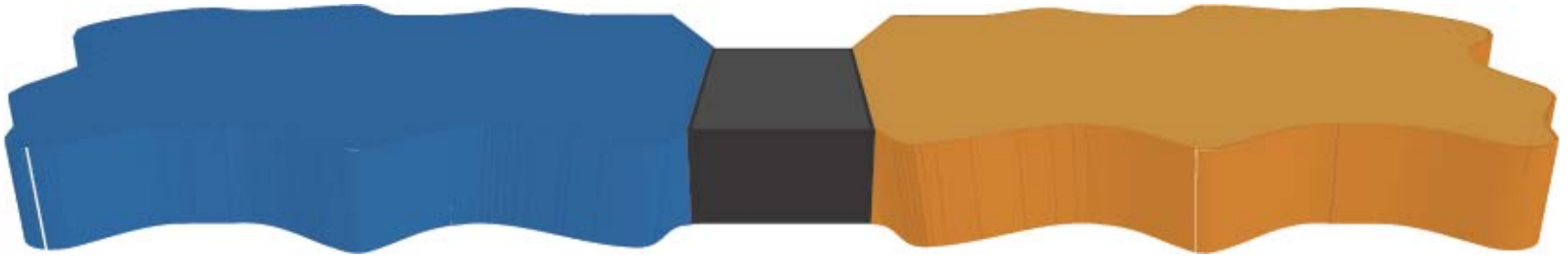


Quantum optics & RMT of \mathcal{PT} -symmetric resonators



Henning Schomerus (Lancaster)

Dresden, 17 June 2011

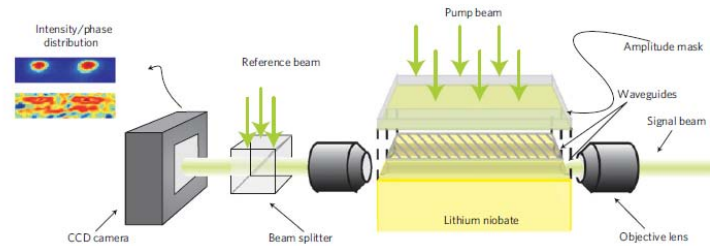
Motivation: Optical realisations of PT symmetry

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nature
physics

Observation of parity-time symmetry in optics

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0. How do states form in complex (multimode) systems? (Quantisation condition)

1. Can these systems be lasers? (Quantum noise)

2. What would then be the laser threshold? (How many eigenvalues are real or complex?) Count on average: Random matrix theory)



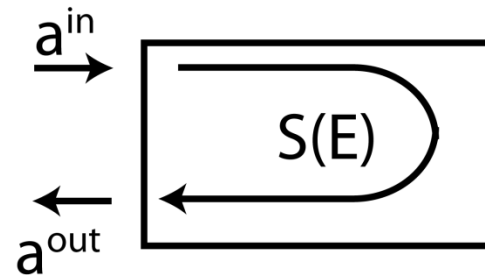
Tool: scattering theory

0. quantization in scattering theory

$$\det(H - E) = 0$$

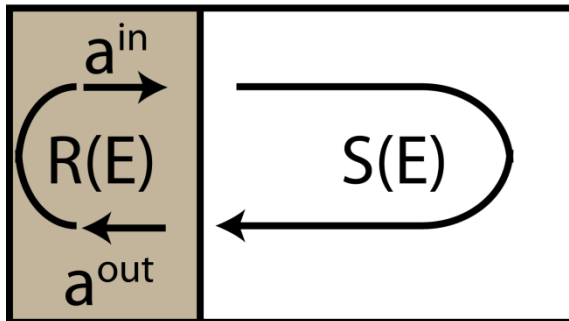
Scattering matrix:

$$a^{\text{out}} = S(E)a^{\text{in}}$$



Internal scattering (transfer)

