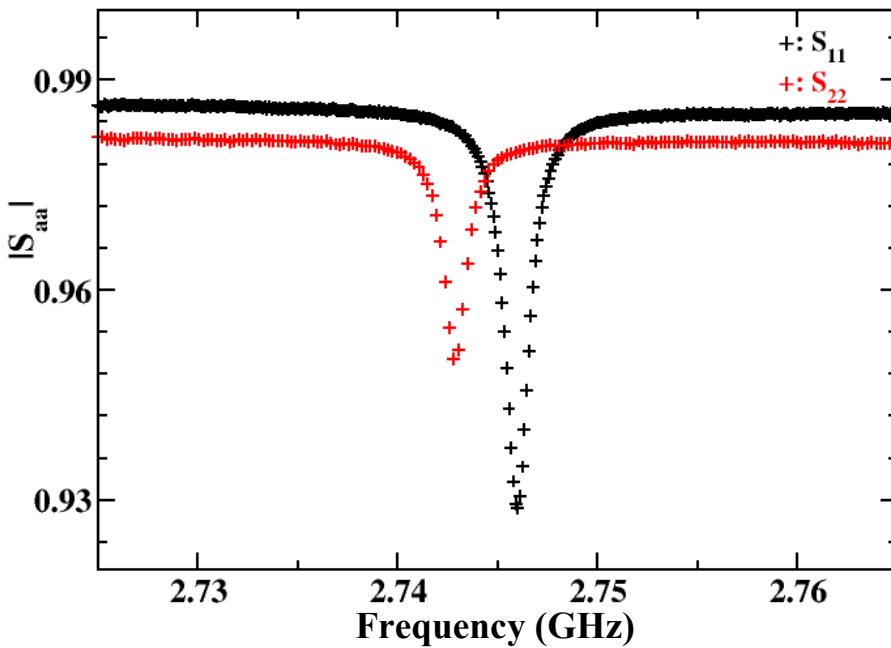
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variation of δ



- Parameter plane (s, δ) is scanned on a very fine grid

Resonance Spectra Close to an EP (B=0)



- Scattering matrix: $\hat{S} = \hat{I} - 2\pi i \hat{W}^T (\mathbf{E} - \hat{H}_{\text{eff}})^{-1} \hat{W}$
- \hat{H}_{eff} : two-state Hamiltonian including dissipation and coupling to the exterior
- \hat{W} : coupling of the resonator modes to the antenna states

Two-State Matrix Model for the T -invariant Case



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- Determine the non-Hermitian complex symmetric 2×2 matrix \hat{H}_{eff} and its eigenvalues and eigenvectors for each (s, δ) from the measured \hat{S} matrix

$$\hat{H}_{\text{eff}}(s, \delta) = \begin{pmatrix} E_1 & H_{12}^S \\ H_{12}^S & E_2 \end{pmatrix}$$

series are functions of δ and s

- Eigenvalues:

$$e_{1,2} = \left(\frac{E_1 + E_2}{2} \right) \pm \Re$$

$$\Re = H_{12}^S \sqrt{Z^2 + 1}; \quad Z = \frac{E_1 - E_2}{2H_{12}^S}$$

- EPs:

$$\Re = 0 : Z = \pm i \leftrightarrow \delta = \delta_{\text{EP}}, \quad s = s_{\text{EP}}$$

Resonance Shape at the EP



- At the EP the \hat{H}_{eff} is given in terms of a Jordan normal form

$$\hat{H}_{\text{eff}}(s_{\text{EP}}, \delta_{\text{EP}}) = \begin{pmatrix} \lambda_0 & 1 \\ 0 & \lambda_0 \end{pmatrix} \quad \text{with} \quad \lambda_0 = \frac{E_1 + E_2}{2}$$

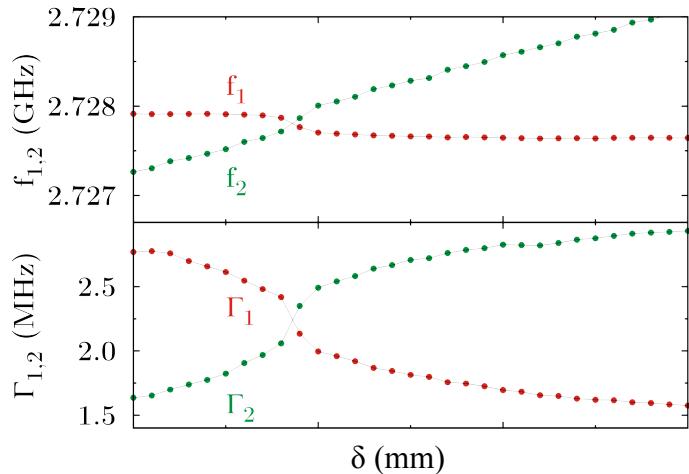
- S_{ab} has two poles of 1st order and one pole of 2nd order

$$S_{ab} = \delta_{ab} - V_{1a} \frac{1}{(f - \lambda_0)} V_{1b} - V_{2a} \frac{1}{(f - \lambda_0)} V_{2b} - \frac{H_{12}^S}{(f - \lambda_0)^2} \left\{ i(V_{1a}V_{1b} - V_{2a}V_{2b}) + V_{1a}V_{2b} + V_{2a}V_{1b} \right\}$$

→ at the EP the resonance shape is **not** described by a Breit-Wigner form

- Note: this lineshape leads to t^2 -behavior (→ first talk)

Localization of an EP ($B=0$)



- Change of the real and the imaginary part of the eigenvalues $e_{1,2} = f_{1,2} + i \Gamma_{1,2}$. They cross at $s=s_{EP}=1.68$ mm and $\delta=\delta_{EP}=41.19$ mm.
- Change of modulus and phase of the ratio of the components $r_{j,1}, r_{j,2}$ of the eigenvector $|r_j\rangle$

$$\nu_j = \frac{r_{j,1}}{r_{j,2}} = |\nu_j| e^{i\Phi_j}$$

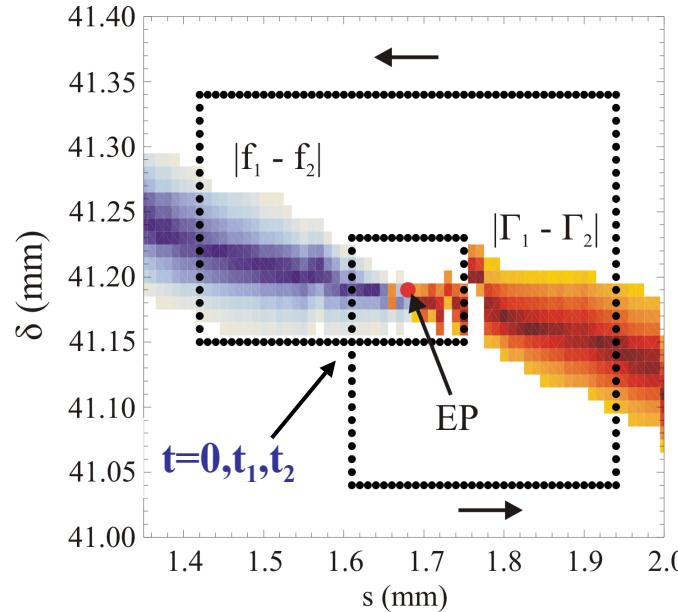
$$\bullet \text{ At } (s_{EP}, \delta_{EP}) \quad |r_j\rangle = \begin{pmatrix} r_{j,1} \\ r_{j,2} \end{pmatrix} \rightarrow \begin{pmatrix} i \\ 1 \end{pmatrix}$$

s=1.68 mm

Eigenvalues and Ratios of Eigenvector Components in the Parameter Plane ($B=0$)



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- S matrix is measured for each point of a grid with $\Delta s = \Delta \delta = 0.01$ mm
- Note the dark line, where $e_1 - e_2$ is either real or purely imaginary.
There, $\hat{H}_{\text{eff}} \rightarrow PT$ -symmetric \hat{H}
- Parameterize the contour by the variable t with $t=0$ at start point, $t=t_1$ after one loop, $t=t_2$ after second one

Encircling the EP in the Parameter Plane (T -invariant Case)



- The biorthonormalized eigenvectors $\langle l_j(t) |$ and $| r_j(t) \rangle$ with $\langle l_j(t) | r_j(t) \rangle = 1$ are defined up to a geometric factor $e^{\pm i\gamma_j(t)}$
- The geometric phases $\gamma_j(t)$ are fixed by the condition of parallel transport

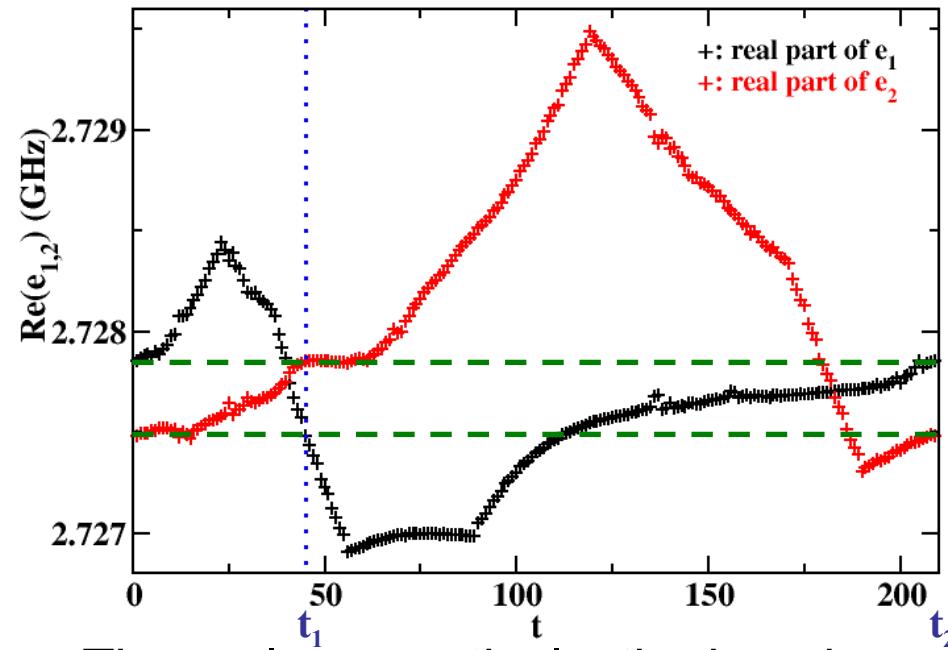
$$\left\langle l_j(t) e^{-i\gamma_j(t)} \left| \frac{d}{dt} r_j(t) e^{i\gamma_j(t)} \right. \right\rangle = 0 \quad \Rightarrow \gamma_1(t) = \gamma_2(t) \equiv 0$$

- With $\tan \theta(t) = \sqrt{1+Z^2} - Z$ the eigenvectors are

$$| r_1(t) \rangle = \begin{pmatrix} \cos \theta(t) \\ \sin \theta(t) \end{pmatrix}, | r_2(t) \rangle = \begin{pmatrix} -\sin \theta(t) \\ \cos \theta(t) \end{pmatrix}$$

- Encircling the EP once: $\theta \rightarrow \theta + \frac{\pi}{2} \Rightarrow e_1 \leftrightarrow e_2, \begin{pmatrix} | r_1 \rangle \\ | r_2 \rangle \end{pmatrix} \rightarrow \begin{pmatrix} -| r_2 \rangle \\ | r_1 \rangle \end{pmatrix}$

Change of the Eigenvalues along the Contour ($B=0$)

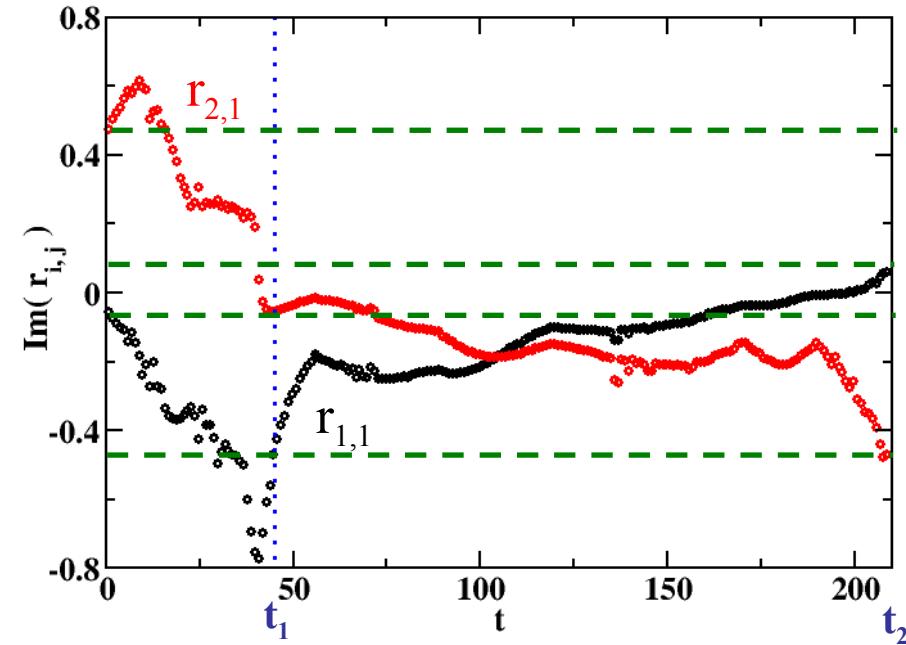
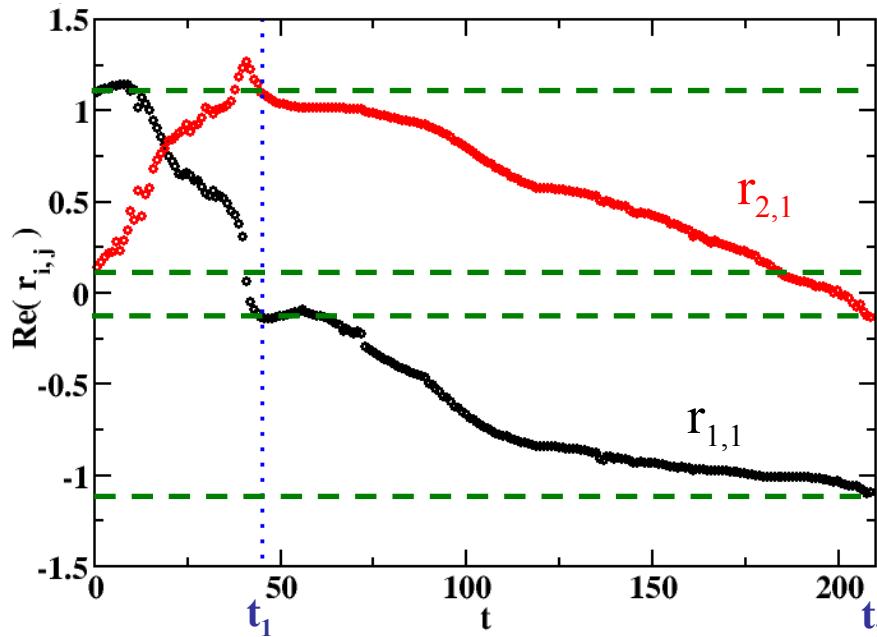


- The real, respectively, the imaginary parts of the eigenvalues cross once during each encircling at different t
- The eigenvalues are interchanged $\begin{pmatrix} e_1 \\ e_2 \end{pmatrix} \rightarrow \begin{pmatrix} e_2 \\ e_1 \end{pmatrix}$
- Note: $\text{Im}(e_1) + \text{Im}(e_2) \approx \text{const.} \rightarrow \text{dissipation depends weakly on } (s, \delta)$

Evolution of the Eigenvector Components along the Contour ($B=0$)



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- Evolution of the first component $r_{j,1}$ of the eigenvector $|r_j\rangle$ as function of t
- After each loop the eigenvectors are interchanged and the first one picks up a geometric phase π

$$\begin{pmatrix} |r_1\rangle \\ |r_2\rangle \end{pmatrix} \xrightarrow{\quad} \begin{pmatrix} |r_2\rangle \\ |r_1\rangle \end{pmatrix}$$
- Phase does not depend on choice of circuit → topological phase

Summary for T -invariant case



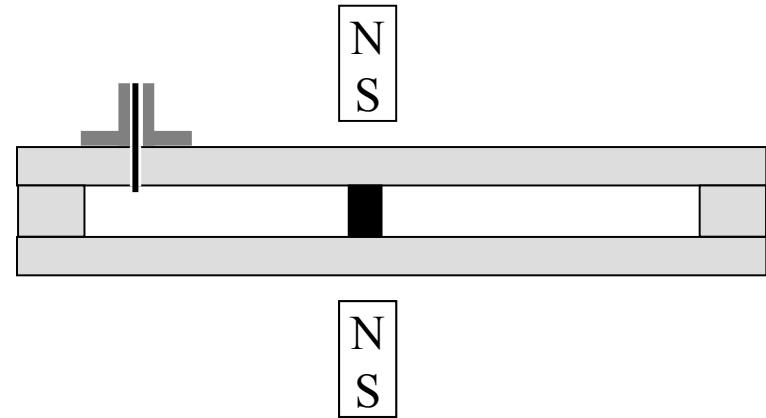
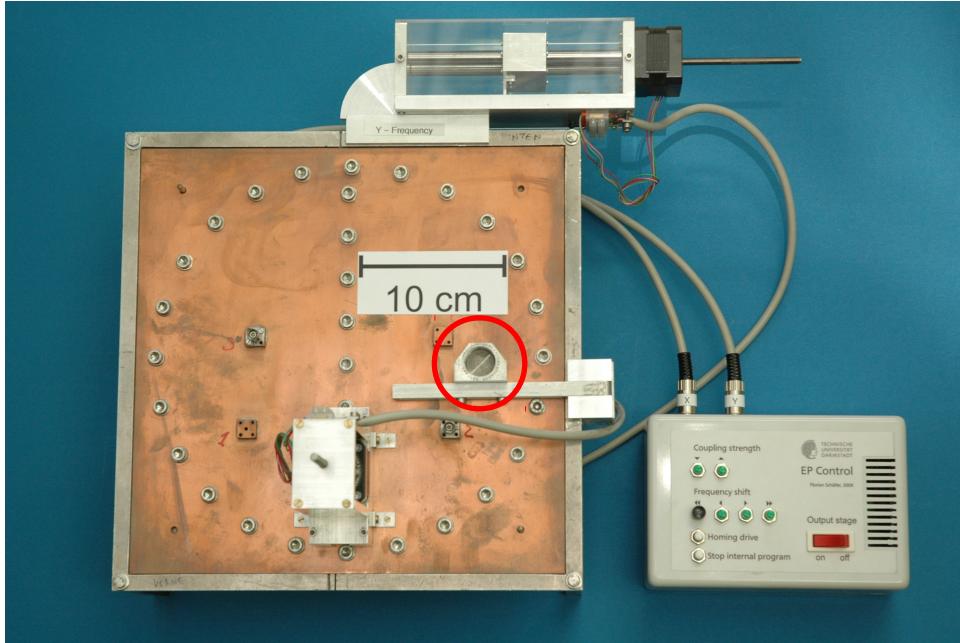
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- Full EP Hamiltonian extracted from measured scattering matrix
→ direct determination of its eigenvalues and eigenvectors possible
- Behavior of eigenvalues and eigenvectors for T -invariant case as expected
→ confirms validity of the procedure used for data analysis
- Next step: Investigation of the T -noninvariant case following the same procedure