Smilansky/Bogomolny ~1990



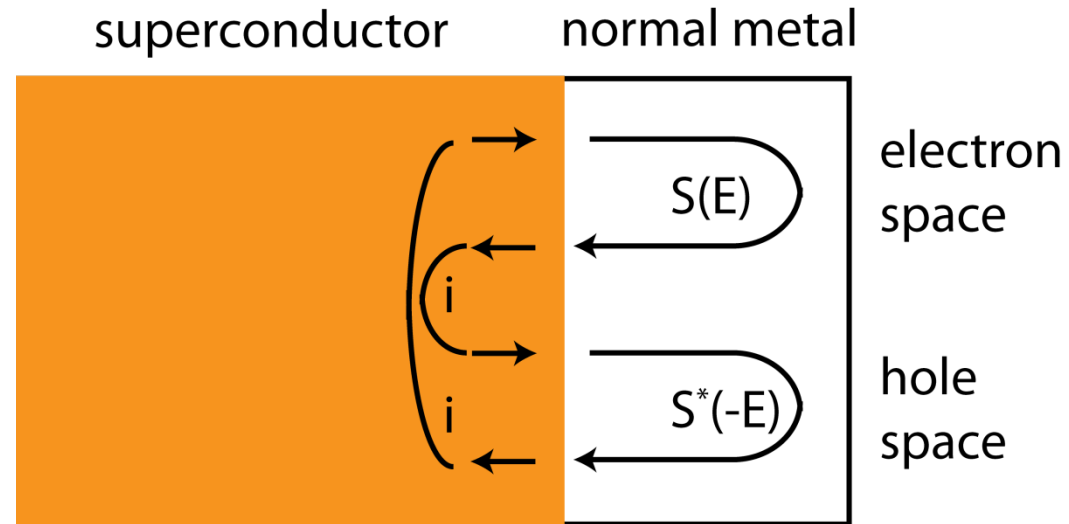
$$\det[S(E)R(E) - 1] = 0$$

Example: Andreev reflection

BdG Hamiltonian

$$\mathcal{H} = \begin{pmatrix} H & \Delta \\ \Delta & -H^* \end{pmatrix}$$

$$\mathcal{H} = \begin{pmatrix} H & \Delta \\ \Delta & -H^T \end{pmatrix}$$



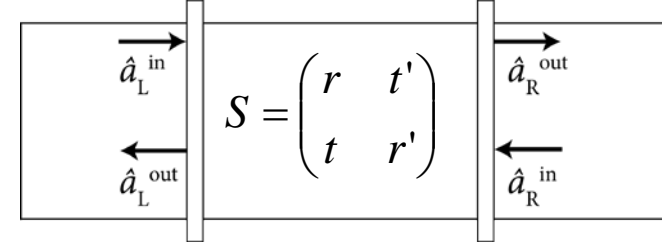
$$\text{Det}[S(E)S^*(-E) + \mathbf{1}] = 0 \quad \text{Beenakker 1993}$$

Symmetries: TRS & particle-hole

$$H^T = H^* \quad (\text{hermitian case...})$$

$$H(-B) = H^T(B) \quad (\text{Onsager relation:}) \quad \text{TRS for } B=0 \quad (S=S^T)$$

nonherm \mathcal{PT} -symmetry



$$a^{\text{out}} = S a^{\text{in}}$$

Identify symmetries: start from Hamiltonian

$$H = H_0 + iA(B) - i\Gamma(\mu) \quad \text{where } H_0 = H_0^* = H_0^T \quad \text{and}$$

$$A(B) = A^*(B) = -A^T(B) = -A(-B)$$

$$\Gamma(\mu) = \Gamma^*(\mu) = \Gamma^T(\mu) = -\Gamma(-\mu)$$

B (magn field): breaks \mathcal{T}

μ : loss/gain

Time reversal: $\mathcal{T} H = H^*$, $\mathcal{T} \psi = \psi^* \Rightarrow \mathcal{T} S = [S^*]^{-1}$

Parity: $\mathcal{P} H(x) = H(-x)$, $\mathcal{P} \psi(x) = \psi(-x) \Rightarrow \mathcal{P} S = \sigma_x S \sigma_x$

\mathcal{PT} -symmetry $\mathcal{PT} S = \sigma_x [S^*]^{-1} \sigma_x$

$$\mathcal{PT} S(E, B, \mu) = \sigma_x [S^*(E, B, \mu)]^{-1} \sigma_x = \sigma_x S(E^*, -B, -\mu) \sigma_x$$

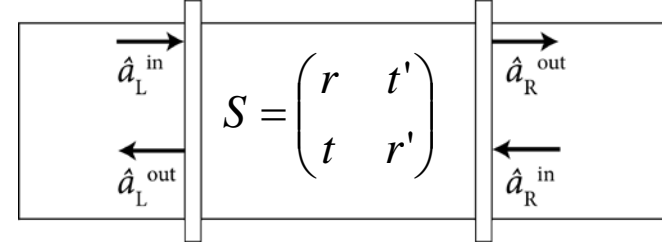
$$S(E, -B, \mu) = S^T(E, B, \mu)$$

Onsager reciprocity

$$S(E, B, -\mu) = [S^+(E^*, B, \mu)]^{-1}$$

microreversibility

nonherm \mathcal{PT} -symmetry



$$a^{\text{out}} = S a^{\text{in}}$$

Identify symmetries: start from Hamiltonian

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\mathcal{PT} -symmetry $\mathcal{PT} S = \sigma_x [S^*]^{-1} \sigma_x$

$$\mathcal{PT} S(E, B, \mu) = \sigma_x [S^*(E, B, \mu)]^{-1} \sigma_x = \sigma_x S(E^*, -B, -\mu) \sigma_x$$

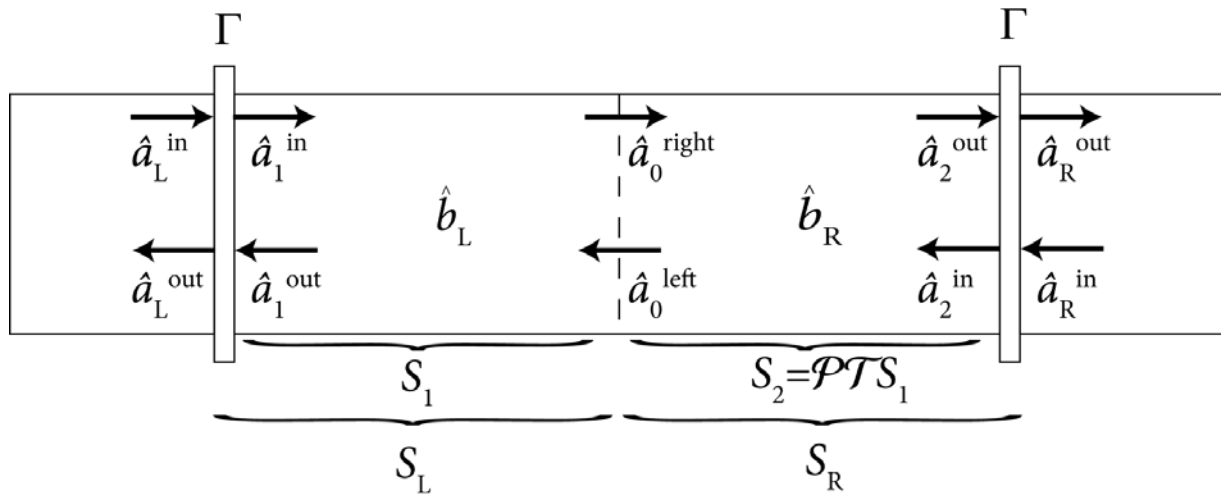
$$S(E, -B, \mu) = S^T(E, B, \mu)$$

Onsager reciprocity

$$S(E, B, -\mu) = [S^+(E^*, B, \mu)]^{-1}$$

microreversibility

build a \mathcal{PT} -symmetric system



$$S_{\Gamma} = - \begin{pmatrix} \sqrt{1-\Gamma} & i\sqrt{\Gamma} \\ i\sqrt{\Gamma} & \sqrt{1-\Gamma} \end{pmatrix}$$

Wave matching:

$$\begin{pmatrix} a_L^{\text{out}} \\ a_0^{\text{right}} \end{pmatrix} = S_L \begin{pmatrix} a_L^{\text{in}} \\ a_0^{\text{left}} \end{pmatrix}, \quad \begin{pmatrix} a_0^{\text{left}} \\ a_R^{\text{out}} \end{pmatrix} = S_R \begin{pmatrix} a_0^{\text{right}} \\ a_R^{\text{in}} \end{pmatrix}$$

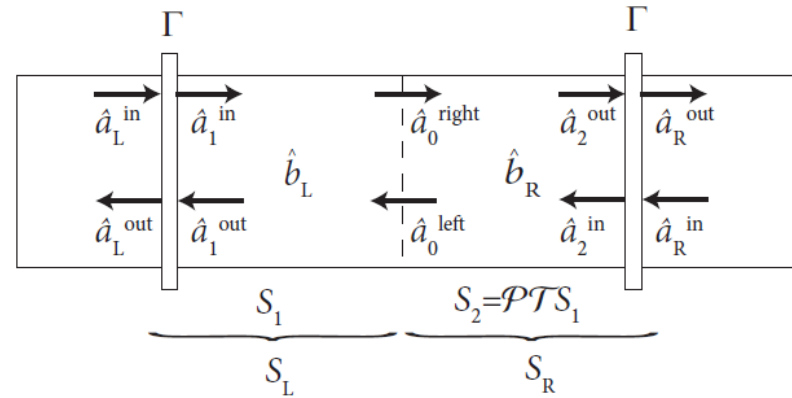
**Closed system ($\Gamma \rightarrow 0$):
codim-1 quantization condition**

$$\det \text{Im}(r'_L) = 0$$

Example: 1d resonator

$$S_L = - \begin{pmatrix} \frac{r_1 + \sqrt{1-\Gamma}}{1+r_1\sqrt{1-\Gamma}} & \frac{it'_1\sqrt{\Gamma}}{1+r_1\sqrt{1-\Gamma}} \\ \frac{it_1\sqrt{\Gamma}}{1+r_1\sqrt{1-\Gamma}} & \frac{t_1 t'_1 \sqrt{1-\Gamma}}{1+r_1\sqrt{1-\Gamma}} - r'_1 \end{pmatrix}$$

$\det \text{Im}(r'_L) = 0$



Ballistic, $\Gamma \rightarrow 0$ $|S_1 = \begin{pmatrix} 0 & t_1 \\ t_1 & 0 \end{pmatrix} \mathbf{1}$: $\text{Im} t_1^2 = 0$

= poles of full S matrix

$$S = \begin{pmatrix} \frac{\sqrt{1-\Gamma}(t_1^{*2} - t_1^2)}{t_1^2(1-\Gamma) - t_1^{*2}} & \frac{|t_1|^2 \Gamma}{t_1^2(1-\Gamma) - t_1^{*2}} \\ \frac{|t_1|^2 \Gamma}{t_1^2(1-\Gamma) - t_1^{*2}} & \frac{\sqrt{1-\Gamma}(t_1^{*2} - t_1^2)}{t_1^2(1-\Gamma) - t_1^{*2}} \end{pmatrix}$$