Microwave Billiard for the Study of Induced T Violation



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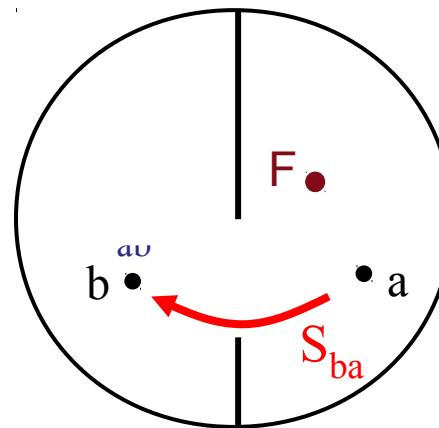


- A **cylindrical ferrite** is placed in the resonator
- An **external magnetic field** is applied perpendicular to the billiard plane
- The **strength of the magnetic field is varied** by changing the distance between the magnets

Induced Violation of T Invariance with a Ferrite

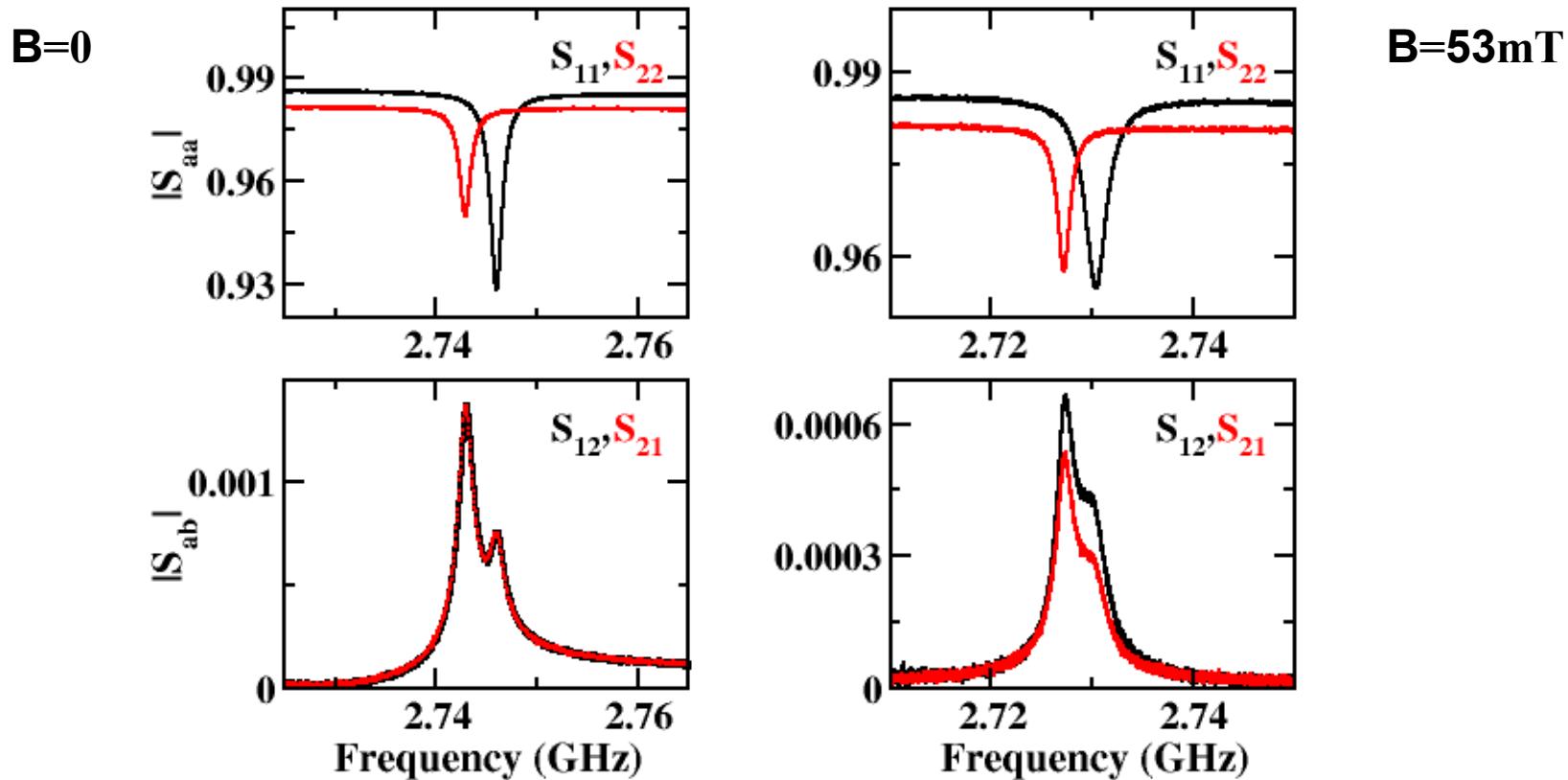


- Spins of magnetized ferrite precess collectively with their Larmor frequency about the external magnetic field (\rightarrow first talk)
- Coupling of rf magnetic field to the ferromagnetic resonance depends on the direction $a \leftrightarrow b$



- T -invariant system
 - principle of reciprocity $S_{ab} = S_{ba}$
 - detailed balance $|S_{ab}|^2 = |S_{ba}|^2$

Test of Reciprocity



- Clear violation of the principle of reciprocity for nonzero magnetic field

Two-State Matrix Model for Broken T invariance



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- \hat{H}_{eff} : non-Hermitian and non-symmetric complex 2×2 matrix (\rightarrow first talk)

$$\hat{H}_{\text{eff}}(s, \delta) = \begin{pmatrix} E_1 & H_{12}^S \\ H_{12}^S & E_2 \end{pmatrix} + i \begin{pmatrix} 0 & -H_{12}^A \\ H_{12}^A & 0 \end{pmatrix}$$

- H_{12}^A : T -breaking matrix element

- Eigenvalues: $e_{1,2} = \left(\frac{E_1 + E_2}{2} \right) \pm \Re$
$$\Re = \sqrt{H_{12}^{S^2} + H_{12}^{A^2}} \sqrt{Z^2 + 1}; Z = \frac{E_1 - E_2}{2\sqrt{H_{12}^{S^2} + H_{12}^{A^2}}}$$

- EPs: $\Re = 0: Z = \pm i \leftrightarrow \delta = \delta_{\text{EP}}, s = s_{\text{EP}}$

T-Violation Parameter τ



- For each set of parameters (s, δ) \hat{H}_{eff} is obtained from the measured \hat{S} matrix

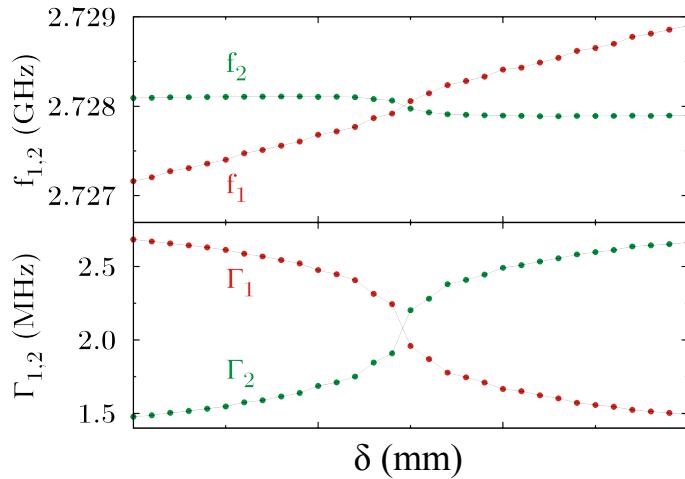
$$\hat{S} = \hat{I} - 2\pi i \hat{W}^T (\hat{E} - \hat{H}_{\text{eff}})^{-1} \hat{W}$$

- \hat{H}_{eff} and \hat{W} are determined up to common real orthogonal transformations
- Choose real orthogonal transformation such that

$$\frac{(H_{12}^S + i H_{12}^A)}{(H_{12}^S - i H_{12}^A)} = e^{2i\tau} \quad \text{with } \tau \in [0, \pi[\text{ real}$$

- T violation is expressed by a real phase \rightarrow usual practice in nuclear physics

Localization of an EP ($B=53\text{mT}$)



- Change of the real and the imaginary part of the eigenvalues $e_{1,2}=f_{1,2}+i\Gamma_{1,2}$. They cross at $s=s_{EP}=1.66 \text{ mm}$ and $\delta=\delta_{EP}=41.25 \text{ mm}$.
- Change of modulus and phase of the ratio of the components $r_{j,1}, r_{j,2}$ of the eigenvector $|r_j\rangle$

$$v_j = \frac{r_{j,1}}{r_{j,2}} = |v_j| e^{i\Phi_j}$$

• At (s_{EP}, δ_{EP})