I. Quantum noise & lasing

Decay: quasi-bound resonant states

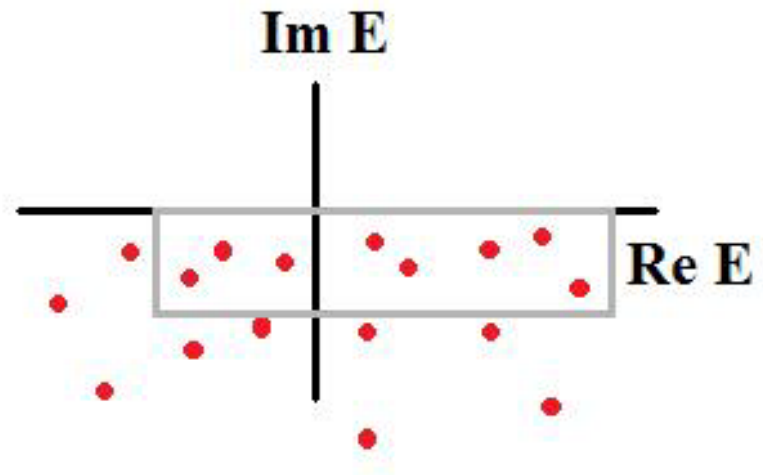


$$a^{\text{out}} = S \times 0$$

Poles at complex E :

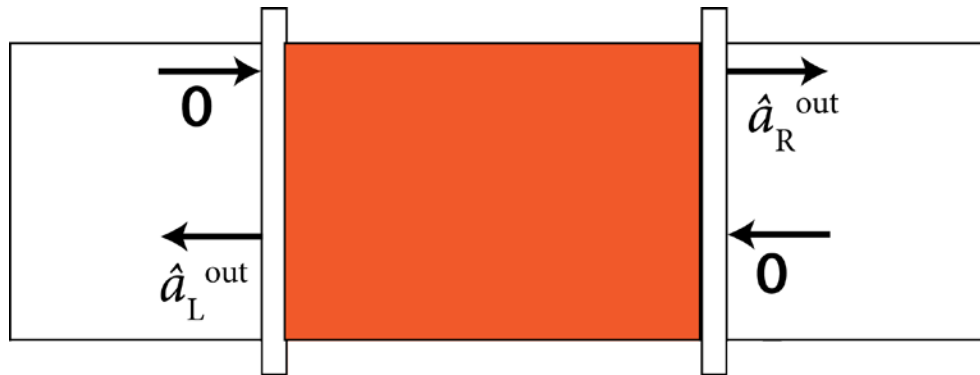
$\text{Im } E = -\Gamma/2$: decay rate

$$I(t) \propto \exp(-\Gamma t)$$



I. Quantum noise & lasing

Laser: counteracted by gain

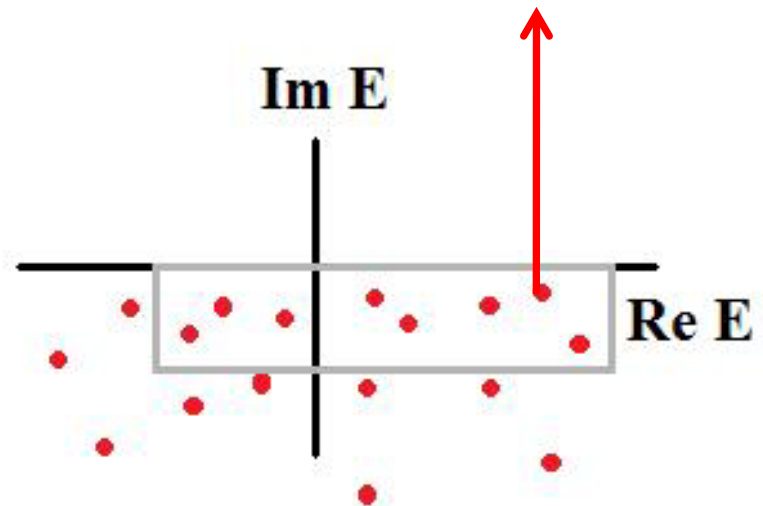


$$I(t) \propto \exp(-\Gamma t)$$

**$\Gamma < 0$: unstable,
saturation,
Laser**

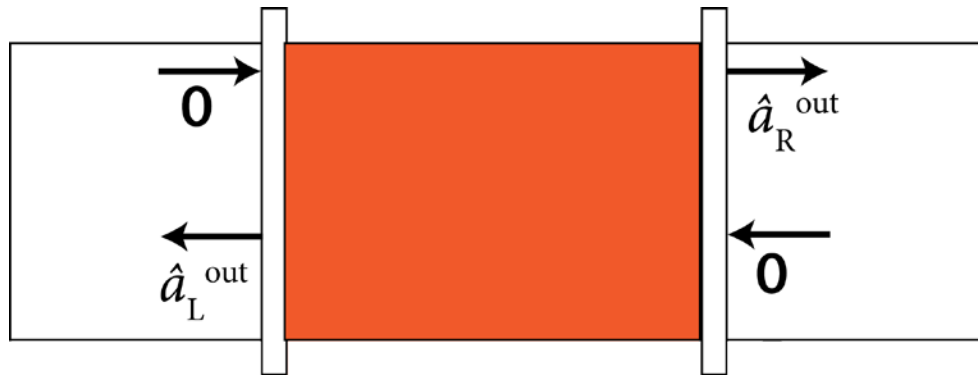
- $k = \omega n/c$
- $\text{Im } n > 0$: $\text{Im } k > 0$: losses
- $\text{Im } n < 0$: $\text{Im } k < 0$: gain

$$I(x) \propto \exp(-2 \text{Im } kx)$$



I. Quantum noise & lasing

Laser: counteracted by gain



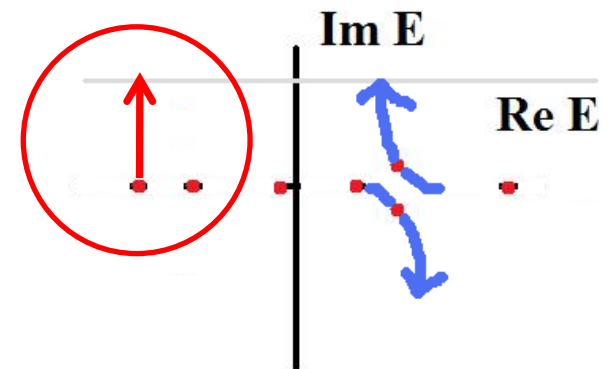
- $k = \omega n/c$
- $\text{Im } n > 0$: $\text{Im } k > 0$: losses
- $\text{Im } n < 0$: $\text{Im } k < 0$: gain

$$I(x) \propto \exp(-2 \text{Im } kx)$$

$$I(t) \propto \exp(-\Gamma t)$$

$\Gamma < 0$: unstable,
saturation,
Laser

+ PT symmetry:

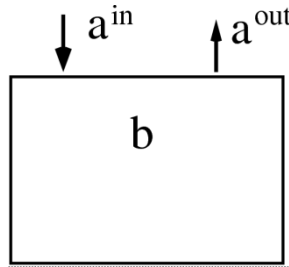


Laser threshold?

Quantum noise in scattering theory

Scattering matrix:

$$a^{\text{out}} = S a^{\text{in}}$$



Q-optics: 2nd quantization $a^{\text{out}} = S a^{\text{in}} + Q_1 b + Q_2 b^\dagger$

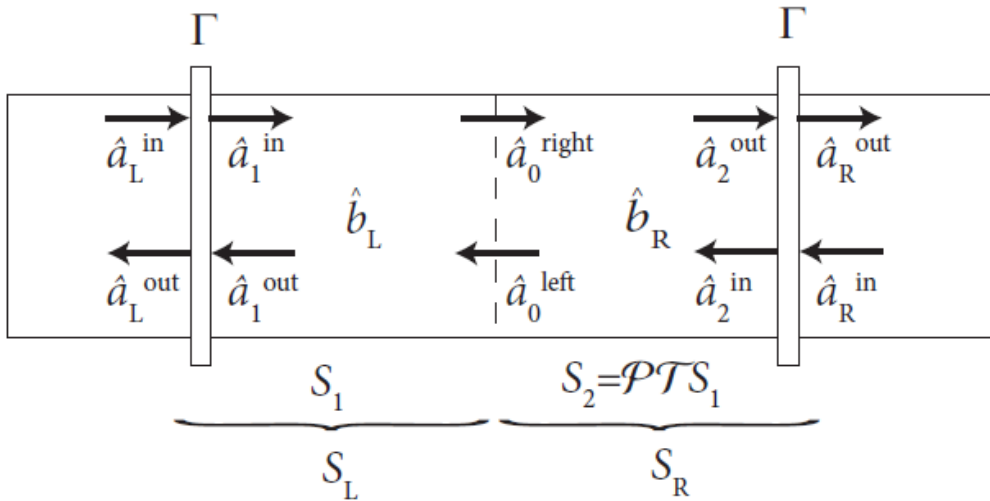
Commutation relations: $SS^\dagger + Q_1 Q_1^\dagger - Q_2 Q_2^\dagger = 1$

(Fluctuation-dissipation theorem)

Population inversion, no incoming radiation: $\langle b^\dagger b \rangle = 0$

$$I(\omega) = \frac{1}{2\pi} \sum_{m=1}^N \langle a_m^{\text{out}\dagger}(\omega) a_m^{\text{out}}(\omega) \rangle = (1/2\pi) \text{tr}(S^\dagger S - \mathbb{1})$$

Apply to PT: separate ampl. & abs. regions



Amplitudes \square operators, incl. noise ops b , *operator matching*

ballistic resonator, $\Gamma \rightarrow 0$ $I_L(E) = I_R(E) = \frac{\Gamma (|t_0|^{-2} - |t_0|^2)}{\pi |2i\tau(E - E_0) + \Gamma|^2}$

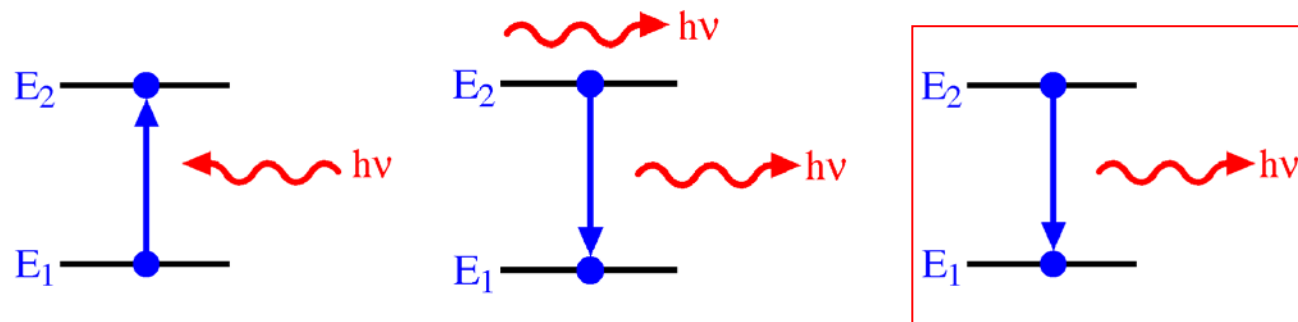
Line width $\Delta E = \Gamma/\tau$ with time of flight $\tau \approx 2L/c$