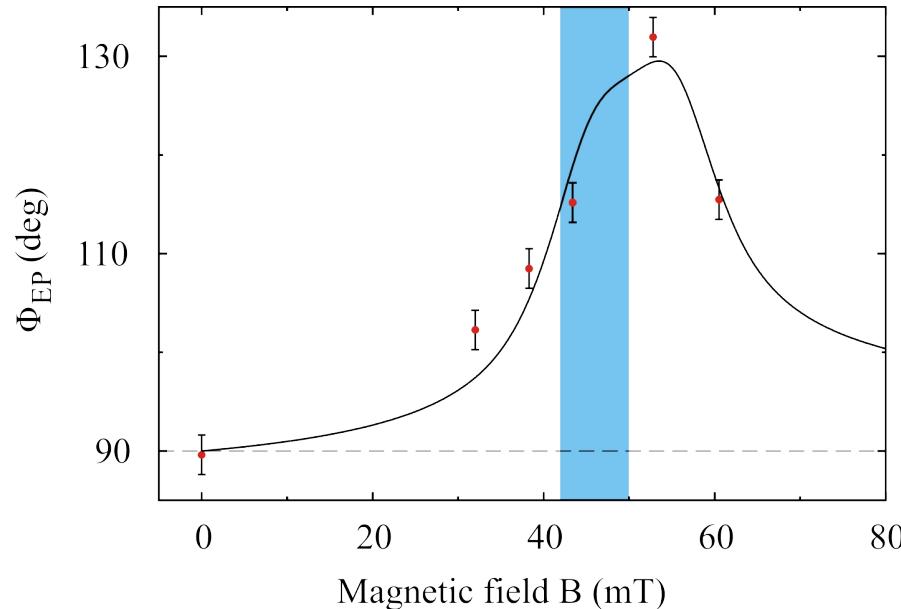
$$|r_j\rangle = \begin{pmatrix} r_{j,1} \\ r_{j,2} \end{pmatrix} \rightarrow \begin{pmatrix} ie^{i\pi} \\ 1 \end{pmatrix}$$

$s=1.66 \text{ mm}$

T-Violation Parameter τ at the EP



- $\Phi_{EP} = \pi/2 + \tau$



- Φ and also the T -violating matrix element shows resonance like structure

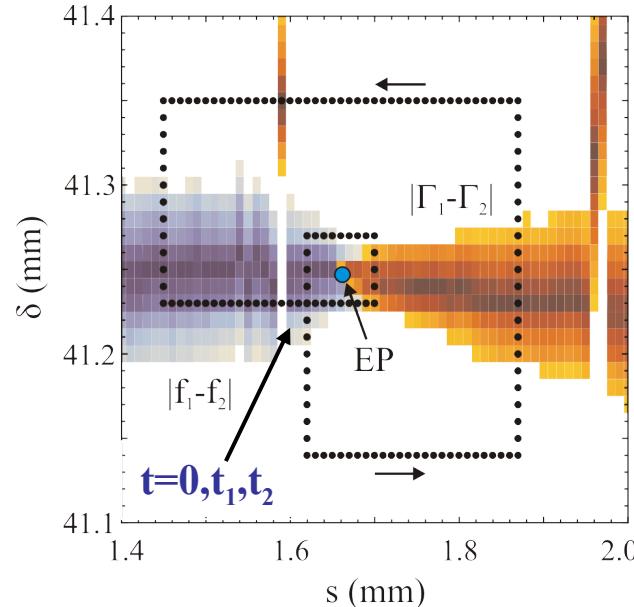
$$H_{12}^A(B) = \frac{\pi}{2} \cdot \lambda \cdot B \cdot T^r \cdot \frac{\omega_M^2}{\omega_0(B) - \bar{\omega} - i/T^r} \quad (\rightarrow \text{first talk})$$

↑
coupling strength ↑
spin relaxation time ↑
magnetic susceptibility

Eigenvalues and Ratios of Eigenvector Components in Parameter Plane ($B=53\text{mT}$)



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- S matrix is measured for each point of a grid with $\Delta s = \Delta \delta = 0.01 \text{ mm}$
- Note the dark line, where $e_1 - e_2$ is either real or purely imaginary.
There, $\hat{H}_{\text{eff}} \rightarrow PT$ -symmetric \hat{H}
- Parameterize the contour by the variable t with $t=0$ at starting point,
 $t=t_1$ after one loop, $t=t_2$ after second one

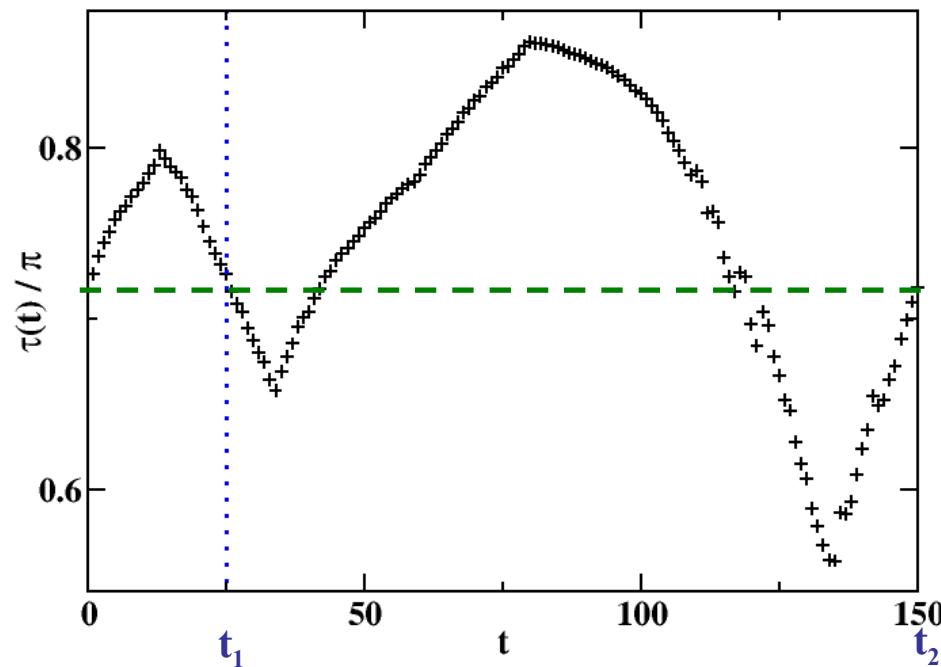
Encircling the EP in the Parameter Space (with T violation)



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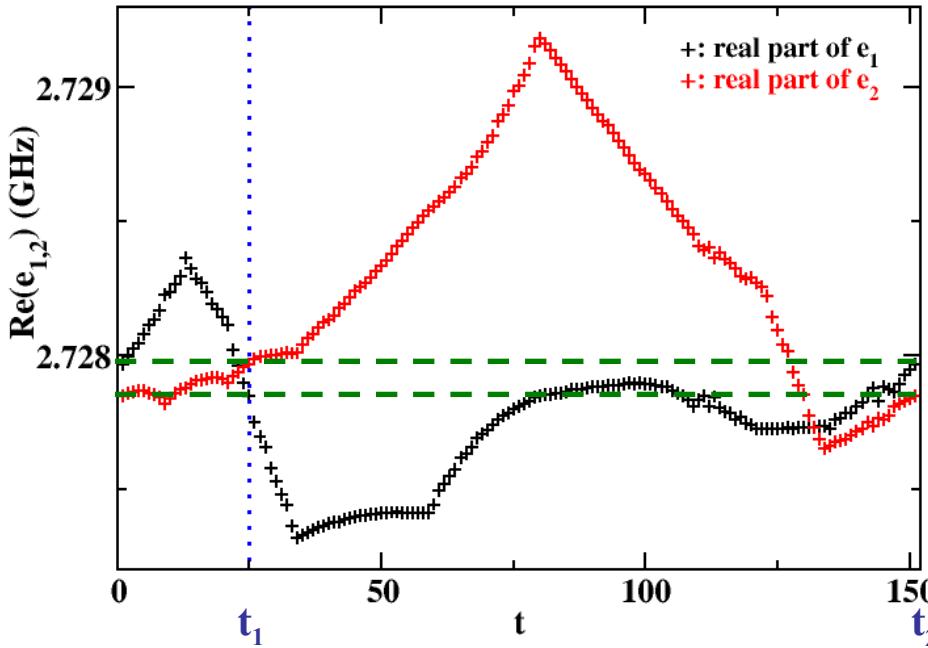
- Eigenvectors along contour: $\langle L_j(t) | = \langle l_j(t) | e^{-i\gamma_j(t)}, | R_j(t) \rangle = | r_j(t) \rangle e^{i\gamma_j(t)}$
- Biorthonormality is defined up to a geometric factor $e^{\pm i\gamma_j(t)}$
- Condition of parallel transport $\left\langle L_j(t) \left| \frac{d}{dt} R_j(t) \right. \right\rangle = 0$ yields
$$\frac{d\gamma_1}{dt} = -\frac{d\gamma_2}{dt} \text{ and } \gamma_{1,2}(t) \neq 0 \text{ for } \frac{d\tau}{dt} \neq 0$$
- Encircling EP once: $e_1 \leftrightarrow e_2, \begin{pmatrix} |r_1\rangle \\ |r_2\rangle \end{pmatrix} \rightarrow \begin{pmatrix} -|r_2\rangle \\ |r_1\rangle \end{pmatrix}$ → as in *T*-invariant case
- Additional geometric factor: $e^{i\gamma_j(t)} = e^{i\operatorname{Re}(\gamma_j(t))} e^{-i\operatorname{Im}(\gamma_j(t))}$

T -violation Parameter τ along Contour ($B=53\text{mT}$)



- T -violation parameter τ varies along the contour even though B is fixed because the electromagnetic field at the ferrite changes
- τ increases (decreases) with increasing (decreasing) parameters s and δ
- τ returns after each loop around the EP to its initial value

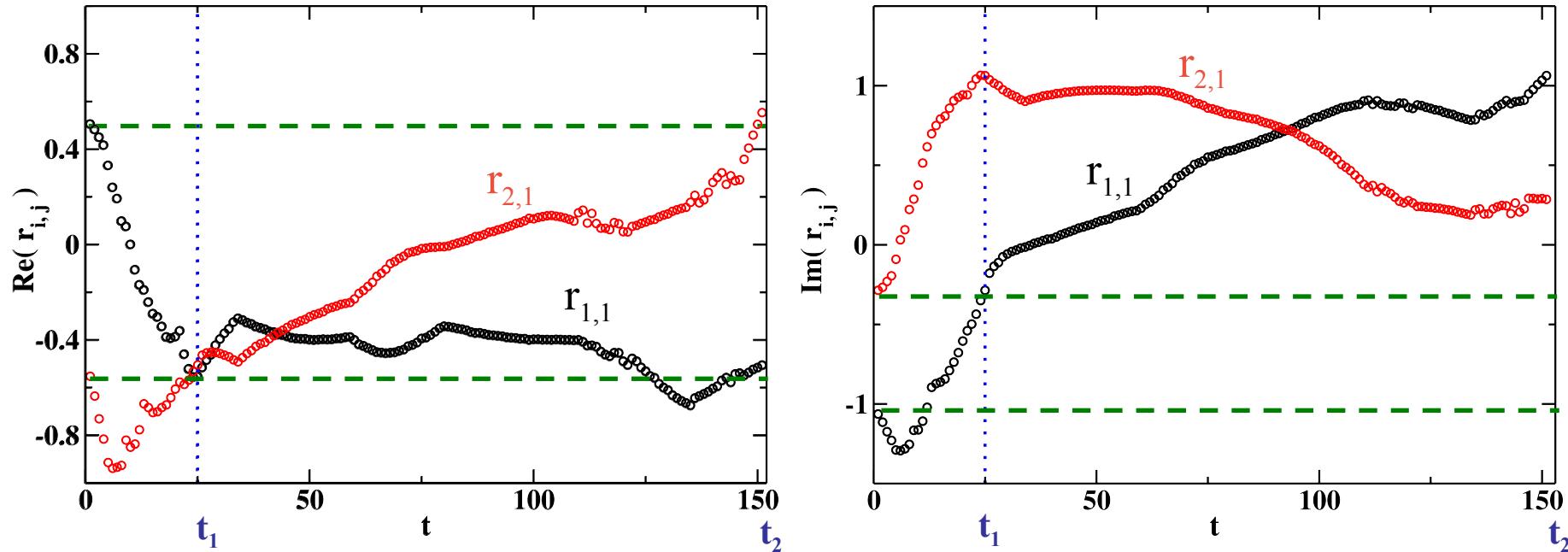
Change of the Eigenvalues along the Contour ($B=53\text{mT}$)



- The real and the imaginary parts of the eigenvalues cross once during each encircling at different values of t
→ the eigenvalues are interchanged

$$\begin{pmatrix} e_1 \\ e_2 \end{pmatrix} \rightarrow \begin{pmatrix} e_2 \\ e_1 \end{pmatrix}$$

Evolution of the Eigenvector Components along the Contour ($B=53\text{mT}$)



- Measured transformation scheme:

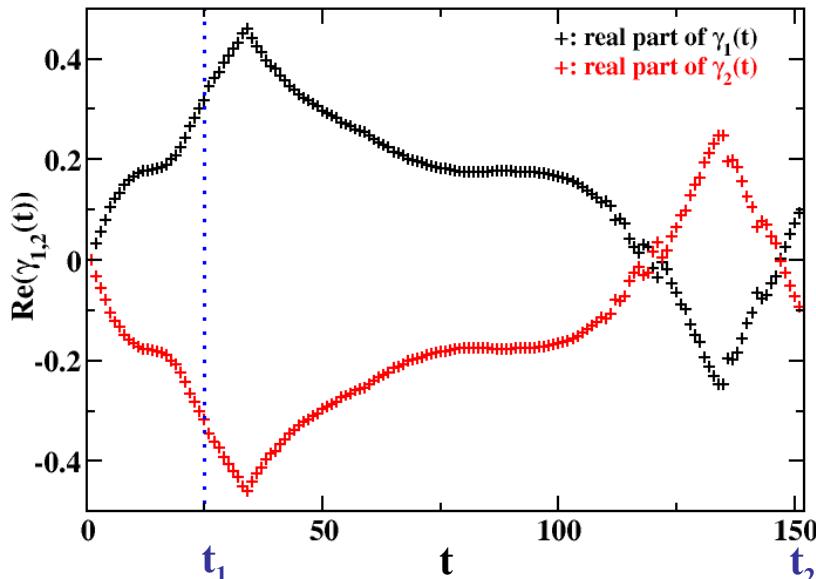
$$\begin{pmatrix} |r_1\rangle \\ |r_2\rangle \end{pmatrix} \rightarrow \begin{pmatrix} -|r_2\rangle e^{i\gamma_1(t_1)} \\ |r_1\rangle e^{-i\gamma_1(t_1)} \end{pmatrix} \rightarrow \begin{pmatrix} -|r_1\rangle e^{i\gamma_1(t_2)} \\ -|r_2\rangle e^{-i\gamma_1(t_2)} \end{pmatrix}$$

- No general rule exists for the transformation scheme of the γ_j

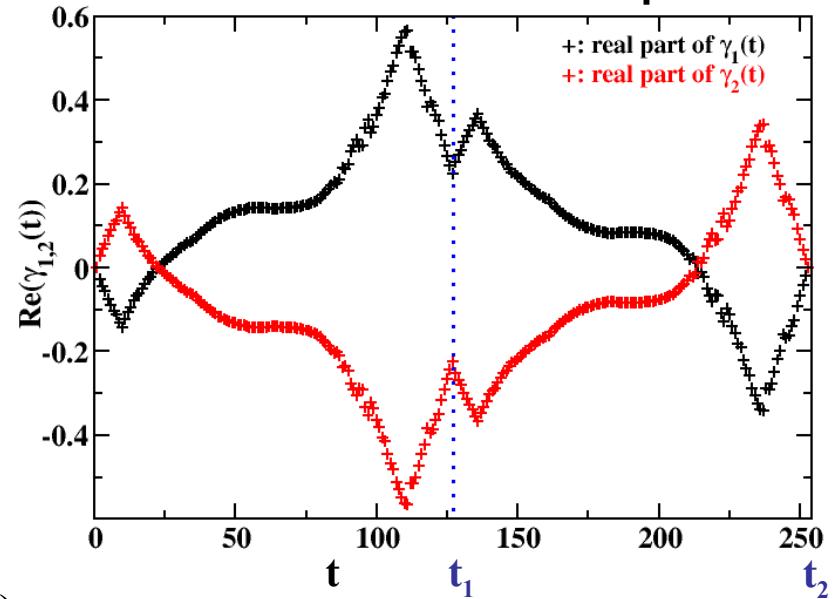
Geometric Phase $\text{Re}(\gamma_j(t))$ Gathered along Two Loops



Two different loops



Twice the same loop

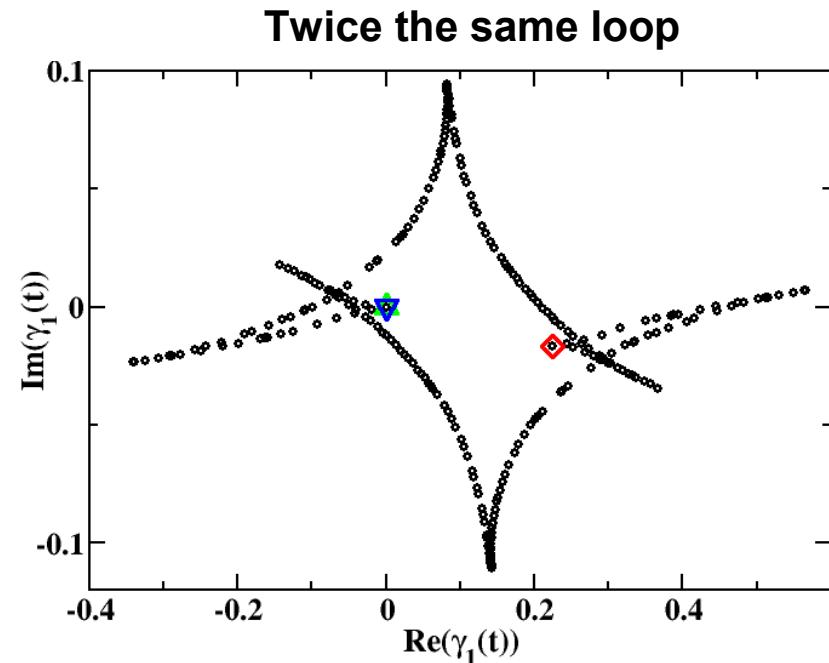
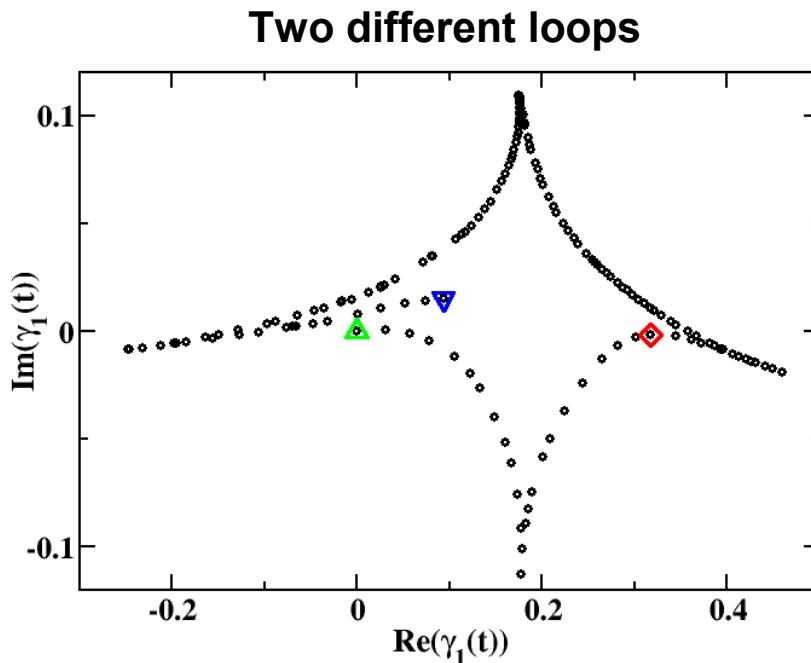