Total intensity finite! $I_{\text{tot}} = \int I(E) dE = \frac{|t_0|^{-2} - |t_0|^2}{\tau}$

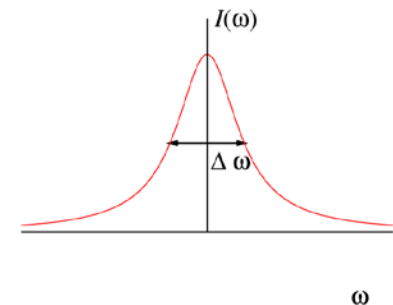
\square Internal photon density diverges, saturation \square (lasing)

Physical origin: *quantum noise breaks microreversibility*

- Photons: discrete emission and absorption events



- stimulated emission: coherent, noiseless
 - spontaneous emission: incoherent, noisy
- line broadening $\Delta\omega$, instability (lasing)



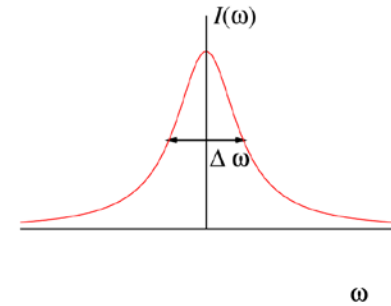
Formal origin: *Commutators of (external) bosonic (scattering field) operators are fixed*

Relation to mode-orthogonality and complexity measures

Ordinary (homogeneous) lasers: Petermann factor [HS PRA 2009]

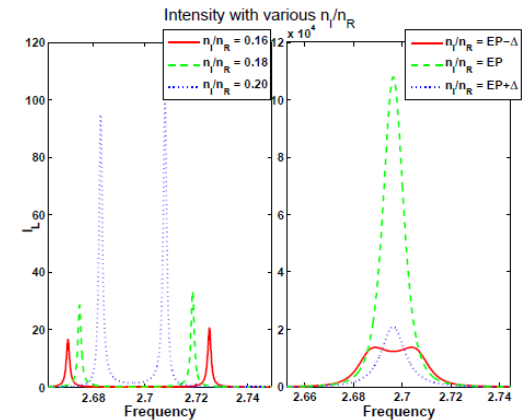
$$\Delta\omega = K\Gamma^2/(2I_{\text{total}})$$

$$K = \left| \frac{\int |\psi_0|^2 \text{Im } n_0^2 d\mathbf{r}}{\int \psi_0^2 \text{Im } n_0^2 d\mathbf{r}} \right|^2$$



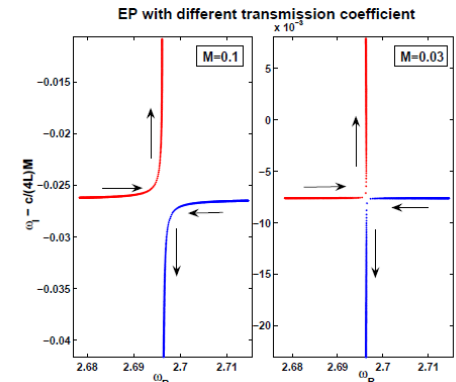
PT @ isolated resonances:

$$I_{\text{total}} \approx \frac{n_I}{n_R} \omega_R \frac{K_{\text{both}}}{K_{\text{gain}}^{1/2}}$$



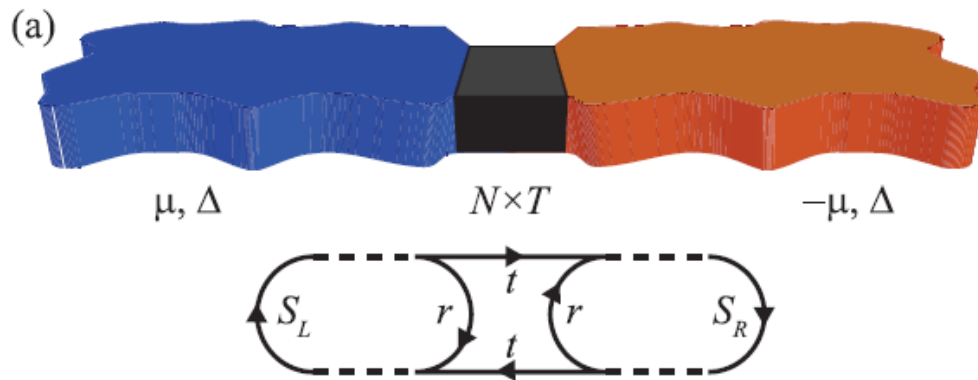
PT @ exceptional points:
K diverges, (Lorentzian)²

$$I_{\text{total}} \simeq \frac{2}{\Gamma^2} \frac{1}{n_R n_I} \omega_R \frac{K_{\text{gain}}^{3/2}}{K_{\text{both}}}$$