- Clearly visible that $\text{Re}(\gamma_2(t)) = -\text{Re}(\gamma_1(t))$

$$\begin{array}{lll} t = 0: \text{Re}(\gamma_1(0)) = & \text{Re}(\gamma_2(0)) = 0 & t = 0: \text{Re}(\gamma_1(0)) = & \text{Re}(\gamma_2(0)) = 0 \\ t = t_1: \text{Re}(\gamma_1(t_1)) = -\text{Re}(\gamma_2(t_1)) = 0.31778 & = & t_1: \text{Re}(\gamma_1(t_1)) = -\text{Re}(\gamma_2(t_1)) = 0.22468 \\ t = t_2: \text{Re}(\gamma_1(t_2)) = -\text{Re}(\gamma_2(t_2)) = 0.09311 & = & t_2: \text{Re}(\gamma_1(t_2)) = -\text{Re}(\gamma_2(t_2)) = -3.3 \cdot 10^{-7} \end{array}$$

- Same behavior observed for the imaginary part of $\gamma_j(t)$

Complex Phase $\gamma_1(t)$ Gathered along Two Loops



▲ : start point

◊ : $\gamma_1(t_1) \neq \gamma_1(0)$

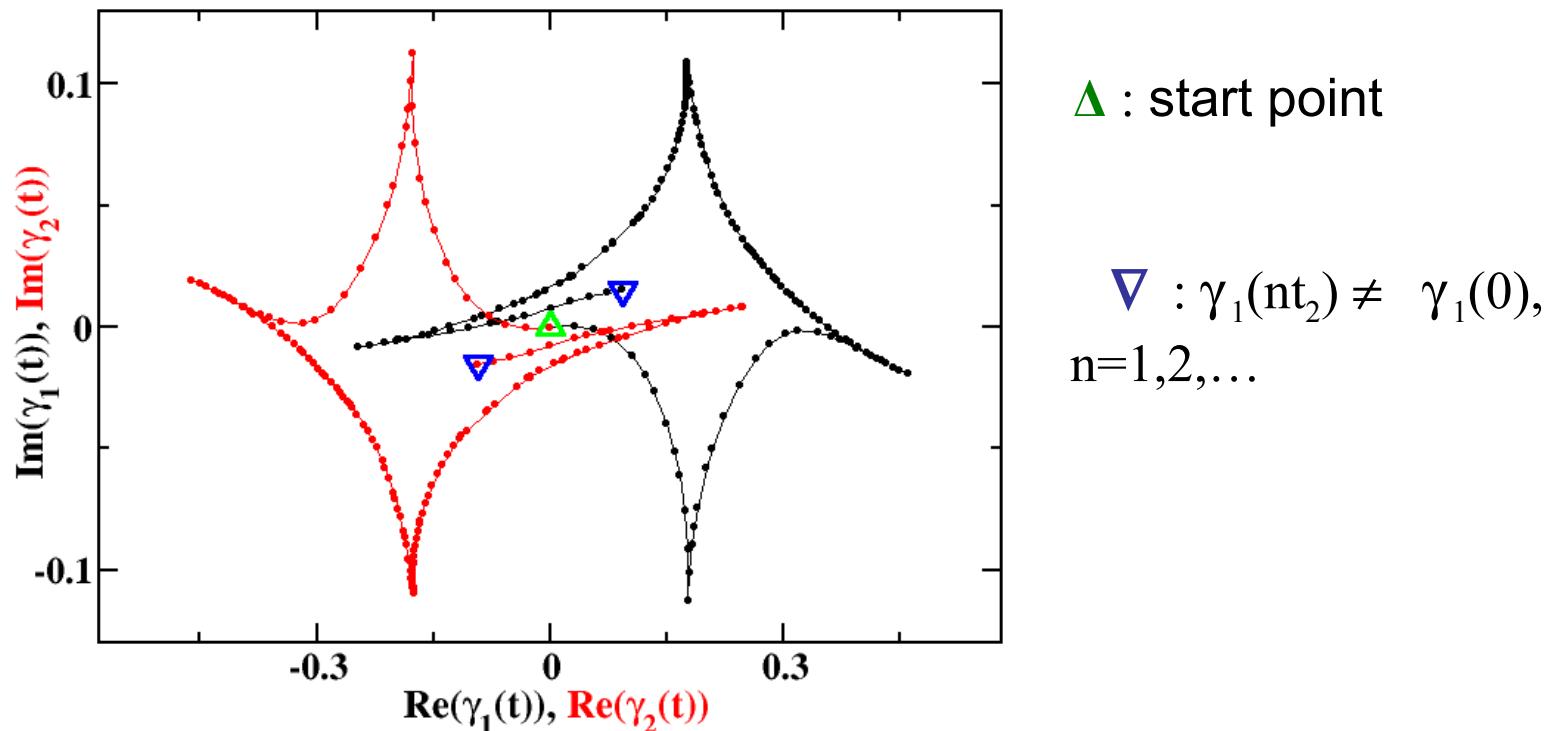
▽ : $\gamma_1(t_2) \neq \gamma_1(0)$ if EP is encircled along different loops $\rightarrow |e^{i\gamma_1(t)}| \neq 1$

possible

Complex Phases $\gamma_j(t)$ Gathered when Encircling EP Twice along Double Loop



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Δ : start point

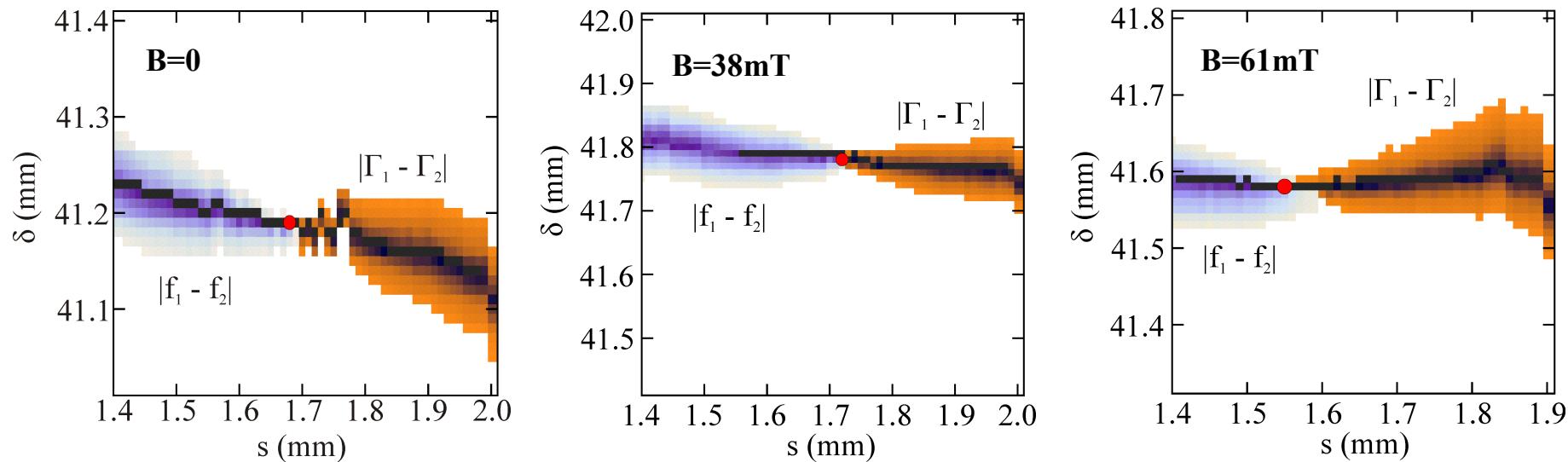
∇ : $\gamma_1(nt_2) \neq \gamma_1(0)$,
 $n=1,2,\dots$

- Encircle the EP 4 times along the contour with two different loops
- In the complex plane $\gamma_{1,2}(t)$ drift away from the origin

Difference of Eigenvalues in the Parameter Plane



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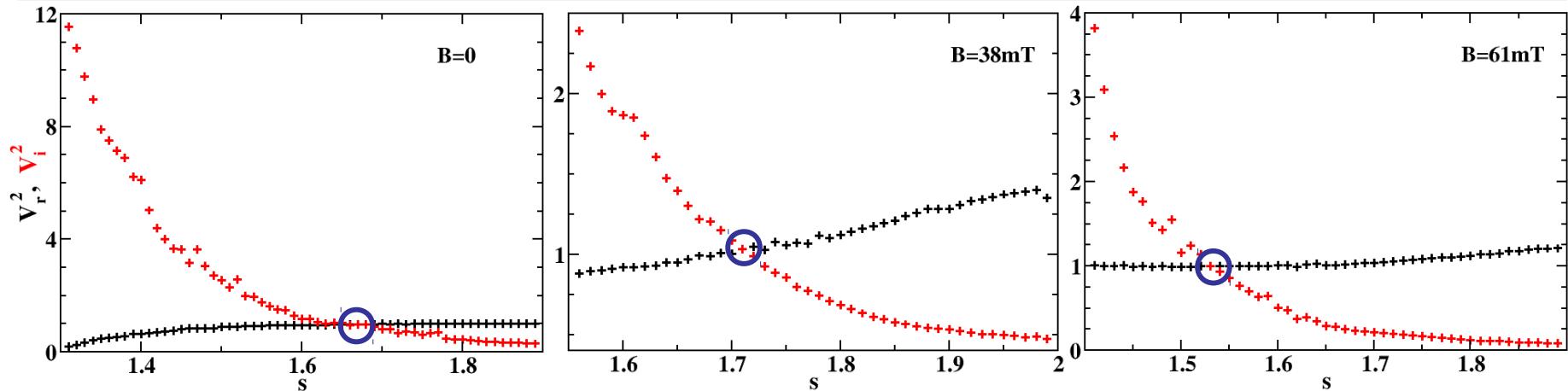