II. Counting complex eigenvalues

Variant: closed system, with tuneable coupling T



Quantisation:

$$\det \left[\begin{pmatrix} r & t \\ t & r \end{pmatrix} \begin{pmatrix} S_L & 0 \\ 0 & S_R \end{pmatrix} - \mathbb{1} \right] = 0$$

Random-matrix theory:

$$S_L(E; \mu) = 1 - 2iV^\dagger(E - i\mu - H + iVV^\dagger)^{-1}V$$

$$\begin{aligned} S_R(E; -\mu) &= [S_L^{-1}(E^*; \mu)]^* \\ &= 1 - 2iV^\dagger(E + i\mu - H^* + iVV^\dagger)^{-1}V \end{aligned}$$

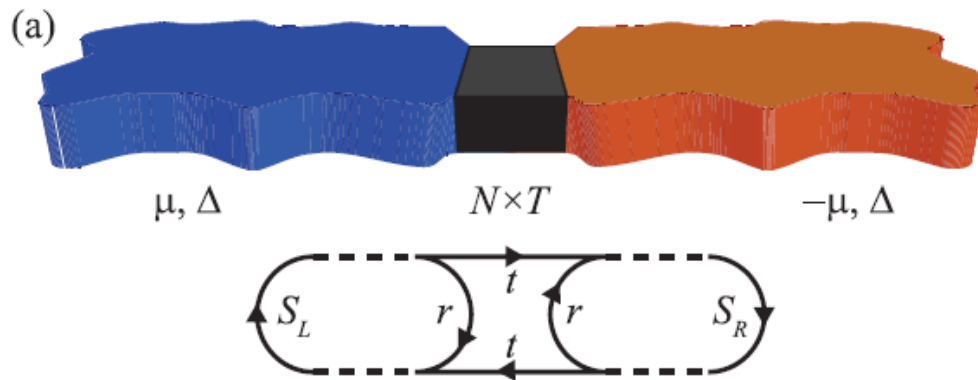
$H : M \times M$, 'random' (Gaussian ens), V : coupling (rank M)

Need $N \ll M$

HS, PRA 83, 030101(R) (2011)

II. Counting complex eigenvalues

Variant: closed system, with tuneable coupling T



Quantisation:

$$\det \left[\begin{pmatrix} r & t \\ t & r \end{pmatrix} \begin{pmatrix} S_L & 0 \\ 0 & S_R \end{pmatrix} - \mathbb{1} \right] = 0$$

Quantization condition: eigenvalues of eff Hamiltonian

$$\mathcal{H} = \begin{pmatrix} H - i\mu & \Gamma \\ \Gamma & H^* + i\mu \end{pmatrix}$$

$$\Gamma = \text{diag}(\gamma_m)$$

$$\gamma_m = [\sqrt{T}/(1 + \sqrt{1-T})] \Delta M / \pi \equiv \gamma$$

BdG $\mathcal{H} = \begin{pmatrix} H & \Delta \\ \Delta & -H^T \end{pmatrix}$: Thouless energy $NT\delta$

HS, PRA 83, 030101(R) (2011)

$B = 0$

B finite

Crossover scales

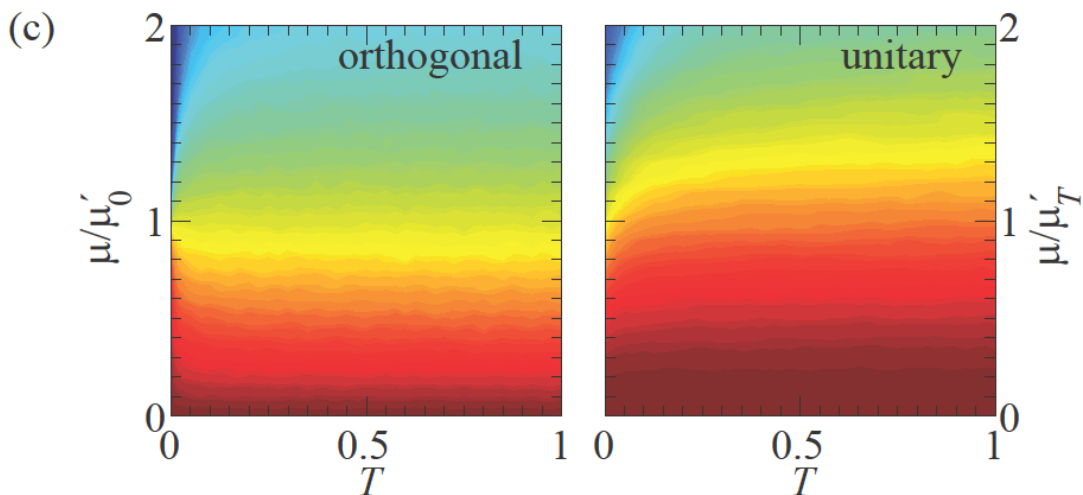
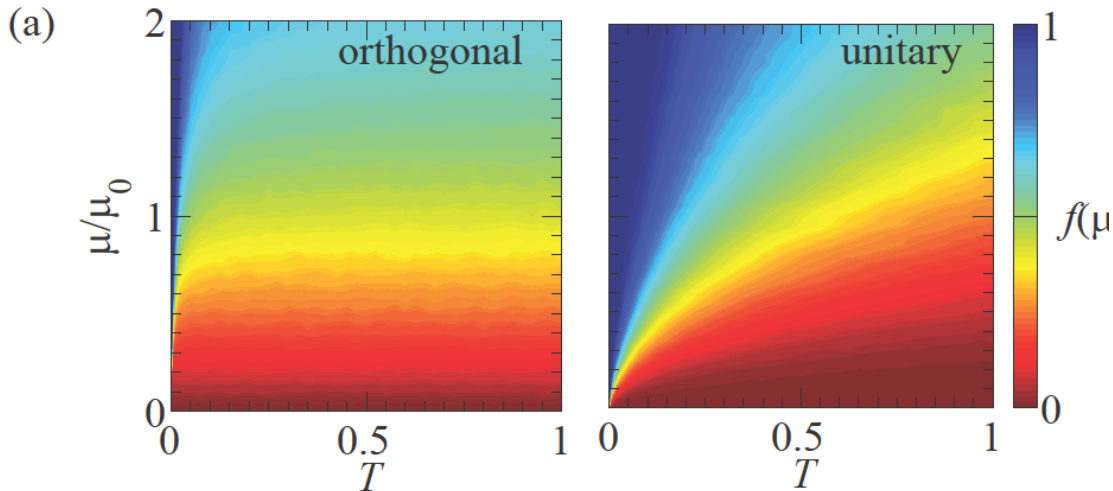
$$\mu_{PT} \sim \sqrt{N} \Delta / 2\pi \equiv \mu_0$$