- Dark line: $|f_1 - f_2| = 0$ for $s < s_{EP}$, $|\Gamma_1 - \Gamma_2| = 0$ for $s > s_{EP}$

→ $(e_1 - e_2) = (f_1 - f_2) + i(\Gamma_1 - \Gamma_2)$ is **purely imaginary** for $s < s_{EP}$ / **purely real** for $s > s_{EP}$

- $\pm (e_1 - e_2)$ are the eigenvalues of $\hat{H}_{DL} = \hat{H}_{\text{eff}} - \frac{1}{2} \text{Tr}(\hat{H}_{\text{eff}}) \hat{I}$

Eigenvalues of \hat{H}_{DL} along Dark Line



- Eigenvalues of \hat{H}_{DL} : $\varepsilon_{\pm} = \pm \sqrt{V_r^2 - V_i^2 + 2iV_{ri}}$, V_r, V_i, V_{ri} real
- Dark Line: $V_{ri}=0 \rightarrow$ radicand is real
- V_r^2 and V_i^2 cross at the EP

PT symmetry of \hat{H}_{DL} along Dark Line



- General form of \hat{H} , which fulfills $[\hat{H}, \mathbf{PT}] = 0$:

$$\hat{H} = \begin{pmatrix} iA & B \\ B & -iA \end{pmatrix}, \quad A, B \text{ real}$$

- P : parity operator $P = \hat{\sigma}_x$, T : time-reversal operator $T = K$
- *exact PT symmetry*: the eigenvalues of \hat{H} are real
- For $V_{ri}=0$ \hat{H}_{DL} can be brought to the form of \hat{H} with the unitary transformation

$$\hat{U} = e^{i\varphi\hat{\sigma}_y} e^{i\tau/2\hat{\sigma}_z}$$

- At the EP its eigenvalues change from purely real to purely imaginary
- *exact PT symmetry is spontaneously broken*
- Talk by Uwe Günther

Summary

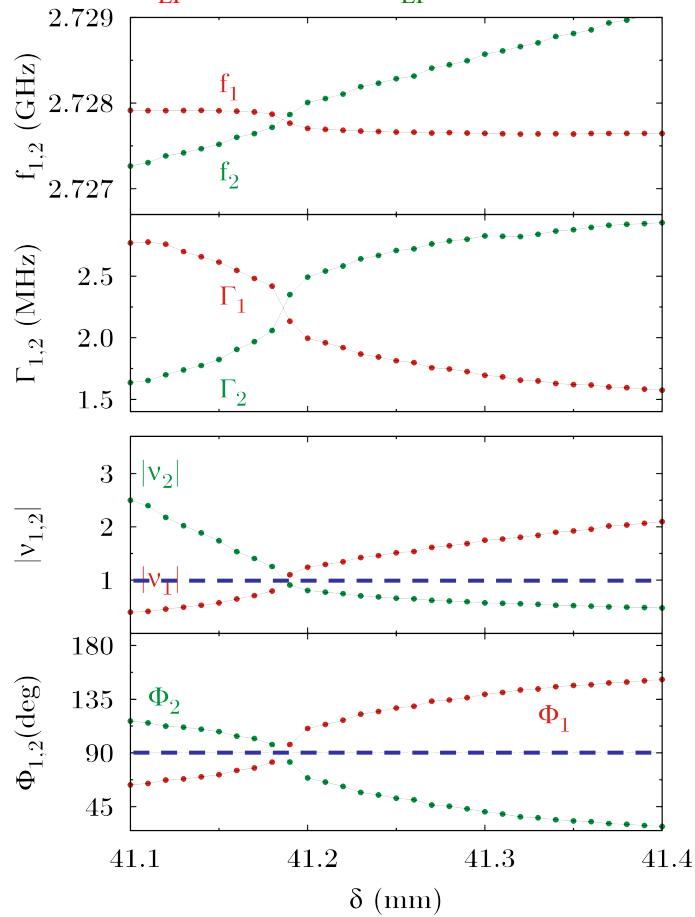


- High precision experiments were performed in microwave billiards with and without T violation at and in the vicinity of an EP
- The behavior of the complex eigenvalues and ratios of the eigenvector components of the associated two-state Hamiltonian were investigated
- Encircling an EP:
 - Eigenvalues: $\begin{pmatrix} e_1 \\ e_2 \end{pmatrix} \rightarrow \begin{pmatrix} e_2 \\ e_1 \end{pmatrix}$
 - Eigenvectors: $\begin{pmatrix} |r_1\rangle \\ |r_2\rangle \end{pmatrix} \rightarrow \begin{pmatrix} -|r_2\rangle e^{i\gamma_1(t_1)} \\ |r_1\rangle e^{-i\gamma_1(t_1)} \end{pmatrix} \rightarrow \begin{pmatrix} -|r_1\rangle e^{i\gamma_1(t_2)} \\ -|r_2\rangle e^{-i\gamma_1(t_2)} \end{pmatrix}, \gamma_1(t) = -\gamma_2(t)$
- T -invariant case: $\gamma_1(t) \equiv 0$
- Violated T invariance: $\gamma_{1,2}(t_1) \neq \gamma_{1,2}(0)$, different loops: $\gamma_{1,2}(t_2) \neq \gamma_{1,2}(0)$
- The size of T violation at the EP is determined from the phase of the ratio of the eigenvector components
- *Exact PT* symmetry is observed along a line in the parameter plane
- *Exact PT* symmetry is spontaneously broken at the EP

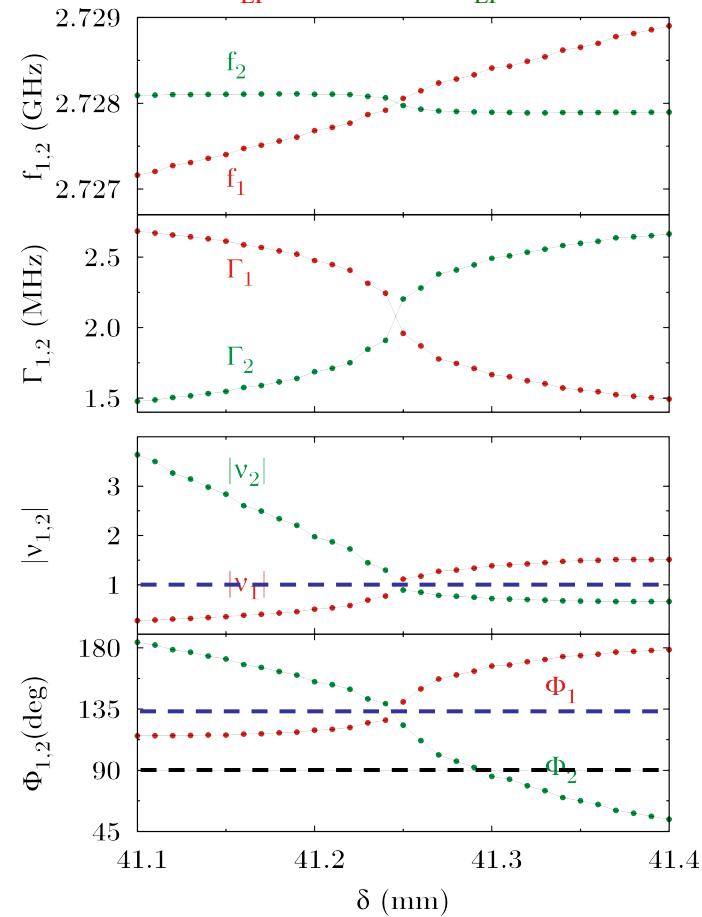
Localization of EP for $B=0$ and $B=53\text{mT}$



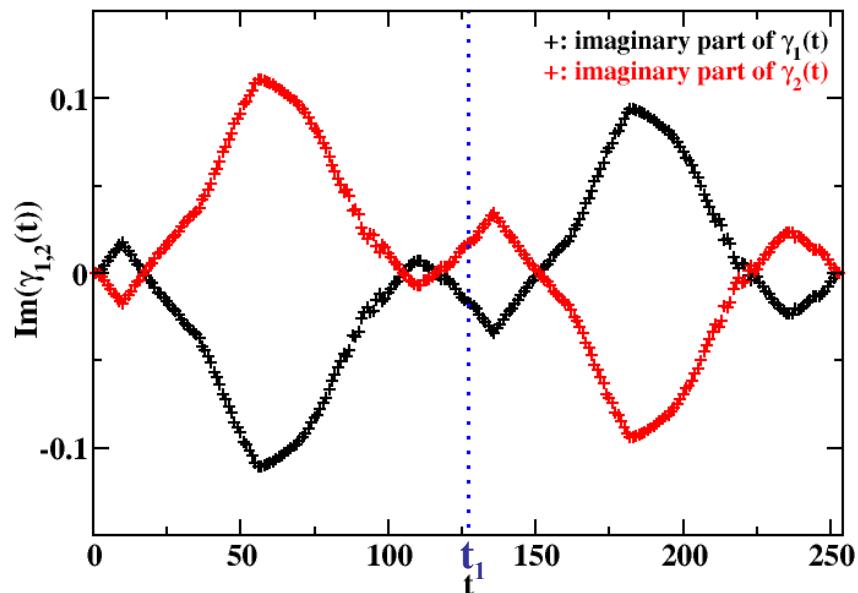
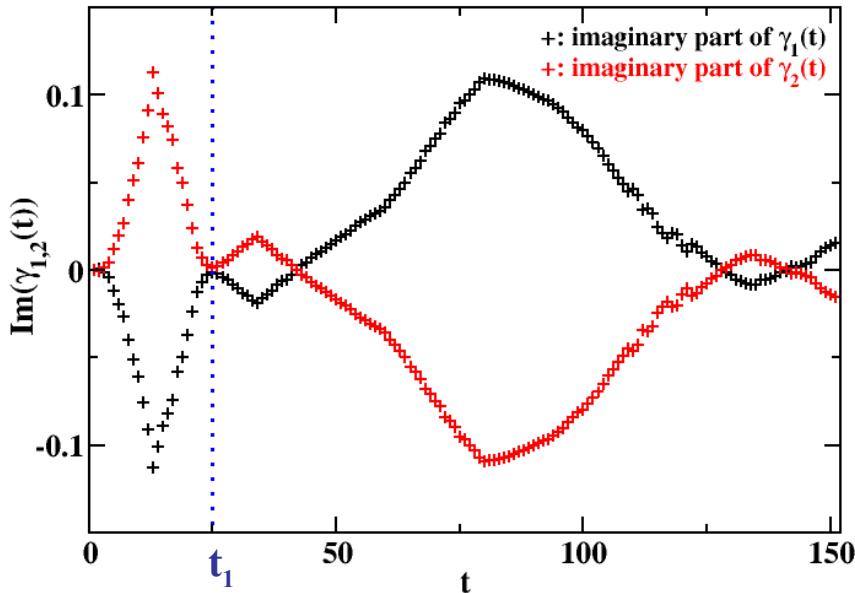
$B = 0$: $s_{EP}=1.68 \text{ mm}$ $\delta_{EP}=41.19 \text{ mm}$



$B = 53 \text{ mT}$: $s_{EP}=1.66 \text{ mm}$ $\delta_{EP}=41.25 \text{ mm}$



Geometric Amplitude $e^{-\text{Im}(\gamma_1(t))}$ Gathered Along Two Different/Equal Loops

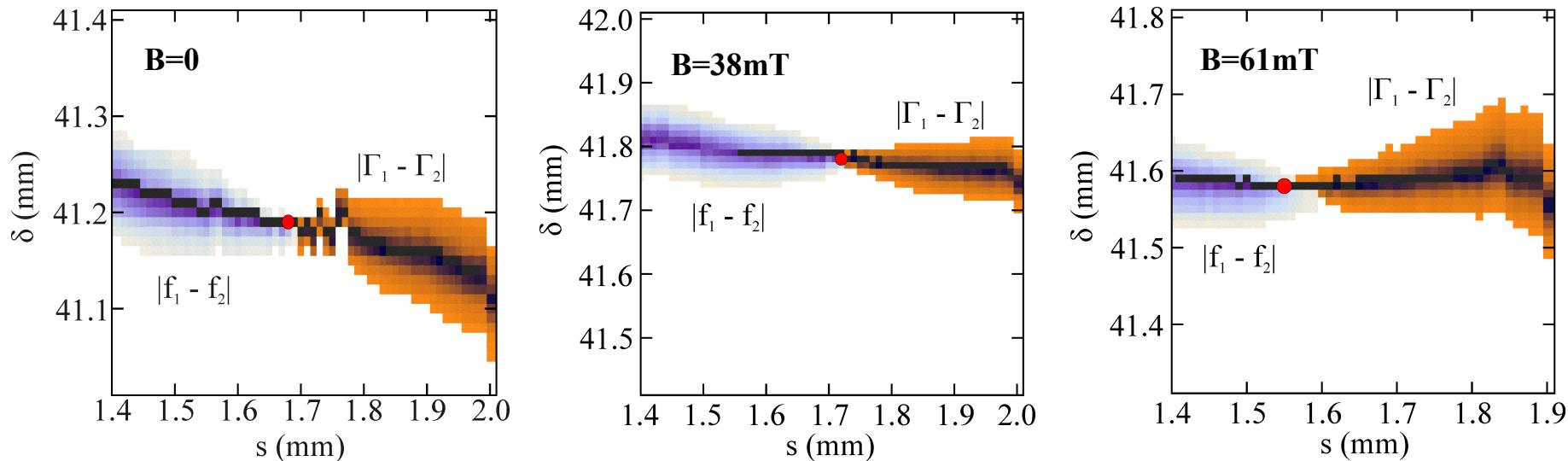


$$t = 0: \text{Im}(\gamma_1(0)) = 0 \quad \text{Im}(\gamma_2(0)) = 0 \quad t = 0: \text{Im}(\gamma_1(0)) = 0 \quad \text{Im}(\gamma_2(0)) = 0$$

$$t = t_1: \text{Im}(\gamma_1(t_1)) = -\text{Im}(\gamma_2(t_1)) = -0.00148 \quad t = t_1: \text{Im}(\gamma_1(t_1)) = -\text{Im}(\gamma_2(t_1)) = -0.01669$$

$$t = t_2: \text{Im}(\gamma_1(t_2)) = -\text{Im}(\gamma_2(t_2)) = 0.01522 \quad t = t_2: \text{Im}(\gamma_1(t_2)) = -\text{Im}(\gamma_2(t_2)) = -3.5 \cdot 10^{-5}$$

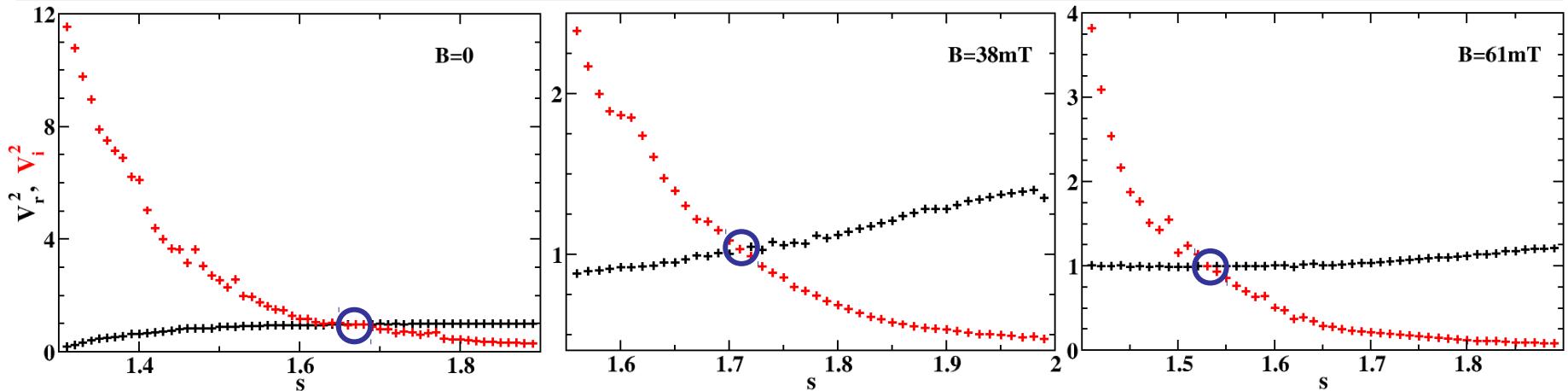
Difference of Eigenvalues in the parameter plane



- Dark line: $|f_1 - f_2| = 0$ for $s < s_{EP}$, $|\Gamma_1 - \Gamma_2| = 0$ for $s > s_{EP}$
- $(e_1 - e_2) = (f_1 - f_2) + i(\Gamma_1 - \Gamma_2)$ is real for $s > s_{EP}$ and purely imaginary for $s < s_{EP}$

- $\pm (e_1 - e_2)$ are the eigenvalues of $\hat{H}_{PT} = \hat{H}_{\text{eff}} - \frac{E_1 + E_2}{2} \hat{I} = \begin{pmatrix} \frac{E_1 - E_2}{2} & H_{12}^S - iH_{12}^A \\ H_{12}^S + iH_{12}^A & -\frac{E_1 - E_2}{2} \end{pmatrix}$

Eigenvalues of H_{PT} along Dark Line



- Eigenvalues of \hat{H}_{PT} :
$$\varepsilon_{\pm} = \pm \left| H_{12}^S \right| \sqrt{V_r^2 - V_i^2 + 2iV_{ri}},$$
- Dark Line:
$$0 = V_{ri} \propto \left(\operatorname{Re} H_{12}^S \operatorname{Im} H_{12}^S + \operatorname{Re} H_{12}^A \operatorname{Im} H_{12}^A + \operatorname{Re}(E_1 - E_2) \operatorname{Im}(E_1 - E_2) / 4 \right)$$

$$\left| H_{12}^S \right|^2 V_r^2 = \left(\left(\operatorname{Re} H_{12}^S \right)^2 + \left(\operatorname{Re} H_{12}^A \right)^2 + \left(\operatorname{Re}(E_1 - E_2) \right)^2 / 4 \right)$$
- V_r^2 and V_i^2 cross at the EP

***PT* symmetry of H_{PT} along Dark Line**



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- For $V_{ri}=0$ \hat{H}_{PT} can be transformed into a *PT*-symmetric Hamiltonian

$$\hat{U}\hat{H}_{PT}\hat{U}^{-1} = \frac{1}{\cos\tau} \begin{pmatrix} i \frac{\text{Im } H_{12}^S}{\sin 2\phi} & \frac{\text{Re } H_{12}^S}{\cos 2\phi} \\ \frac{\text{Re } H_{12}^S}{\cos 2\phi} & -i \frac{\text{Im } H_{12}^S}{\sin 2\phi} \end{pmatrix}$$

- Unitary transformation: $\hat{U} = e^{i\phi\hat{\sigma}_y} e^{i\tau/2\hat{\sigma}_z}$ with $\tan 2\phi = \frac{2}{\cos\tau} \frac{\text{Im } H_{12}^S}{\text{Im}(E_1 - E_2)}$

→ Talk by Uwe Günther