$B = 0$: $\sim T$ -indep

$$\mu'_0 = \mu_0 / \sqrt{1 + 1/NT}$$

B finite

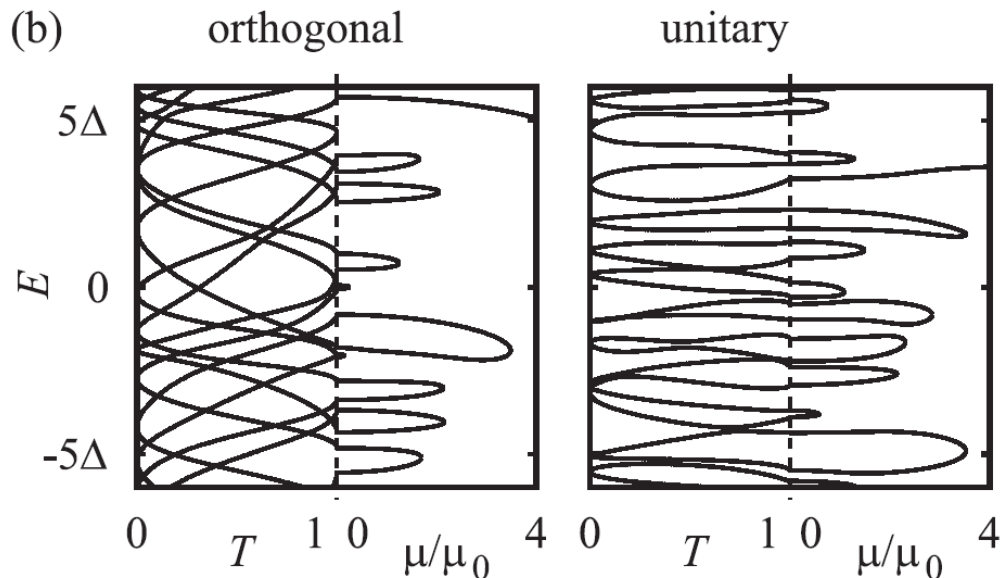
$$\mu_{PT} \sim \sqrt{T} \mu_0 \equiv \mu'_T$$



$B = 0$

B finite

Origin: level repulsion



Parity basis

$$\mathcal{H}_P = \begin{pmatrix} \text{Re}H + \Gamma & i\text{Im}H + i\mu \\ i\text{Im}H + i\mu & \text{Re}H - \Gamma \end{pmatrix}$$

\mathcal{P} & \mathcal{T} -symmetric

in hermitian limit:

$$\mathcal{H}_P = \begin{pmatrix} H + \Gamma & i\mu \\ i\mu & H - \Gamma \end{pmatrix}$$

□ two level sequences of $H \pm \Gamma$: ε_k^\pm can **cross** or **repel**

(crossover scales then follow from almost-degenerate perturbation theory)

Summary

scattering theory, qm-optics & RMT of \mathcal{PT} -sym resonors

- multidimensional quantisation condition (of codim 1)
- self-sustained sources of radiation;
closed limit: laser threshold (marginally instable)
- direct measures of nonhermiticity/nonorthogonality
- spontaneous symmetry breaking:
enhances noise, reduces laser threshold,
essentially coupling-independent

HS, PRL 104, 233601 (2010) [Scattering quantisation & Q noise]

HS, PRA 83, 030101(R) (2011) [RMT]

Chris Birchall and HS, in preparation (see poster) [RMT]

Gwangsu Yoo, Heung-Sun Sim and HS, in preparation [Q-noise, gen Petermann fact]